

# Supplementary information

## A continuum model for lithium plating and dendrite formation in lithium-ion batteries: formulation and validation against experiment

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### 1 Numerical solution of SPM with plating model

We use second-order finite element approximation given in<sup>1</sup> for radial discretisation in  $r$  of the microscopic equations and MATLAB's `ode15s` routine for time integration. We introduce a set of points  $r_i$ ,  $i = 0, \dots, N$  with step size  $\Delta_r = r_{i+1} - r_i$  and denote the corresponding values of  $c_a^i(t)$ ,  $\psi_a(t)$ ,  $C_{\text{tot}}(t)$ ,  $C_{\text{in}}(t)$ ,  $c_c^i(t)$ ,  $\psi_c(t)$ , for galvanostatic (dis)charge where the current was considered as an input and voltage as an output. For potentiostatic (dis)charge (where the voltage was considered as an input and current as an output) corresponding values are  $c_a^i(t)$ ,  $\psi_a^i(t)$ ,  $C_{\text{tot}}(t)$ ,  $C_{\text{in}}(t)$ ,  $c_c^i(t)$ ,  $\psi_c^i(t)$ ,  $I^i(t)$ . In total, we have  $2N + 4$  equations and our solution vector thus consists of a total of  $2N + 4$  unknowns. We assemble the unknown functions of time into one large vector  $\mathbf{u}(t)$  as follows

$$\mathbf{u}(t) = [c_a^1, \dots, c_a^2, \dots, c_a^N, \psi_a, C_{\text{tot}}, C_{\text{in}}, c_c^1, \dots, c_c^2, \dots, c_c^N, \psi_c]' \quad (1)$$

$$= [\mathbf{c}_a(t), \psi_a(t), C_{\text{tot}}(t), C_{\text{in}}(t), \psi_c(t), \mathbf{c}_c(t)]' \quad (2)$$

This leads us to the following system of DAEs

$$\mathbf{M} \frac{d\mathbf{u}}{dt} = \mathbf{f}(\mathbf{u}), \quad \text{with} \quad \mathbf{u}|_{t=0} = \mathbf{u}_0. \quad (3)$$

Here  $\mathbf{M}_{(2N+4) \times (2N+4)}$  is the mass matrix whose entries are coefficients of the time derivatives and the function  $\mathbf{f}(\mathbf{u})$  is non-linear and returns a vector of dimension  $2N + 4$ . Its entries

14 represent the right-hand side of the discretised equations. To solve this temporal system of  
 15 DAEs we use `ode15s` from MATLAB. Note that for potentiostatic (discharge) our solution  
 16 vector will be  $\mathbf{u} = [\mathbf{c}_a(t), \psi_a(t), C_{\text{tot}}(t), C_{\text{in}}(t), \psi_c(t), \mathbf{c}_c(t), I(t)]^T$  and the size of the mass  
 17 matrix will also increase by one row and column *i.e.*  $(2N + 5) \times (2N + 5)$ .

## 18 1.1 Conservation properties

19 In this section, we will derive the conservation properties of Li in each phase. These have  
 20 been used as a validation that the numerical method performs as expected.

21 **Total Li concentration in mols in anode particles** The total concentration of Li in  
 22 the anode particles is denoted by  $C_a$  and give by

$$C_a = \iiint_{\Omega_a} c_a dV_{\Omega_a}$$

23 where  $c_a$  is the Li-ion concentration in anode particles and  $\Omega_a = (0, R_a)$  is the region where  
 24 we compute the total concentration of Li in anode particles. By equation (2) from the main  
 25 paper

$$\iiint_{\Omega_a} \frac{\partial c_a}{\partial t} dV_{\Omega_a} = \iiint_{\Omega_a} \frac{1}{r_a^2} \frac{\partial}{\partial r_a} \left( r_a^2 D_a(c_a, T) \frac{\partial c_a}{\partial x} \right) dV_{\Omega_a}$$

26 By the divergence theorem we get

$$\frac{dC_a}{dt} = - \iint_{\partial\Omega_a} (\mathcal{F}_{c_a} \cdot \hat{\mathbf{n}}_{c_a}) dS,$$

