

1 **Discriminating lava flows of different age within Nyamuragira's**  
2 **volcanic field using spectral mixture analysis**

3 Long LI <sup>1,5\*</sup>, Frank CANTERS <sup>2</sup>, Carmen SOLANA <sup>3</sup>, Weiwei MA <sup>4</sup>, Longqian CHEN <sup>5</sup>, Matthieu  
4 KERVYN <sup>1</sup>

5 *1. Department of Geography & Earth System Science, Vrije Universiteit Brussel, Pleinlaan 2,*  
6 *1050 Brussels, Belgium*

7 *2. Cartography and GIS Research Group, Department of Geography, Vrije Universiteit Brussel,*  
8 *Pleinlaan 2, 1050 Brussels, Belgium*

9 *3. School of Earth and Environmental Sciences, University of Portsmouth, Burnaby Building,*  
10 *Burnaby Road, Portsmouth, PO1 3QL, UK*

11 *4. Shanghai Institute of Technical Physics, Chinese Academy of Sciences, Yutian Road 500,*  
12 *200083 Shanghai, China*

13 *5. School of Environmental Science and Spatial Informatics, China University of Mining and*  
14 *Technology, Daxue Road 1, 221116 Xuzhou, China*

15 \*: [long.li@vub.ac.be](mailto:long.li@vub.ac.be)

16

17 **Abstract**

18 In this study, linear spectral mixture analysis (LSMA) is used to characterize the spectral  
19 heterogeneity of lava flows from Nyamuragira volcano, Democratic Republic of Congo, where  
20 vegetation and lava are the two main land covers. In order to estimate fractions of vegetation  
21 and lava through satellite remote sensing, we made use of 30 m resolution Landsat Enhanced  
22 Thematic Mapper Plus (ETM+) and Advanced Land Imager (ALI) imagery. 2 m Pleiades data was  
23 used for validation. From the results, we conclude that (1) LSMA is capable of characterizing  
24 volcanic fields and discriminating between different types of lava surfaces; (2) three lava  
25 endmembers can be identified as lava of old, intermediate and young age, corresponding to  
26 different stages in lichen growth and chemical weathering; (3) a strong relationship is observed  
27 between vegetation fraction and lava age, where vegetation at Nyamuragira starts to  
28 significantly colonize lava flows ~15 years after eruption and occupies over 50% of the lava  
29 surfaces ~40 years after eruption. Our study demonstrates the capability of spectral unmixing  
30 to characterize lava surfaces and vegetation colonization with time, which is particularly useful  
31 for poorly known volcanoes or those not accessible for physical or political reasons.

32 **Keywords:** Vegetation fraction; lava flow; spectral mixture analysis; Nyamuragira; ALI; Pleiades

33

## 34 **1. Introduction**

35 Volcanic activity poses a persistent hazard to human activities and to the environment. At  
36 dominantly effusive volcanoes, frequently occurring lava flows destroy vegetation, cover fertile  
37 soils and pose a threat to human lives and properties. Freshly emplaced lava is inhospitable to  
38 plant growth ([Deligne et al., 2013](#)) and animal activities and the time of post-eruption  
39 vegetation recovery ranges from several years to decades to centuries, even influencing when  
40 affected residents return to their previous lands ([De Rose et al., 2011](#)). Characterising the  
41 timeframe for soil formation and vegetation colonisation of lava flows is hence vital for the  
42 estimation of long-term impacts of volcanic resurfacing on agriculture and natural ecosystems.  
43 It also provides a framework for volcanic hazard assessment through the evaluation of return  
44 periods of volcanic activity.

45 .

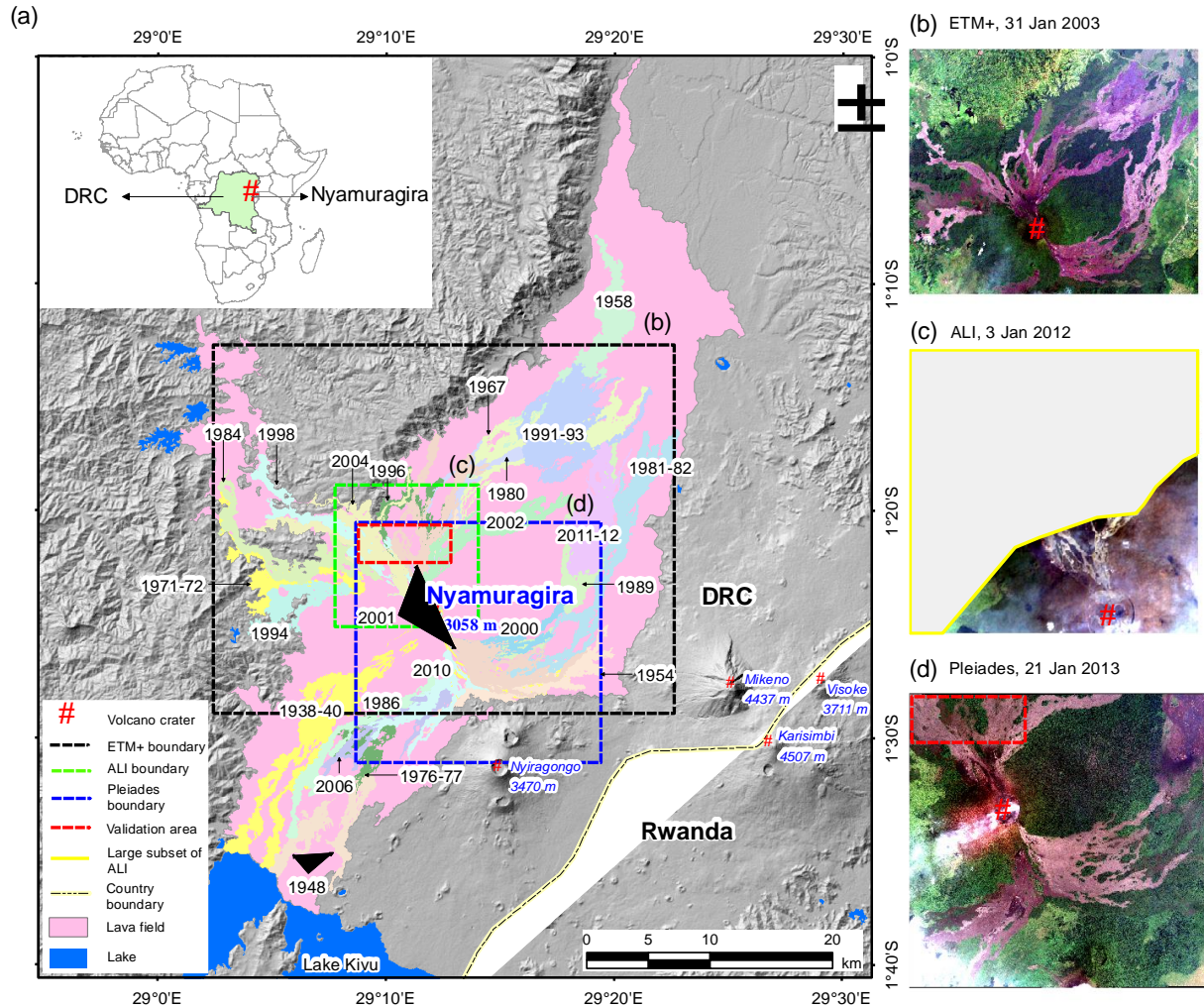
46 Although the vegetation cover of an area can be studied on the field ([Sohn and McCoy, 1997](#)),  
47 the synoptic view provided by remote sensing offers great potential for documenting and  
48 quantifying the overall dynamic process of vegetation recovery. Remote sensing is also  
49 increasingly contributing to monitoring volcanic activities and mapping volcanic terrains,  
50 notably for inaccessible volcanoes ([Ernst et al., 2008; references therein](#)). Most commonly used  
51 satellite images, however, have a relatively coarse spatial resolution, ranging from low (MODIS,  
52 250 m to 1 km) to moderate (Landsat TM/ETM+, 30 m). Pixels at these resolutions are  
53 frequently mixed and thus pixel-based classification methods fail to accurately discriminate lava  
54 and vegetation. This is especially problematic for lava surfaces, which are characterized by

55 variable roughness at centimeter to hundred meters scales (e.g. pahoehoe vs aa textures or  
56 lava channels and levees). This roughness creates high spatial variability in illumination,  
57 temperature, wind and humidity, as well as sediment trapping, which controls mechanically and  
58 chemically the weathering of lava flows and the initiation of biological processes. Discriminating  
59 spectrally contrasting lava surfaces at sub-pixel resolution and quantifying the spatially  
60 heterogeneous vegetation recovery is therefore of great interest and cannot be addressed by  
61 traditional classification techniques.