27 here  $\partial\Omega_a$  is the boundary of region  $\Omega_a$  and  $\hat{\mathbf{n}}_{c_a}$  is unit normal vector to the surface  $\partial\Omega_a$ .  
 28 Hence the rate of change in the total concentration of Li in graphite particles is given below

$$\frac{dC_a}{dt} = -4\pi R_a^2 \left( \xi_e \frac{j_{ae}}{F} - \xi_p m_{pa} \right). \quad (4)$$

29 and

$$C_a = \iiint_{\Omega_a} c_a dV_{\Omega_a} = \int_0^{R_a} \int_0^{2\pi} \int_0^\pi c_a r^2 \sin(\phi) d\phi d\theta dr = 4\pi \int_0^{R_a} r^2 c_a dr. \quad (5)$$

30 **Total Li concentration in mols in cathode particles** The total concentration of Li in  
 31 the cathode particles is denoted by  $C_c$  and given by

$$C_c = \iiint_{\Omega_c} c_c dV_{\Omega_c}$$

32 where  $c_c$  is the Li-ion concentration in the cathode and  $\Omega_c = (0, R_c)$  is the region where we  
 33 compute the total concentration of Li in cathode particles. By equation (24) from the main  
 34 paper

$$\iiint_{\Omega_c} \frac{\partial c_c}{\partial t} dV_{\Omega_c} = \iiint_{\Omega_c} \frac{1}{r_c^2} \frac{\partial}{\partial r_c} \left( r_c^2 D_c(c_c) \frac{\partial c_c}{\partial x} \right) dV_{\Omega_c}$$

35 By the divergence theorem, we get

$$\frac{dC_c}{dt} = - \iint_{\partial\Omega_c} (\mathcal{F}_{c_c} \cdot \hat{\mathbf{n}}_{c_c}) dS,$$

36 here  $\partial\Omega_c$  is the boundary of region  $\Omega_c$  and  $\hat{\mathbf{n}}_{c_c}$  is unit normal vector to the surface  $\partial\Omega_c$ .  
 37 Hence the rate of change in total concentration of Li in cathode particles is given below

$$\frac{dC_c}{dt} = -4\pi R_c^2 \frac{j_{ce}}{F} \quad (6)$$

38 where

$$C_c = \iiint_{\Omega_c} c_c dV_{\Omega_c} = \int_0^{R_c} \int_0^{2\pi} \int_0^\pi c_c r^2 \sin(\phi) d\phi d\theta dr = 4\pi \int_0^{R_c} r^2 \frac{\partial c_c}{\partial t} dr. \quad (7)$$

39 Now we can claim that Li is conserved throughout the system.

## 40 2 The DFN model with Li plating

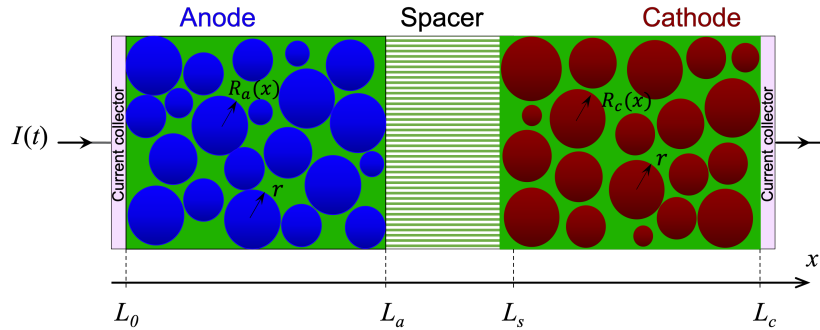


Figure 1: A schematic of the full cell geometry.

41 In this section, we extend our SPM with Li plating model to DFN with Li plating model.  
 42 For notation purposes, we follow the equations given in<sup>1</sup>. We consider the DFN model posed  
 43 on a one-dimensional cell lying between  $x = L_0$ ,  $x = L_c$  (as illustrated Fig. 1) consisting of  
 44 an anode in  $L_0 < x < L_a$ , a separator in  $L_a < x < L_s$ , and a cathode in  $L_s < x < L_c$ . As  
 45 shown in Fig. 2 in the main paper, the thin layer on the surface of the graphite particle is  
 46 comprised of several phases, namely plated Li, electrolyte, and SEI. Therefore

$$\xi_s + \xi_p(x, t) + \xi_e(x, t) = 1 \quad (8)$$

47 pertains in the surface layer, where  $\xi_s$  is the constant volume fraction of SEI,  $\xi_p$  is the volume  
 48 fraction of plated Li and  $\xi_e$  is the volume fraction of electrolyte. The equation governing  
 49 ionic transport through the electrolyte

$$\frac{\partial(\epsilon_l c)}{\partial t} + \frac{\partial N_-}{\partial x} = 0, \quad N_- = -\mathcal{B}(\epsilon_l) D_e(c) \frac{\partial c}{\partial x} - (1 - t_0^+) \frac{j}{F} \quad \text{in } L_0 < x < L_c, \quad (9)$$

50 where  $x$  is the position through the electrode and  $t$  is the time and the volume fraction of  
 51 electrolyte (porosity) is defined as

$$\epsilon_l(x, C_{\text{out}}, t) = \begin{cases} 1 - \epsilon_a - \epsilon_d(x, t) & \text{in } L_0 \leq x < L_a, \\ \epsilon_s & \text{in } L_a < x < L_s, \\ 1 - \epsilon_c & \text{in } L_s \leq x < L_c, \end{cases} \quad (10)$$

52 and initial porosity is

$$\epsilon_l|_{t=0} = \begin{cases} 1 - \epsilon_a & \text{in } L_0 \leq x < L_a, \\ \epsilon_s & \text{in } L_a < x < L_s, \\ 1 - \epsilon_c & \text{in } L_s \leq x < L_c, \end{cases} \quad (11)$$

53 where  $\epsilon_a$  is the volume fraction of anode particles in the anode,  $\epsilon_s$  is the local volume fraction  
 54 of electrolyte in separator, and  $\epsilon_c$  is the volume fraction of cathode particles,  $\epsilon_d$  is the volume  
 55 fraction of plated Li and given as

$$\epsilon_d = n_a \frac{C_{\text{out}} V_{\text{Li}}}{AL_a} \quad (12)$$

56 where  $n_a$  is the total number of particles in the anode which is given by  $n_a = AL_a \epsilon_a / (4\pi R_a^3 / 3)$   
 57 where  $A$  is the cross-section area of the cell and  $L_a$  is the thickness of the anode.  $C_{\text{out}}$  is the  
 58 concentration of irreversible plated Li in mols,  $V_{\text{Li}}$  is the partial molar volume of Li-metal,  $c$   
 59 is the molar concentration of ions in the electrolyte,  $N_-$  is the effective flux of anions across  
 60 the electrolyte,  $D$  is the ionic diffusivity of the electrolyte and  $\mathcal{B}$  is the permeability factor  
 61 is given by Bruggeman relation

$$\mathcal{B}(\epsilon_l) = \epsilon_l^{1.5}(x, C_{\text{out}}, t) \quad (13)$$

62  $t^+$  is the transference number,  $j$  is the ionic current density and  $F$  is Faraday's constant. In  
 63 contrast to some other authors, we opt to write the conservation equation in terms of the  
 64 anion flux,  $N_-$ . The ionic current in the electrolyte given by

$$\frac{\partial j}{\partial x} = \begin{cases} b_{ae} j_{ae} + b_{pe} j_{pe} & \text{in } L_0 \leq x < L_a, \\ 0 & \text{in } L_a < x < L_s, \\ b_{ce} j_{ce} & \text{in } L_s \leq x < L_c, \end{cases} \quad (14)$$

$$j = -\mathcal{B}(\epsilon_l) \kappa(c) \left( \frac{\partial \Phi}{\partial x} - \frac{2RT}{F} \frac{1 - t_0^+}{c} \frac{\partial c}{\partial x} \right) \quad \text{in } L_0 < x < L_c, \quad (15)$$

65 here  $b_{ae} = 4\pi R_a^2 n_a \xi_e / AL_a$  is the surface area between anode particles and electrolyte per  
 66 unit volume of the anode,  $b_{pe} = n_a (A_{pe} \xi_p + \mathcal{N}) / AL_a$  is the surface area between plate and  
 67 electrolyte per volume of anode (with units of  $m^{-1}$ ),  $A_{pe}$  is the total surface of the pores in  
 68 the SEI and  $\mathcal{N}$  is the area of the graphite's surface where nucleation can occur given in Fig. 2  
 69 of the main paper.  $j_{ae}$  is the reaction rate which is zero in the separator and given by the  
 70 Butler-Volmer equations in the electrode and it is zero in the separator given in (38),  $j_{pe}$  is the  
 71 current density due to the oxidation of Li metal directly into the electrolyte given in (42),  
 72  $b_{ce}$  is the surface area between cathode particles and electrolyte per unit volume of cathode