62 Spectral mixture analysis (SMA) refers to a group of techniques used for extracting the fraction  
63 of spectrally pure materials present within mixed pixels. Many SMA related studies focus on  
64 mapping vegetation and impervious surfaces in urban areas ([Deng and Wu, 2013](#); [Van de  
65 Voorde et al., 2009](#); and references therein). The technique has also been applied to non-  
66 urban areas ([Sohn and McCoy, 1997](#); [Sonnentag et al., 2007](#); [Zhang et al., 2005](#)), but volcanic  
67 regions have received scant attention. Existing studies identified endmembers on volcanic  
68 deposits (e.g. [Ramsey and Fink, 1999](#)) or [Heinz and Chang, 2001](#)).but up to now, LSMA has not  
69 yet been utilized to investigate the spectral evolution and vegetation colonization of lava  
70 surfaces with time. However, the large spectral contrast between the dark lava surfaces and the  
71 scattered vegetation growing on them, and potentially between fresh and highly weathered  
72 volcanic surfacesmake them an ideal target for applying SMA.

73 Therefore, in this study we test the potential of LSMA for lava surface discrimination in  
74 Nyamuragira's volcanic field. Specific objectives are: 1) to investigate the applicability of LSMA  
75 for characterizing lava flows; 2) to identify and interpret contrasted spectral endmembers; and

76 3) to document the spectral evolution and vegetation recovery patterns on lava flows through  
 77 time.



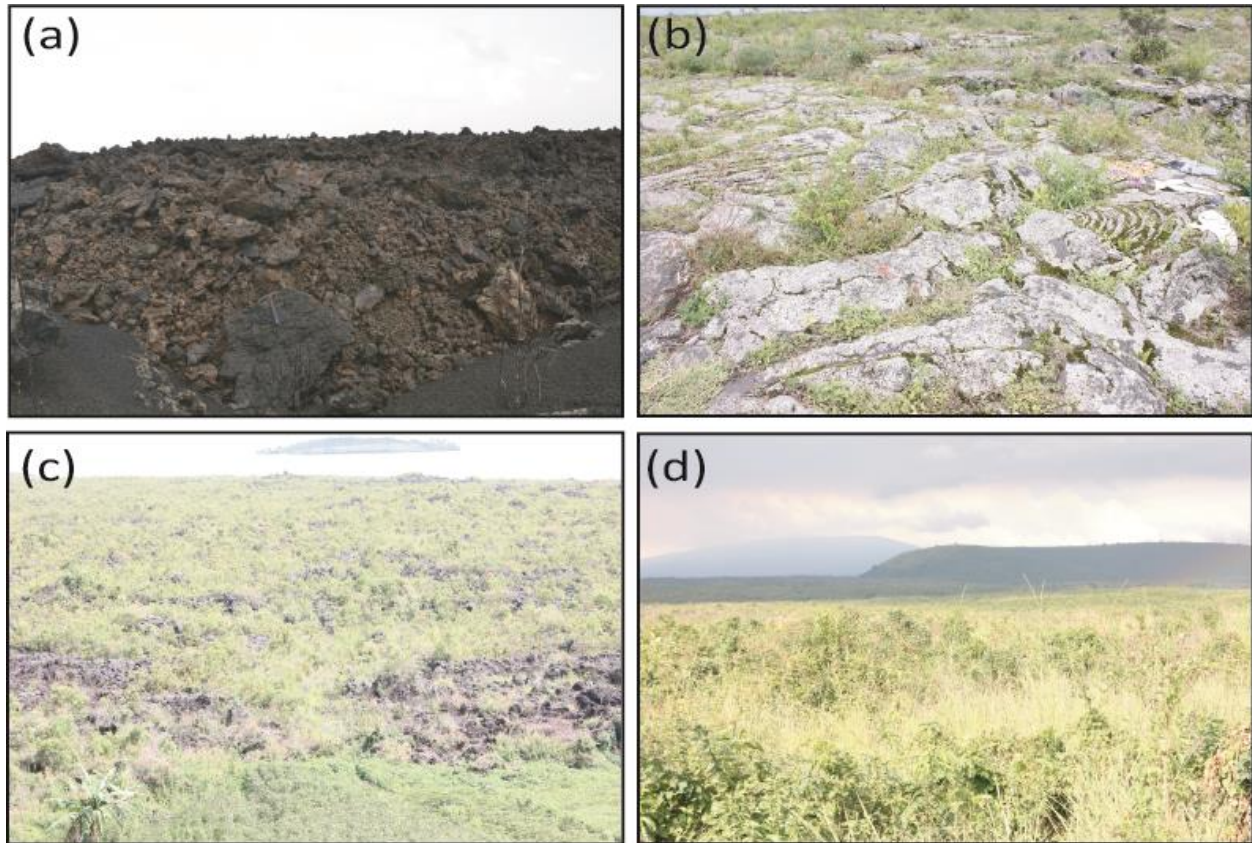
78  
 79 *Figure 1 Location map of Nyamuragira volcano showing lava flows erupted between 1938 and 2011*  
 80 *(adapted from Smets et al., (2010)). The three used satellite scenes are displayed in true colors on the*  
 81 *right side with their acquisition date. Yellow lines in (c) indicate the extent of the large-scale ALI subset*  
 82 *used for spectral unmixing. Red lines in (c) and (d) show the overlap between the ALI and Pleiades images,*  
 83 *used for validation of the spectral unmixing results.*

## 84 **2. Study Area**

85 Nyamuragira (Nyamulagira) is an active shield volcano located in the Virunga Volcanic Province  
86 of the Democratic Republic of Congo (DRC) (*Figure 1*). It has a maximum elevation of 3058 m  
87 a.s.l. and a large lava field that covers over 1100 km<sup>2</sup> (Smets et al., 2010). It is the most active  
88 African volcano, presenting eruptions every 2-4 years, and they are well documented with 27  
89 dated and mapped lava flows since 1938 (Smets et al., 2014, 2010).

90 The composition of Nyamuragira's lavas is very homogeneous over time (removing variations  
91 in composition as a potential endmember) and typical of lavas from the East Africa Rift System  
92 (Smets et al., 2014). Due to its equatorial climate, vegetation grows rapidly on Nyamuragira's  
93 lavas (*Figure 2*). These characteristics and the limited elevation gradient of the volcano make  
94 Nyamuragira an ideal area for studying spectral evolution of lava from the perspective of  
95 vegetation recovery.

96 Political unrest in DRC has hampered ground-based fieldwork since 1994 and remote sensing  
97 data have therefore become the main source of information to document the evolution of new  
98 volcanic surfaces (Head et al., 2013; Smets et al., 2010).



99

100 *Figure 2 Illustration of the variability of lava surfaces in Nyamuragira's volcanic field: (a) fresh surface; (b)*  
 101 *vegetated surface with grey lichens; (c) more strongly vegetated surface; and (d) highly vegetated*  
 102 *surface. Photos by Benoît Smets in March 2012 (a) and Sam Poppe in November 2013 (b- d).*

### 103 **3. Data and Methods**

#### 104 **3.1 Satellite data**

105 Satellite images used in this study consist of a Landsat Enhanced Thematic Mapper (ETM+)  
 106 image acquired on January 31, 2003, an Advanced Land Imager (ALI) from January 3, 2012, and  
 107 a Pleiades image from January 21, 2013 (*Figure 1*). The ALI and ETM+ images were used for  
 108 spectral unmixing, while the Pleiades image provided validation. The ALI sensor is characterized  
 109 by 9 multispectral bands, 6 of which mimic the TM/ETM+ bands. *Table 1* provides detailed

110 information on the spectral bands and their spatial resolutions (ASTRIUM, 2012; Hearn et al.,  
 111 2001).

112 *Table 1 Spectral bands and spatial resolutions of EO-1 ALI and Pleiades imagery. The three additional bands of ALI*  
 113 *respective to Landsat ETM+ are identified with a “p” in their name.*

ALI			Pleiades		
Band	Wavelength( $\mu\text{m}$ )	Resolution(m)	Band	Wavelength( $\mu\text{m}$ )	Resolution(m)
pan	0.480-0.690	10	panchromatic	0.470-0.830	0.5
b1p Violet	0.433-0.453	30	b0 Blue	0.430-0.550	2
b1 Blue	0.450-0.515	30	b1 Green	0.500-0.620	2
b2 Green	0.525-0.605	30	b2 Red	0.590-0.710	2
b3 Red	0.630-0.690	30	b3 NIR	0.740-0.940	2
b4 NIR*	0.775-0.805	30			
b4p NIR	0.845-0.890	30			
b5p NIR	1.200-1.300	30			
b5 SWIR1*	1.550-1.750	30			
b7 SWIR2	2.080-2.350	30			

114 \* NIR: near infrared; SWIR: shortwave infrared.