73 and  $j_{ce}$  is the reaction rate from cathode to electrolyte given in equation (40).  $\kappa$  is the ionic  
 74 conductivity,  $\Phi$  is the electric potential in the electrolyte,  $R$  is the (molar/universal/ideal)  
 75 gas constant and  $T$  is the absolute temperature. The electric transport through the anode  
 76 is given by

$$\frac{\partial j_a}{\partial x} = -(b_{ae}j_{ae} + b_{pe}j_{pe}), \quad \text{in } L_0 < x < L_a, \quad (16)$$

$$j_a = -\sigma_a \frac{\partial \Phi_a}{\partial x}, \quad \text{in } L_0 < x < L_a, \quad (17)$$

$$b_{pe}j_{pe} = \frac{1}{AL_a} (A_{pe}\xi_p j_{pe} + \mathcal{N}(j_{pe}^+ \mathcal{H}(\xi_p) + j_{pe}^-)). \quad (18)$$

77 The electric transport through the cathode is given by

$$\frac{\partial j_c}{\partial x} = -b_{ce}j_{ce}, \quad j_c = -\sigma_c \frac{\partial \Phi_c}{\partial x}, \quad \text{in } L_s < x < L_c, \quad (19)$$

78 where the macroscopic boundary and initial conditions on the model are

$$j_a|_{x=L_0} = \frac{I(t)}{A}, \quad N_-|_{x=L_0} = 0, \quad j|_{x=L_0} = 0, \quad j_a|_{x=L_a} = 0, \quad (20)$$

$$j_c|_{x=L_s} = 0, \quad j_c|_{x=L_c} = \frac{I(t)}{A}, \quad N_-|_{x=L_c} = 0, \quad j|_{x=L_c} = 0, \quad (21)$$

79 representing galvanostatic discharge at a current  $I(t)$  which flows into the anode current  
 80 collector on  $x = L_0$  through the anode particles and out through the cathode current collector  
 81 on  $x = L_c$  through the cathode particles (Fig. 1). No electronic current passes through the  
 82 electronically insulating separator. Li transport equations posed in representative spherical  
 83 electrode particles which occupy the regions  $0 \leq r \leq R_a(x)$  in the anode  $L_0 < x < L_a$  and  
 84  $0 \leq r \leq R_c(x)$  in the cathode ( $L_s < x < L_c$ ), where  $R_a(x)$  and  $R_c(x)$  are allowed to vary in  
 85 space. The microscopic equations and boundary conditions on the anode are given by

$$\left. \begin{aligned} \frac{\partial c_a}{\partial t} &= \frac{1}{r^2} \frac{\partial}{\partial r} \left( r^2 D_a(c_a, T) \frac{\partial c_a}{\partial r} \right) \quad \text{in } 0 < r < R_a(x) \\ -D_a(c_a, T) \frac{\partial c_a}{\partial r} \Big|_{r=R_a(x)} &= 0, \quad -D_a(c_a, T) \frac{\partial c_a}{\partial r} \Big|_{r=R_a(x)} = \xi_e j_{ae}/F - \xi_p m_{pa} \end{aligned} \right\} \quad \text{in } L_0 < x < L_a, \quad (22)$$

86 and on cathode given by

$$\left. \begin{aligned} \frac{\partial c_c}{\partial t} &= \frac{1}{r^2} \frac{\partial}{\partial r} \left( r^2 D_c(c_c, T) \frac{\partial c_c}{\partial r} \right) \quad \text{in } 0 < r < R_c(x) \\ D_c(c_c, T) \frac{\partial c_c}{\partial r} \Big|_{r=R_c(x)} &= 0, \quad -D_c(c_c, T) \frac{\partial c_c}{\partial r} \Big|_{r=R_c(x)} = j_{ce}/F \end{aligned} \right\} \quad \text{in } L_s < x < L_c, \quad (23)$$

87 The total number of mols of Li plated on the surface of the anode particle (in both reversible  
 88 and irreversible form),  $C_{\text{tot}}$ , is given by

$$\frac{dC_{\text{tot}}}{dt} = -4\pi R_a^2 m_{pa} \xi_p - \frac{j_{pe}}{F} A_{pe} \xi_p - \frac{\mathcal{N}}{F} (j_{pe}^+ \mathcal{H}(\xi_p) + j_{pe}^-), \quad (24)$$

$$C_{\text{tot}}|_{t=0} = 0, \quad (25)$$

89 recoverable plated Li is account by

$$\frac{dC_{\text{in}}}{dt} = \begin{cases} \frac{dC_{\text{tot}}}{dt} & \text{if } 0 < \xi_p < \xi_p^\bullet \text{ or } \frac{dC_{\text{tot}}}{dt} \leq 0, \\ 0 & \text{otherwise} \end{cases}, \quad (26)$$

90 and dead plated Li is account by

$$\frac{dC_{\text{out}}}{dt} = \begin{cases} 0 & \text{if } 0 < \xi_p < \xi_p^\bullet \text{ or } \frac{dC_{\text{tot}}}{dt} \leq 0, \\ \frac{dC_{\text{tot}}}{dt} & \text{otherwise} \end{cases}, \quad (27)$$

91 where  $\xi_p^\bullet \leq 1 - \xi_s$  is the threshold volume fraction of the surface film that the Li metal can  
 92 occupy before it starts to grow outside with SEI (illustrated in Fig. 2 of the main paper),  
 93 where  $s_a - R_a$  is the SEI thickness. We equip the following initial conditions

$$C_{\text{in}}|_{t=0} = 0, \quad C_{\text{out}}|_{t=0} = 0. \quad (28)$$

94 Summing (26) and (27), integrating with respect to time and imposing (25) and (28) leads  
 95 to the reassuring conclusion that

$$C_{\text{tot}} = C_{\text{in}} + C_{\text{out}}. \quad (29)$$

96 The volume fraction of the surface film occupied by Li metal and the number of mols of  
 97 recoverable Li metal are related via

$$C_{\text{in}} = \frac{4\pi\xi_p(s_a^3 - R_a^3)}{3V_{\text{Li}}}, \quad (30)$$

98 Initial conditions are provided for the ion concentration in the electrolyte

$$c|_{t=0} = c_0, \quad (31)$$

99 and likewise for those in the active materials in the anode and cathode are given by

$$c_a|_{t=0} = c_{a,0}, \quad c_c|_{t=0} = c_{c,0}, \quad (32)$$

100 When considering battery safety it is of paramount importance to be able to discern when  
 101 dendrites are inert and when they are live and a potential source of short-circuiting. Li metal  
 102 outside of the porous SEI is apportioned into two phases;  $C_{\text{out}}^+$  denotes Li metal outside the  
 103 SEI that remains electronically connected to the anode whilst  $C_{\text{out}}^0$  denotes Li metal outside  
 104 the SEI that is electronically disconnected and therefore inert/dead. We have

$$C_{\text{out}} = C_{\text{out}}^+ + C_{\text{out}}^0. \quad (33)$$

105 We assume that as Li metal grows outside of the SEI it does so in the form of dangerous  
 106 live dendrites. These electronically connected dendrites become severed from the anode if,  
 107 at a later time, all of the Li metal within the porous SEI has been oxidised such that there

108 is no longer an electronically conducting material bridging the SEI film and connecting the  
109 dendrites to the graphite. Hence

$$\begin{cases} C_{\text{out}}^+ = 0 & \text{when } \xi_p = 0, \\ \frac{dC_{\text{out}}^+}{dt} = \frac{dC_{\text{out}}}{dt} & \text{otherwise.} \end{cases} \quad (34)$$

110 Notably, these equations have the properties that

$$j + j_a = \frac{I(t)}{A} \quad \text{in } L_0 < x < L_a, \quad (35)$$

$$j + j_c = -\frac{I(t)}{A} \quad \text{in } L_s < x < L_c, \quad (36)$$

$$\int_{L_1}^{L_2} \left( b(x)j_n + b_{pe}n_a \frac{C_{\text{tot}}}{AL_a} \right) dx = \frac{I}{A}, \quad (37)$$