115 As a consequence of the equatorial setting and the topographic barrier created by the volcanic  
 116 edifice, satellite images of Nyamuragira are typically cloud-contaminated. The ETM+ image  
 117 used in this study was selected as the one with the least cloud cover available over the study  
 118 area (*Figure 1(b)*). The ALI image was recorded during the November 2011 - March 2012  
 119 eruption. As a consequence, part of the forested flanks of Nyamuragira were covered by  
 120 volcanic tephra, showing brownish colours in the satellite image. However, the image was



121 selected as it was the only scene with limited cloud cover available within a short time interval  
122 (i.e. 1 year) from the acquisition of the high-resolution Pleiades image used for validation. In  
123 our analysis, the area affected by tephra fall and gas emission from the eruption was masked  
124 out (*Figure1(c)*).

125 Both moderate-resolution images were processed for spectral unmixing. Only the ALI unmixing  
126 results could be validated due to the absence of high-resolution reference data for the period  
127 of the ETM+ image acquisition. All the spatial data were co-registered to the Universal  
128 Transverse Mercator (UTM) projection with WGS84 datum and UTM Zone 35S. The Pleiades  
129 image was orthorectified and the ALI image was co-registered to it with an error less than 10 m.  
130 MODTRAN-based radiometric correction was performed with the software package ENVI®4.8  
131 on all the satellite images to minimize atmospheric effects and to obtain more reliable  
132 reflectance data.

### 133 ***3.2 Linear spectral mixture analysis (LSMA)***

134 In SMA, the spectrum of a pixel is considered a linear combination of spectra of pure  
135 endmembers within the pixel weighted by their fractional abundance. The fraction of each  
136 endmember within a pixel is derived by applying a least squares technique to minimize the  
137 unmodeled residual error ([Van de Voorde et al., 2009](#); [Wu and Murray, 2003](#)). Here we applied  
138 a fully constrained LSMA approach, which imposes additional sum-to-unity and non-negativity  
139 fraction constrains simultaneously. According to [Weng et al. \(2004\)](#) this produces more  
140 accurate endmember fractions. The model fitness can be described by the RMS over all bands  
141 ([Wu and Murray, 2003](#)).

142 Potential endmembers are obtained from the image feature space that is explored by applying  
143 a minimum noise fraction (MNF) transform (Wu and Murray, 2003). In order to minimize intra-  
144 endmember spectral variability, Wu (2004) proposes brightness normalization before MNF. This  
145 method increases the accuracy of the unmixing (Demarchi et al., 2012; Van de Voorde et al.,  
146 2009), and was used in this study to facilitate the definition of endmembers.

### 147 ***3.3 Accuracy assessment***

148 To quantify the unmixing accuracy, detailed ground reference data are needed (Somers et al.,  
149 2011). High spatial resolution image data can be a good alternative for ground truth if  
150 exhaustive fieldwork is impossible. In this study, a 2 m Pleiades image was used and classified  
151 into a binary map with pixels being labelled as vegetation or lava. Validation samples were then  
152 randomly generated in the ALI image. In order to minimize geo-registration errors, the sample  
153 unit size in the Ali image was set at 3×3 pixels (following Wu and Murray, 2003), spatially  
154 corresponding to 45×45 Pleiades pixels. For each sample unit, the vegetation fraction derived  
155 from the ALI image and the vegetation coverage estimated from the Pleiades image was  
156 compared. We used mean error (ME) and root mean squared error (RMSE) to evaluate the  
157 accuracy of the spectral unmixing for the vegetation fraction estimation (Wu and Murray, 2003).

158 As the available ALI and Pleiades images have a limited overlap (~7.5×3 km<sup>2</sup>), validation was  
159 exclusively performed on their common area. In absence of image reference data, the unmixing  
160 accuracy was not independently assessed for the large-scale subsets of ALI and ETM+, yet both  
161 subsets were compared to each other to verify the consistency of the unmixing results for the  
162 two scenes, acquired 9 years apart.

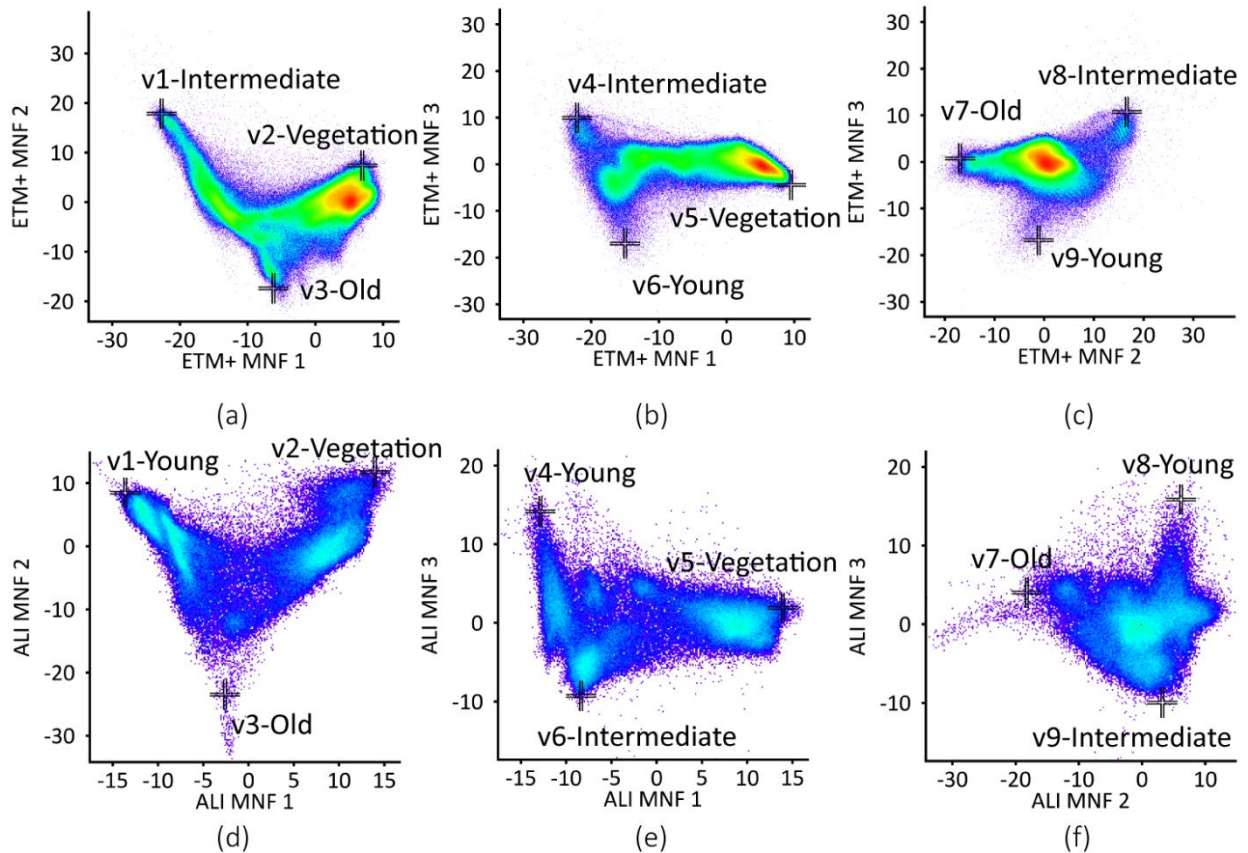
163 **4. Results**

164 **4.1 Identification of spectral endmembers**

165 Most of the spectral variability in the normalized reflectance data obtained can be explained by  
166 the first three MNF components (93.77% for the ETM+ and 81.87% for the ALI). For both  
167 datasets, the first two components (MNF1 against MNF2) form a V-shaped distribution with  
168 three conspicuous vertices (*Figure 3*). When examining feature subspaces of MNF1 against  
169 MNF3 and MNF2 against MNF3 the distributions observed for both datasets present some  
170 differences in shape. Vegetation could be easily identified as an endmember in both images. In  
171 case of the ETM+ image, pixels located at vertices v1, v4 and v8 mostly correspond to the 1991-  
172 93 flow while those at v3 and v7 correspond to the 1981-82, 1984 and 1986, and those at v6  
173 and v9 to the 1998, 2000 and 2001 (*Figure 3*). Hence, these extreme pixels seem to represent  
174 lava surfaces of different age. A similar pattern is observed for the ALI image. These pixels were  
175 then further refined in ENVI's n-Dimensional Visualizer to identify endmembers suitable for  
176 spectral unmixing (*Table 2*).

177 *Table 2 Lava spectral endmembers identified from the ETM+ and ALI datasets. Each endmember is characterized by*  
178 *the age of the lava surface relative to the image acquisition.*

Lava endmembers	Vertices ( <i>Figure 3</i> )	ETM+ image (31 Jan 2003)	Vertices ( <i>Figure 3</i> )	ALI image (3 Jan 2012)
Young (<9 yrs.)	V6, V9	1998, 2000, 2001, 2002	V1, V4, V8	2004
Intermediate (~9-15 yrs.)	V1, V4, V8	1991-93	V6, V9	2001, 2002
Old (>15 yrs.)	V3, V7	1981-82, 1984, 1986	V3, V7	1984, 1994

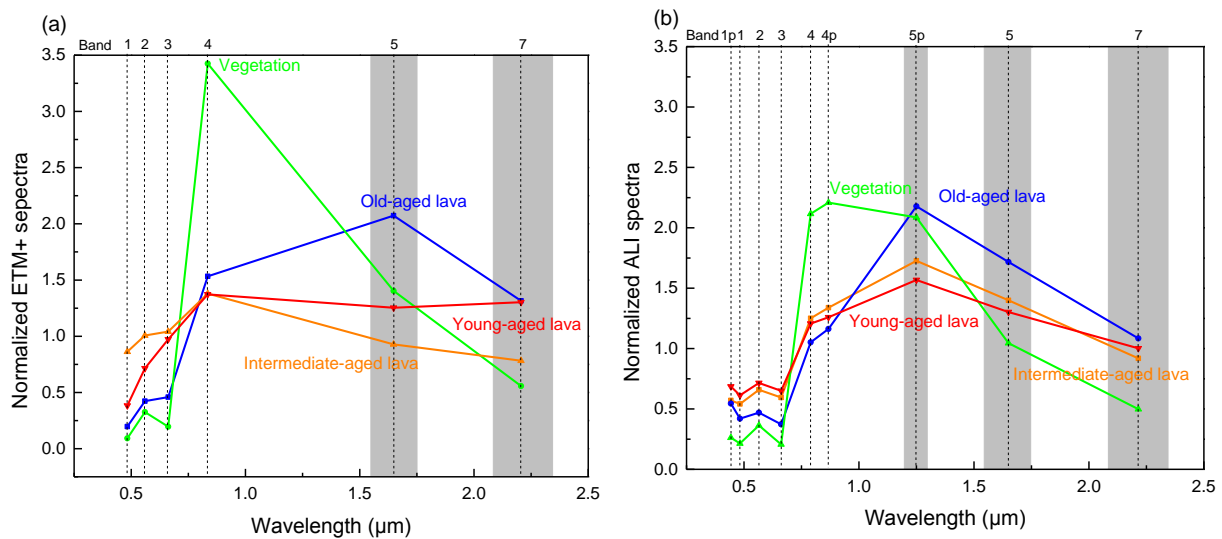


179

180 *Figure 3 Feature subspaces formed by combinations of the first three MNF components. Colors refer to*  
 181 *the density of pixels with red indicating highest density and purple lowest density. Panels (a) to (c) and (d)*  
 182 *to (f) show the ETM+ and ALI feature subspaces, respectively (see Table 2).*

183 Normalized spectra for each identified endmember are shown in *Figure 4*. In both datasets, the  
 184 vegetation endmember shows a local reflectance peak in band 2 (green), absorption in band 3  
 185 (red) and high reflectance in the NIR bands 4/4p, which is typical of vegetation spectra. For all  
 186 lava endmembers, the reflectance in the visible part of the spectrum (VIS, bands 1-3) is higher  
 187 than for the vegetation endmember. Lava spectra are characterized by an increase in  
 188 reflectance from the VIS to the NIR (bands 4/4p/5p) and a decrease towards the SWIR band(s)  
 189 5/7. It should be noted that in the ETM+ image, the spectral curve for young lava does not seem  
 190 to further decrease from band 5 to band 7. The old-aged lava endmember has a lower

191 reflectance in the VIS and a much higher reflectance in bands 5p/5 than the other two lava  
 192 endmembers. The ETM+ intermediate-aged lava endmember has the highest reflectance in the  
 193 VIS but the lowest in bands 5 and 7. Both the ETM+ intermediate- and young-aged lava  
 194 endmembers show decreasing spectra from bands 4 to 5. The ALI intermediate- and young-  
 195 aged lava endmembers show a similar shape as the ALI old-aged lava endmember, their curves  
 196 rising in bands 4, 4p and 5p and then decreasing in bands 5 and 7. The old-aged lava though is  
 197 clearly distinguishable from the intermediate and young-aged lava due to the higher reflectance  
 198 in bands 5p and 5.



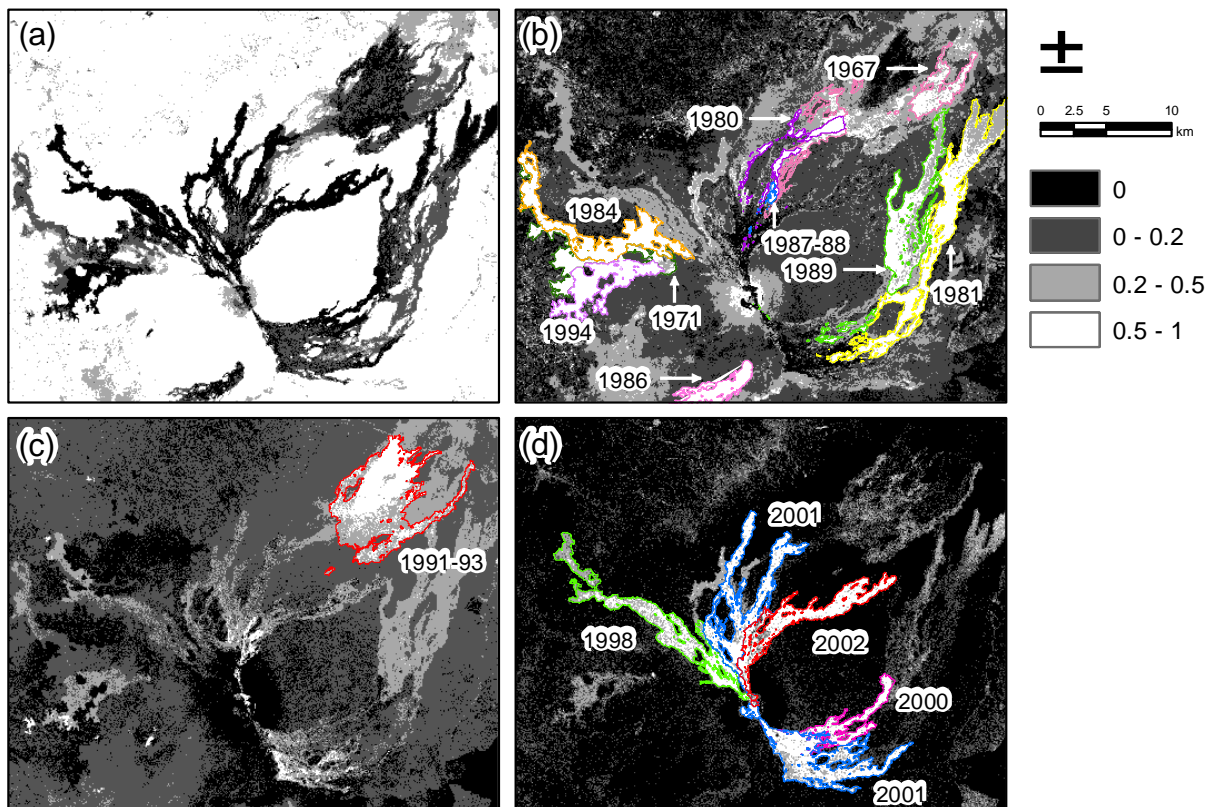
199  
 200 *Figure 4 Normalized spectra of the four endmembers identified from the ETM+ (a) and ALI (b) datasets.*

201 *Spectral bands of both images are indicated on the upper horizontal axis of each graph. The spectral*  
 202 *ranges of bands 5p, 5 and 7 are shown by shading.*