111 The (de)intercalation reaction in the anode is given by the following Butler-Volmer (BV)  
112 equation

$$j_{ae} = 2Fk_{ae} \sqrt{c_e c_a|_{r_a=R_a} (c_a^{\text{max}} - c_a|_{r_a=R_a})} \sinh \left( \frac{F\eta_{ae}}{2RT} \right), \quad (38)$$

$$\eta_{ae} = \Phi_a - \Phi - U_{eq,a}(c_a|_{r=R_a(x)}) \quad (39)$$

113 here  $k_{ae}$  is the reaction rate constant. The (de)intercalation reaction in cathode is given by  
114 the following BV equation

$$j_{ce} = 2Fk_{ce} \sqrt{c_e c_c|_{r_c=R_c} (c_a^{\text{max}} - c_c|_{r_c=R_c})} \sinh \left( \frac{F\eta_{ce}}{2RT} \right), \quad (40)$$

$$\eta_{ce} = \Phi_c - \Phi - U_{eq,c}(c_c|_{r=R_c(x)}), \quad (41)$$

115  $k_{ce}$  is a reaction rate constant. The current density due to the oxidisation of Li metal directly  
116 into the electrolyte,  $j_{pe}$ , is given by

$$j_{pe} = 2Fk_{pe} \sqrt{\frac{c_e}{V_{Li}}} \sinh \left( \frac{F\eta_{pe}}{2RT} \right), \quad (42)$$

117 where  $\eta_{pe}$  is the overpotential between Li plating and electrolyte and given by

$$\eta_{pe} = \Phi_a - \Phi - \Delta. \quad (43)$$

118 here  $k_{pe}$  is the reaction rate constant between the anode particle to the electrolyte. The  
119 molar flux of Li from the plated Li to the anode particle,  $m_{pa}$ , is given by

$$m_{pa} = 2k_{pa} \sqrt{\frac{(c_a^{\text{max}} - c_a|_{r_a=R_a})c_a|_{x=R_a}}{V_{Li}}} \sinh \left( \frac{F\eta_{pa}}{2RT} \right), \quad (44)$$

120 where  $\eta_{pa}$  is the overpotential between plating to the anode particles given by

$$\eta_{pa} = U_{eq,a}(c_a|_{r_a=R_a}) - \Delta, \quad (45)$$

121 where  $k_{pa}$  is reaction rate constant.

122 **The full cell Voltage** For Specified galvanostatic current  $I(t)$  can be used to compute  
 123 the potentials at the anode and cathode current collectors  $V_a$  and  $V_c$ , respectively via the  
 124 relations  $V_a(t) = \Phi_a|_{x=L_0}$ ,  $V_c(t) = \Phi_c|_{x=L_c}$  and hence the potential drop across the full cell  
 125 (i.e. the cell voltage) is given by

$$V(t) = V_c(t) - V_a(t) - \mathcal{R}_{cont}I(t). \quad (46)$$

126 where  $\mathcal{R}_{cont}$  is the contact resistance of the cell.

### 127 3 Analytic parameter functions

128 Diffusivity in anode and cathode are assumed to depend on Arrhenius temperature and are  
 129 given below

$$D_{a,c}(c_{a,c}, T) = D_{a,c}(c_{a,c}) \exp\left(\frac{E_{a,c}}{R\hat{T}} - \frac{E_{a,c}}{RT}\right) \quad (47)$$

130 where

$$\begin{aligned} D_a(c_a) &= 0.085(9.9 \times 10^{-14} \exp(-59.23c_a^2) + 3.053 \times 10^{-14} \exp(-82.6446(c_a - 6.6 \times 10^{-4}))^2 \\ &\quad + 3.6840 \times 10^{-14} \exp(-18.1818(c_a - 0.6236))^2 + 1.8420 \times 10^{-14} \exp(-31.25(c_a - 0.6236))^2) \\ &\quad + 3.517 \times 10^{-14} \exp(-40(c_a - 0.6169))^2 + 7.12 \times 10^{-14} \\ D_c(c_c) &= 3.7 \times 10^{-15} - 3.4 \times 10^{-15} \exp(-12(c_c - 0.62)^2) \end{aligned} \quad (48)$$

#### Reaction rate constants

$$k_{ae,ce} = k_{ae0,ce0} \exp\left(\frac{E_{a,c}^k}{R\hat{T}} - \frac{E_{a,c}^k}{RT}\right) \quad (49)$$

131 where  $E_{a,c}^k$  and  $E_{a,c}$  are the activation energies,  $k_{ae0,ce0}$  are the reaction rate constants at the  
 132 reference temperature and are given in Table 1 of the main paper, and  $\hat{T}$  is the reference  
 133 temperature of 22.85°C.