203 **4.2 Validation of unmixing results**

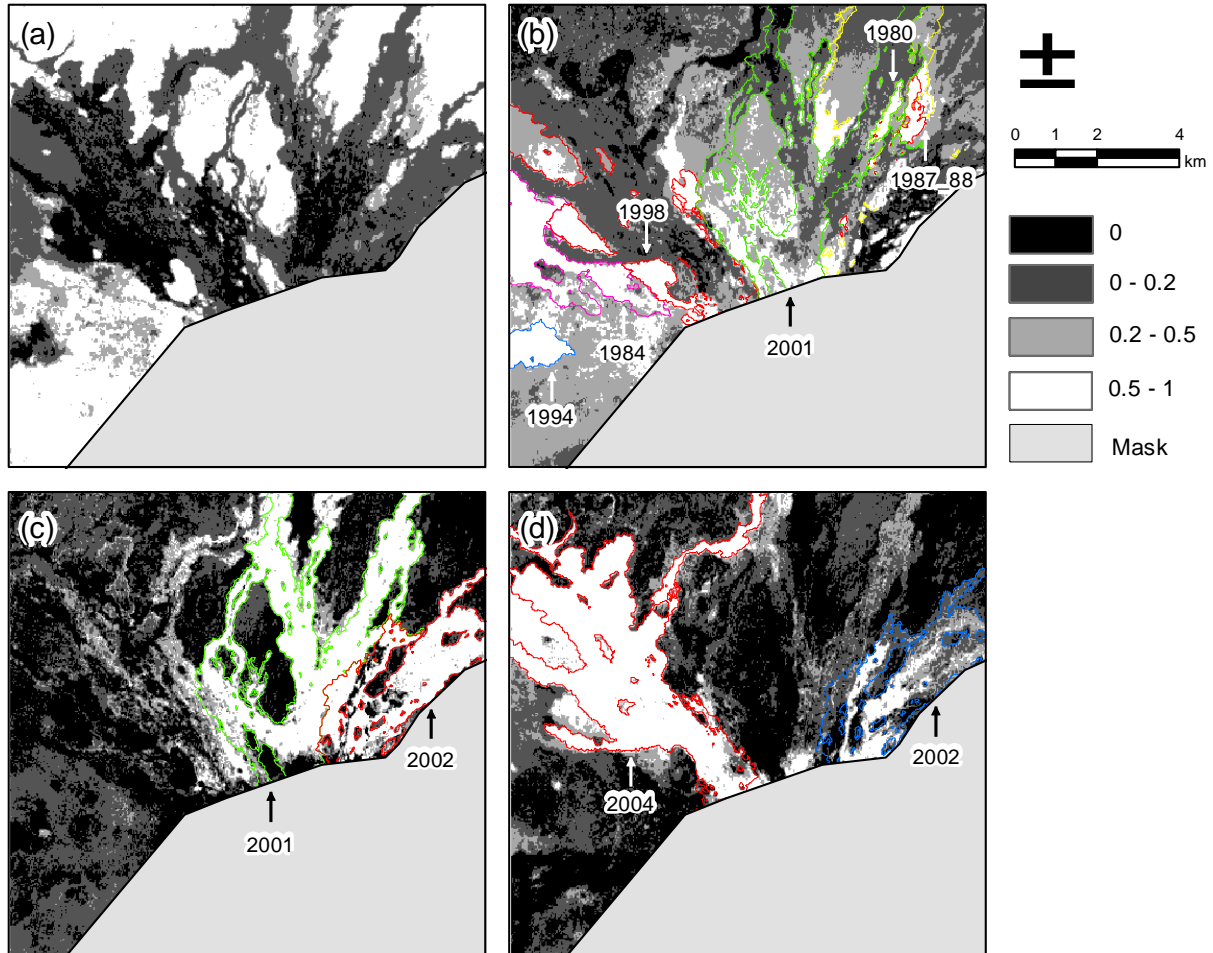
204 Once the four endmembers were identified, a fully constrained LSMA model using normalized  
 205 reflectance data was applied to map endmember fractions (*Figures 5 and 6*). The average RMS  
 206 of the spectral unmixing results was 0.038 for the ETM+ and 0.022 for the ALI, the latter

207 performing slightly better than the former in terms of model fitness (*Figure 7*). The  
 208 characterization of the fresh ETM+ 2001 and 2002 flows and the area around the ALI mask with  
 209 higher errors show that these areas are not well modeled by LSMA. The Pleiades image used for  
 210 validation of the ALI unmixing, was classified into vegetation/lava using the Maximum  
 211 Likelihood classifier with a resulting Kappa index of 0.87. Validation based on 100 randomly  
 212 generated sample units was performed within the area indicated by the red rectangle in *Figure*  
 213 *1*. Comparison of the ALI-modeled vegetation fraction with the Pleiades-derived vegetation  
 214 fraction shows that the former is lower than the latter (*Figure 8*), but the correlation between  
 215 both is very high (0.963). The ME and RMSE are -0.113 and 0.210 respectively.

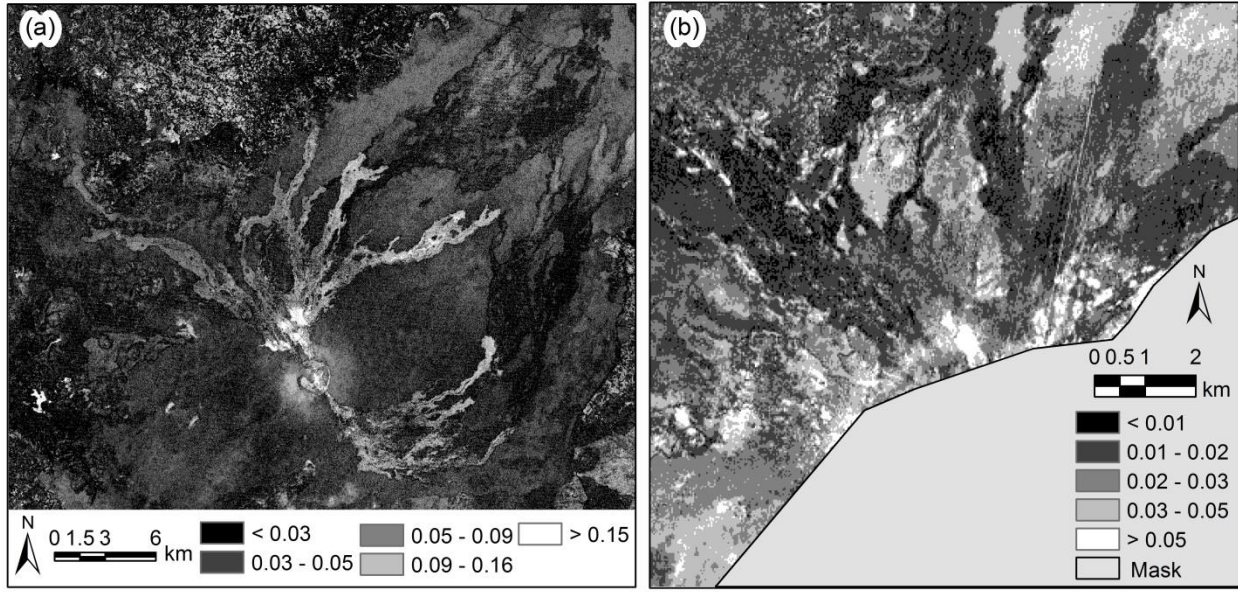


216  
 217 *Figure 5 Fraction images derived from the ETM+ normalized reflectance data: (a) vegetation; (b) old-*

218 aged lava; (c) intermediate-aged lava; and (d) young-aged lava. The vegetation fraction image agrees  
 219 with the known vegetation distribution. The lava fraction images highlight different aged flows.

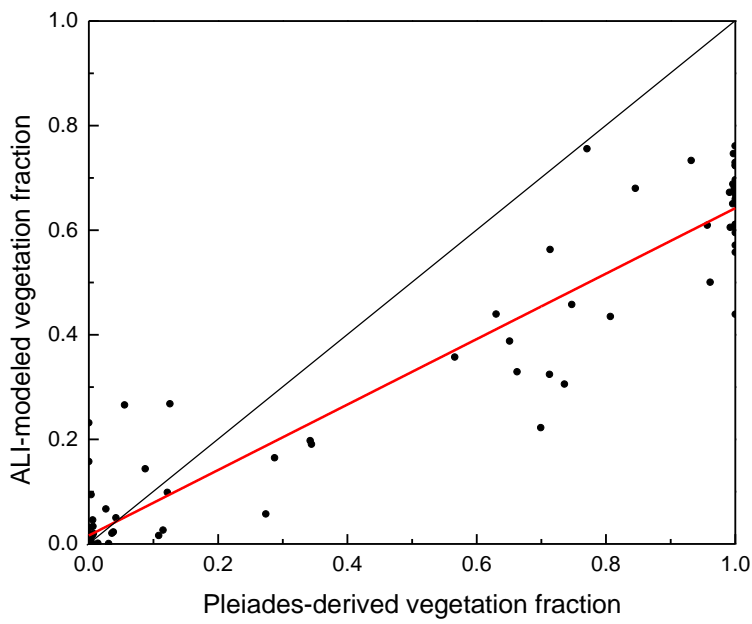


220  
 221 *Figure 6 Fraction images derived from the ALI normalized reflectance data: (a) vegetation; (b) old-aged lava; (c)*  
 222 *intermediate-aged lava; and (d) young-aged lava. The vegetation fraction image agrees with the known vegetation*  
 223 *distribution. The lava fraction images highlight different aged flows.*



224

225 *Figure 7 LSMA RMS maps derived from the ETM+ (a) and ALI (b) images. The ALI RMS map reveals relatively high*  
 226 *errors in known vegetation area.*



227

228 *Figure 8 Scatterplot of the Pleiades-derived vegetation fraction against the ALI-modeled vegetation fraction. It is*  
 229 *shown that the modeled vegetation fraction is generally lower than the reference fraction.*

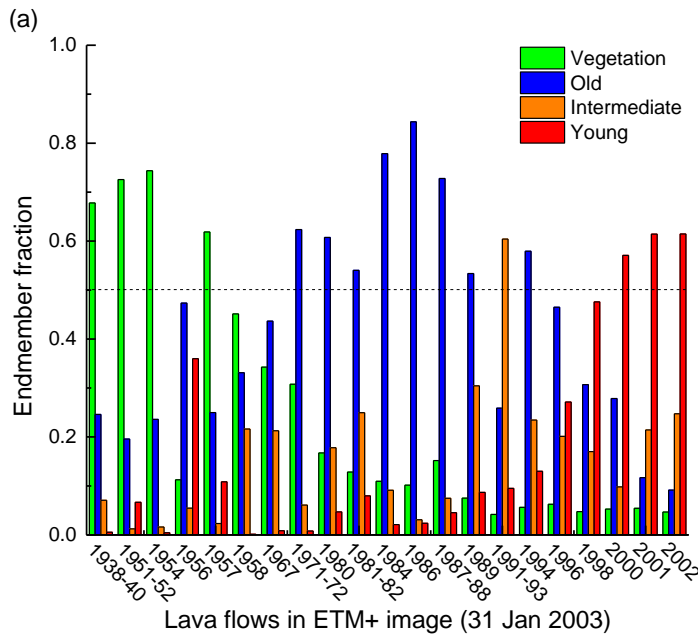


### 230 ***4.3 Endmember fraction of lava flows***

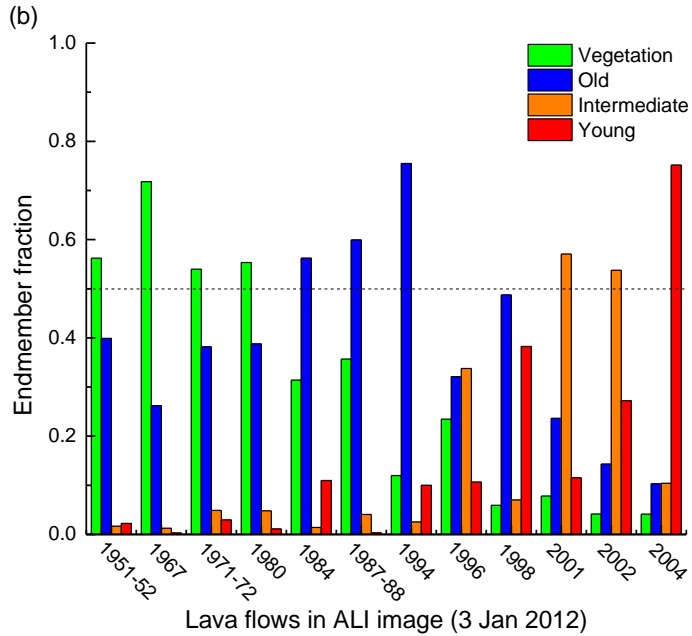
231 The endmember fraction images produced visually highlight different surface types. The  
232 vegetation fraction image derived from ETM+ data (Figure 5) shows vegetation fractions of  
233 known forested areas of  $>0.5$  whereas most of fresh lava surfaces have fractions  $<0.2$ . Fraction  
234 images for the different lava endmembers clearly show contrasted lava flows, the old-aged lava  
235 fraction image showing high fraction values for the flows erupted from 1967 to 1994, the  
236 intermediated-aged fraction image for the 1991-93 flow alone and the young-aged fraction  
237 image for the flows generated between 1998 and 2002. The ALI vegetation fraction image  
238 (Figure 6) also shows very good visual agreement with known vegetated areas. The old-aged  
239 lava fraction image for ALI highlights the flows erupted from 1980 to 1998, and part of the 2001  
240 flow. The 2001 and 2002 flows are prominent in the intermediate-aged lava fraction image,  
241 while the entire 2004 flow and part of the 2002 flow are highlighted in the young-aged lava  
242 fraction image.

243 For quantitative analysis, we also calculated the mean fraction of each endmember for each  
244 previously identified lava flow fields. The ETM+ image includes 21 flows erupted from 1938 to  
245 2002, while 12 flows erupted from 1951 to 2004 are partially covered by the masked ALI image.  
246 All the flows in the two images have mean endmember fractions ranging from  $\sim 0$  to  $>0.7$   
247 (Figure 9). In the ETM+ image, the 1938-40, 1951-52 and 1957 flows have very high vegetation  
248 fractions ( $>0.5$ ). These values decrease gradually for more recent flows and drop to  $<0.1$  for  
249 flows less than 13 years old. The old-aged lava endmember covers  $>50\%$  on 8 flows between 8  
250 and 32 years old. The fraction of old-aged lava is lower on more recent flows but also on those  
251 older than 32 years. The 1991-93 flow is the only one that has an intermediate-aged lava

252 fraction >0.5. Except for the 1956 flow, the young-aged lava fraction decreases from >0.5 to ~0  
 253 with age, reaching its highest value on the freshest 2000, 2001 and 2002 flows, and drops to <  
 254 10% for those older than 10 years. In case of the ALI image, as the lava age increases, the  
 255 vegetation fraction also increases while the young-aged lava fraction decreases. The 1984,  
 256 1987-88 and 1994 flows have higher old-age lava fractions than older flows, yet the older flows  
 257 also contain a significant fraction of this endmember. Highest intermediate-aged lava fractions  
 258 are observed on the 2001 and 2002 flows (9-11 years after emplacement), immediately  
 259 followed by the 1996 flow.



260



261

262 *Figure 9 Mean endmember fractions for the lava flows covered (partially) by the ETM+ (a) and ALI (b)*  
 263 *images. The fractions of each endmember change as lava ages.*

264 Particular attention was given to the mean vegetation fraction observed for each flow. *Figure*

265 *10* presents the relationship between the mean vegetation fraction of flows and their age. The

266 age of each flow is the interval, in decimal years, between the ending date of the lava

267 emplacement and the acquisition date of the satellite image. The two scatter plots show that

268 early erupted flows have a high vegetation fraction and vice versa but there are noticeable

269 outliers. In the ETM+ scatter plot, the 1958 and 1987-88 flows are slightly offset from the main

270 trend but the 1938-40 and 1956 flows are far from the observed trend. The only outlier in the

271 ALI scatter plot is the 1951-52 flow. The relationship between the age of ETM+ flows and their

272 vegetation fraction was modelled by a second order polynomial function excluding the 1938-40

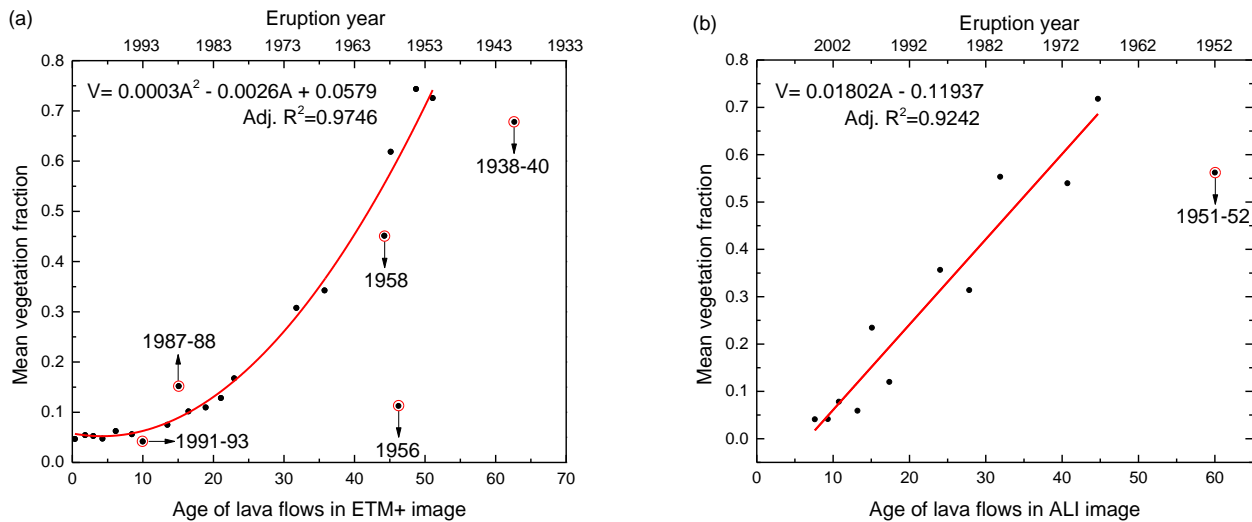
273 and 1956 flows:

274  $V = 0.0003A^2 - 0.0026A + 0.0579 (R^2 = 0.9746).$

275 For the ALI flows, the relationship between lava age and vegetation fraction, excluding the  
276 1951-52 flow, is linear:

277  $V = 0.01802A - 0.11937$  ( $R^2 = 0.9242$ ).

278 In the two equations,  $V$  and  $A$  are the mean vegetation fraction and age of each flow.



279  
280 *Figure 10 Scatter plots of the age of lava flows in the ETM+ (a) and ALI (b) images against their*  
281 *vegetation fraction.*

## 282 5. Discussion

### 283 5.1 Physical meaning of endmembers

284 The results of this study show that LSMA clearly discriminate endmembers on the active  
285 tropical volcanic terrains analysed: irrespective of the distribution of pixels in feature space,  
286 endmember candidates lie at the vertices of the distribution, as these pixels are potentially  
287 homogenous and least correlated (Van der Meer and Jia, 2012). The feature subspaces of the

288 first two MNF components clearly show three vertices, suggesting that there are at least three  
289 endmembers, rather than two, i.e. vegetation and lava.

290 Next to a vegetation endmember, three lava endmembers are discernible in both the ETM+ and  
291 ALI feature spaces, which seem can be related to lava surfaces of different age. The clear  
292 increase in reflectance from band 3 to bands 4 (ETM+) and 4/4p (ALI), which is observed for the  
293 old-aged lava endmember, resembles the red-edge effect of vegetation (Seager et al., 2005).  
294 The increase from bands 4/4p to 5 (ETM+) and 5p (ALI) is however opposite to vegetation  
295 spectra. We suggest that this increase is due to the presence of lichens (Figure 2(b)) whose  
296 spectra show a gradual increase in reflectance from 0.4 to 1  $\mu\text{m}$  (Rees et al., 2004).

297 Lava endmembers show a sharper decrease from bands 5p/5 to 7 with age, which is consistent  
298 with the fact that chemical composition change due to weathering affects the SWIR reflectance  
299 properties of lava (Ehara Suryantini et al., 2005). In contrast with the other lava endmembers,  
300 the ETM+ young-aged lava endmember shows no decrease from band 5 to 7, which can be  
301 explained by its young age. This lava endmember corresponds to very fresh flows erupted from  
302 2000 to 2002 whereas the ALI young-aged lava endmember is characterized by flows erupted  
303 over the previous 8-10 years. Our results also demonstrate that differences in spectral  
304 properties of lavas can be used to map lava flows of different age (Abrams et al., 1996).

## 305 ***5.2 Accuracy of spectral unmixing***

306 The ALI-modeled vegetation fraction is generally consistent with, but lower than the Pleiades-  
307 derived vegetation fraction. This underestimation may be due to the specification of the  
308 vegetation endmember in the LSMA model. The ALI RMS image (Figure 7(b)) shows that

309 vegetated surfaces are affected by higher unmixing errors than lava surfaces, which implies that  
310 vegetation is not well modeled in this image. Vegetation growing on volcanoes covers a wide  
311 range, including green ferns, tall trees, scattered bushes and dense grass, and only one  
312 vegetation endmember may not perfectly characterize the spectra of all the plants present on  
313 the image.

314 Traditional classification cannot characterize the spatial pattern and vegetation colonization  
315 range of lava surfaces. Vegetation indices such as NDVI (normalized difference vegetation index)  
316 are able to characterize the evolution of the vegetation fraction but do not capture other  
317 spectral changes associated with lava aging. Our case study of Nyamuragira's volcanic field  
318 demonstrates the potential of LSMA for identifying different lava endmembers enabling  
319 discrimination of contrasted lava surfaces. Endmember fraction distributions spatially and  
320 spectrally correspond to lava surfaces of different age. A clear interpretation of the three  
321 contrasted lava endmembers is challenging but we suggest that lichen growth and chemical  
322 weathering on lava surfaces contribute to the spectral differences between them. Improving  
323 the interpretation of the endmembers and optimizing their selection using field spectral data,  
324 which was not possible in this study due to DRC's political situation, could be a logical step to  
325 improve the obtained results. Another option might be to reduce endmember class variability  
326 by subdividing vegetation into subclasses like forest, bushes and grassland, and optimizing  
327 endmember selection for specific lava flows using multiple endmember spectral mixture  
328 analysis ([Demarchi et al., 2012](#)).

### 329 ***5.3 Endmember distribution of lava flows***

330 Results show significant changes in endmember fractions over the 9 years between the two  
331 analyzed scenes: an increase in the vegetation fraction (e.g. on the 1967 and 1980 flows), a  
332 decrease in the young-aged lava fraction (e.g. by 30~50% on the 2001 and 2002 flows) and in  
333 the intermediate-aged lava fraction. These changes emphasize that endmembers are indeed  
334 related to lava surface age rather than to other surface characteristics.

335 Analysis of the mean vegetation fraction for each lava flow from both images reveals that, as  
336 expected, earlier erupted flows tend to have higher vegetation coverage than recently erupted  
337 ones. This finding agrees with the study of [Head et al. \(2013\)](#) reporting a clear increase in  
338 reflectance for the ETM+ band 4 as lava ages, and the study of [Abrams et al., \(1991\)](#) finding that  
339 older prehistoric flows have higher vegetation cover. The abnormal values of vegetation  
340 coverage for the ETM+ 1938-40, 1956, 1958 and 1987-88 (*Figure 10*) flows can be explained by  
341 site-specific conditions. The 1938-40 flow field has been deforested by communities  
342 progressively encroaching on the area, leading to vegetation coverage clearly lower than, for  
343 example, the 1951-52 and 1954 flows. The 1956 flow is the only one situated in Nyamuragira's  
344 caldera (above 3000 m a.s.l.), and consequently re-vegetation is much more limited. The lower  
345 vegetation fraction of the 1958 flow field is very likely the result of being partially covered by  
346 the 1991-93 flows as well as being affected by the large amount of gas and tephra emitted by  
347 this long-lasting eruption. As for the 1987-88 flows, vegetation growth might have benefited  
348 from the thin tephra layer produced by the 2001 eruption offering substrate for the initial  
349 growth of plants ([Deligne et al., 2013](#)). The ALI 1951-52 flow's low vegetation fraction probably  
350 results from tephra fallout generated by the nearby 2001, 2002 and 2004 eruptions. Repeated

351 exposure to tephra fall and gas from these eruptions might have degraded the vegetation,  
352 resulting in a decrease from 0.73 in 2003 to 0.56 in 2012.

353 The different relationship between vegetation fraction and lava age between the ALI and ETM+  
354 data (linear and second-order polynomial) is probably due to the fact that only 2 flows less than  
355 10 years old are present in the ALI scene compared to 7 in the ETM+ scene. It is suggested that  
356 at Nyamuragira, vegetation starts colonizing lava surfaces 10-15 years after their emplacement  
357 and occupy >50% of the lava surfaces after approximately 40 years. This finding confirms that  
358 vegetation recolonization in tropical environments occurs within a few decades after the  
359 eruption (De Rose et al., 2011). Our results obtained with the ETM+ image further suggest that  
360 vegetation recovery in these environments is slow during the first 10 years after eruptions but  
361 accelerates afterwards. The transition from bare lava to fully recovered vegetation, which is  
362 characterized by different stages of colonization by lichens and chemical alteration, can be  
363 associated to lava's spectral characteristics. This opens the possibility of using LSMA for  
364 estimating the relative age of undated volcanic surfaces and consequently supporting the  
365 evaluation of the frequency and recurrence period of effusive activity in active volcanic areas.

## 366 **6. Conclusions**

367 This study focused on the use of LSMA for characterizing Nyamuragira's lava flow fields. From  
368 our results, we conclude that:

369 •Linear spectral mixture analysis is capable of characterizing Nyamuragira's volcanic field and  
370 discriminating different lava surfaces.



371 •Three lava endmembers can be identified as lava of old, intermediate and young age based  
372 on its spectral and spatial characteristics. It is hypothesized that the three spectrally distinct  
373 lava endmembers correspond to different stages in lichen growth and chemical weathering.

374 •Vegetation fraction is highly correlated with lava age. In our study, LSMA has enabled the  
375 quantification of vegetation cover with time, and hence a timeline for vegetation recovery. At  
376 Nyamuragira, vegetation starts to significantly colonize lava about 15 years after eruption, and  
377 occupies more than 50% of the lava surface after about 40 years.

378 This study contributes to a better understanding of the spectral evolution of lava flows with  
379 time and the influence of vegetation colonization on these spectral characteristics, and also  
380 demonstrates the potential of LSMA for mapping lava flows of different age based on changes  
381 in spectral behaviour. The approach presented in this study could be transferred to other  
382 volcanic regions characterized by lava flows of contrasted compositions or different volcanic  
383 deposits.

## 384 **Acknowledgements**

385 L. Li wishes to acknowledge the China Scholarship Council for supporting his research at the  
386 Vrije Universiteit Brussel. Thanks go to Benoît Smets for providing GIS data of Nyamuragira  
387 volcano, and Prof Freek Van der Meer for his help related to the application of LSMA. The  
388 Pleiades data were provided by Astrium GEO-Information Services in the framework of the  
389 PLEIADES Users Group (PUG-58). This study contributes to the project GeoRisCA (SD/RI/02A)  
390 funded by the Belgian Science Policy.

## 391 **References**

- 392 Abrams, M., Abbott, E., Kahle, A., 1991. Combined use of visible, reflected infrared, and thermal  
393 infrared images for mapping Hawaiian lava flows. *J. Geophys. Res.* 96, 475–484.
- 394 Abrams, M., Bianchi, R., Pieri, D., 1996. Revised Mapping of Lava Flows on Mount Etna, Sicily.  
395 *Photogrammetric Eng. Remote Sens.* 62, 1353–1359.
- 396 ASTRIUM, 2012. Pleiades imagery user guide. Toulouse.
- 397 Ayris, P.M., Delmelle, P., 2012. The immediate environmental effects of tephra emission. *Bull. Volcanol.*  
398 74, 1905–1936.
- 399 Colclough, S., 2006. Investigations of Nyamuragira and Nyiragongo volcanoes (Democratic Republic of  
400 the Congo) using InSAR, in: *Fringe Workshop*. pp. 1–6.
- 401 De Rose, R.C., Oguchi, T., Morishima, W., Collado, M., 2011. Land cover change on Mt. Pinatubo, the  
402 Philippines, monitored using ASTER VNIR. *Int. J. Remote Sens.* 32, 9279–9305.
- 403 Del Moral, R., Grishin, S.Y., 1999. Volcanic disturbances and ecosystem recovery, in: Walker, L.R.  
404 (Ed.), *Ecosystems of Disturbed Ground*. Elsevier Science, pp. 137–155.
- 405 Deligne, N.I., Cashman, K. V., Roering, J.J., 2013. After the lava flow: The importance of external soil  
406 sources for plant colonization of recent lava flows in the central Oregon Cascades, USA.  
407 *Geomorphology* 202, 15–32.
- 408 Demarchi, L., Canters, F., Chan, J.C.-W., Van de Voorde, T., 2012. Multiple Endmember Unmixing of  
409 CHRIS/Proba Imagery for Mapping Impervious Surfaces in Urban and Suburban Environments.  
410 *IEEE Trans. Geosci. Remote Sens.* 50, 3409–3424. doi:10.1109/TGRS.2011.2181853
- 411 Deng, C., Wu, C., 2013. Estimating very high resolution urban surface temperature using a spectral  
412 unmixing and thermal mixing approach. *Int. J. Appl. Earth Obs. Geoinf.* 23, 155–164.
- 413 Ehara Suryantini, S., Van Ruitenbeek, F.J.A., Van der Meer, F.D., 2005. The effect of weathering on  
414 reflectance spectra of hydrothermal white micas and chlorites: Implications for alteration mapping,  
415 in: Jingwen, M., Bierlein, F.P. (Eds.), *Proceedings of the Eighth Biennial SGA Meeting*. Springer,  
416 Beijing, pp. 703–706.
- 417 Ernst, G.G.J., Kervyn, M., Teeuw, R.M., 2008. Advances in the remote sensing of volcanic activity and  
418 hazards, with special consideration to applications in developing countries. *Int. J. Remote Sens.* 29,  
419 6687–6723.
- 420 Francis, P., Rothery, D., 2000. Remote Sensing of Active Volcanoes. *Annu. Rev. Earth Planet. Sci.*  
421 doi:10.1146/annurev.earth.28.1.81
- 422 Head, E.M., Maclean, A.L., Carn, S.A., 2013. Mapping lava flows from Nyamuragira volcano (1967–  
423 2011) with satellite data and automated classification methods. *Geomatics, Nat. Hazards Risk* 4,  
424 119–144.

- 425 Hearn, D.R., Digenis, C.J., Lencioni, D.E., Mendenhall, J.A., Evans, J.B., Welsh, R.D., 2001. EO-1  
 426 Advanced Land Imager overview and spatial performance, in: IGARSS 2001. Scanning the Present  
 427 and Resolving the Future. Proceedings. IEEE 2001 International Geoscience and Remote Sensing  
 428 Symposium (Cat. No.01CH37217). IEEE, pp. 897–900.
- 429 Heinz, D.C., Chang, C., 2001. Mixture analysis method for material quantification in hyperspectral  
 430 imagery. *IEEE Geosci. Remote Sens.* 39, 529–545.
- 431 Lawrence, R., Ripple, W., 2000. Fifteen years of revegetation of Mount St. Helens: a landscape-scale  
 432 analysis. *Ecology* 81, 2742–2752.
- 433 Ramsey, M.S., Fink, J.H., 1999. Estimating silicic lava vesicularity with thermal remote sensing: a new  
 434 technique for volcanic mapping and monitoring. *Bull. Volcanol.* 61, 32–39.
- 435 Rees, W.G., Tutubalina, O.V., Golubeva, E.I., 2004. Reflectance spectra of subarctic lichens between 400  
 436 and 2400 nm. *Remote Sens. Environ.* 90, 281–292.
- 437 Seager, S., Turner, E., Schafer, J., Ford, E., 2005. Vegetation's red edge: a possible spectroscopic  
 438 biosignature of extraterrestrial plants. *Astrobiology* 4, 1–25.
- 439 Smets, B., D'Oreye, N., Kervyn, F., Kervyn, M., Albino, F., Arellano, S.R., Bagalwa, M., Balagizi, C.,  
 440 Carn, S.A., Darrah, T.H., Fernández, J., Galle, B., González, P.J., Head, E., Karume, K., Kavotha,  
 441 D., Lukaya, F., Mashagiro, N., Mavonga, G., Norman, P., Osodundu, E., Pallero, J.L.G., Prieto, J.F.,  
 442 Samsonov, S., Syaushwa, M., Tedesco, D., Tiampo, K., Wauthier, C., Yalire, M.M., 2014. Detailed  
 443 multidisciplinary monitoring reveals pre- and co-eruptive signals at Nyamulagira volcano (North  
 444 Kivu, Democratic Republic of Congo). *Bull. Volcanol.* 76, 1–35.
- 445 Smets, B., Wauthier, C., D'Oreye, N., 2010. A new map of the lava flow field of Nyamulagira (D.R.  
 446 Congo) from satellite imagery. *J. African Earth Sci.* 58, 778–786.
- 447 Sohn, Y., McCoy, R., 1997. Mapping desert shrub rangeland using spectral unmixing and modeling  
 448 spectral mixtures with TM data. *Photogramm. Eng. Remote Sensing* 63, 707–716.
- 449 Somers, B., Asner, G.P., Tits, L., Coppin, P., 2011. Endmember variability in Spectral Mixture Analysis:  
 450 A review. *Remote Sens. Environ.* 115, 1603–1616.
- 451 Sonnentag, O., Chen, J.M., Roberts, D. a., Talbot, J., Halligan, K.Q., Govind, A., 2007. Mapping tree and  
 452 shrub leaf area indices in an ombrotrophic peatland through multiple endmember spectral unmixing.  
 453 *Remote Sens. Environ.* 109, 342–360.
- 454 Van de Voorde, T., De Roeck, T., Canters, F., 2009. A comparison of two spectral mixture modelling  
 455 approaches for impervious surface mapping in urban areas. *Int. J. Remote Sens.* 30, 4785–4806.
- 456 Van der Meer, F.D., Jia, X., 2012. Collinearity and orthogonality of endmembers in linear spectral  
 457 unmixing. *Int. J. Appl. Earth Obs. Geoinf.* 18, 491–503.
- 458 Weng, Q., Lu, D., Schubring, J., 2004. Estimation of land surface temperature–vegetation abundance  
 459 relationship for urban heat island studies. *Remote Sens. Environ.* 89, 467–483.

- 460 Wu, C., 2004. Normalized spectral mixture analysis for monitoring urban composition using ETM+  
461 imagery. *Remote Sens. Environ.* 93, 480–492.
- 462 Wu, C., Murray, A.T., 2003. Estimating impervious surface distribution by spectral mixture analysis.  
463 *Remote Sens. Environ.* 84, 493–505.
- 464 Zhang, J., Rivard, B., Sánchez-Azofeifa, A., 2005. Spectral unmixing of normalized reflectance data for  
465 the deconvolution of lichen and rock mixtures. *Remote Sens. Environ.* 95, 57–66.
- 466