#### Open circuit potential for graphite

$$\begin{aligned} U_{eq,a}(c_a) &= 0.7165 \exp(-369.03c_a) + 0.1219 \exp(-35.648(c_a - 0.053095)) - 0.018919 \\ &\quad \tanh(21.197(c_a - 0.19618)) - 0.016964 \tanh(27.137(c_a - 0.31283)) - \\ &\quad 0.019931 \tanh(28.57(c_a - 0.61422)) - 0.93115 \exp(36.328(c_a - 1.1074)) \\ &\quad + 0.01 \log(1 - c_a) + 0.14003. \end{aligned} \quad (50)$$

#### Open circuit potential for NMC622

$$\begin{aligned} U_{eq,c}(c_c) &= -1.28981c_c + 0.689664 \tanh(-1.50306(c_c - 0.14723)) \\ &\quad + 0.13299 \tanh(3.71468(c_c - 0.586273)) - 7.72233 \tanh(0.225741(c_c - 2.68127)). \end{aligned} \quad (51)$$



## 4 Parametrisation from experimental data in Sanders et. al<sup>2</sup>

Proper parametrisation is crucial to maximise the predictive capability of physics-based models<sup>3</sup>. Furthermore, the DFN model, and to a lesser extent the SPM model, are susceptible to over-fitting due to the large number of scalar parameters and scalar functions. To avoid this we leverage data provided by the manufacturer or measurements performed directly on the cell in question wherever possible. In lieu of this we turn to the literature and take values measured by experiment on other cells. This leaves us needing to fit 6 scalar parameters: (i) reaction rates constants  $k_{ae}$ ,  $k_{ce}$ ,  $k_{pa}$  and  $A_{pe}k_{pe}$  (we emphasize that the latter always appears as a product and therefore only counts as a single fitting parameter), (ii) the volume fraction available for Li plating in the surface film  $\xi_p^*$ , and (iii) the area of nucleation sites ( $\mathcal{N}$ ). The parameters used are summarised in Table 1 in main paper. The cathode was cut to 1.9 cm  $\times$  2.9 cm ( $5.51 \times 10^{-4}$  m<sup>2</sup>) whereas the anode was cut to 2.1 cm  $\times$  3.1 cm ( $6.51 \times 10^{-4}$  m<sup>2</sup>). Anode-to-cathode ratios in commercial cells are usually always larger than 1. The anode region which has no cathode counter-part is often called the overhang anode. Transportation of Li from/to these regions is known to cause reversible capacity effects taking place at varied timescales. We take both electrode cross-section areas to be  $5.51 \times 10^{-4}$  m<sup>2</sup> on the basis that Li transport out of the overhang is insignificant compared to Li transportation in rest of the cell. Areal capacity of graphite is 4.4 mAh cm<sup>-2</sup> and mass is 14.79 mg cm<sup>-2</sup>. This gives 297.5 mAh g<sup>-1</sup> capacity and the theoretical capacity of graphite is 372 mAh g<sup>-1</sup>, so we can use this to estimate that 80% of the anode is graphite. Then the maximum volume of Li in graphite will be approximately 32.9 mol L<sup>-1</sup> (32800 mol m<sup>-3</sup>)<sup>4,5</sup>. Similarly, the maximum Li concentration in NMC622 is fitted to 42000 mol m<sup>-3</sup>. Since 80% anode is graphite and 80% cathode is NMC622. The volume fraction of graphite particles in the anode and cathode is 0.9497 and 0.8235 respectively. These values are adjusted according to the dimensions of the anode and cathode. For initial concentration in both electrodes fitted with a good initial guess<sup>4,5</sup> and then adjusted with the voltage output to the experiment data. It has been observed that in the initial stages SEI can grow up to 100 nanometre<sup>6-9</sup> and we assumed that the SEI is 100 nanometres thick. Diffusivity in anode and cathode is the function of the concentration Fig. 3. We have used the diffusivity in anode from<sup>4</sup> and cathode from<sup>4,10</sup> and  $U_{eq,a}$  in anode from<sup>4</sup> given in Fig. 3(c) and  $U_{eq,c}$  was obtained by charging a half cell with an NMC622 electrode to 4.2V at a rate of C/140 in our simulation we have fitted the experimental data with the analytical function given in (51) and plotted in Fig. 3(d) of the main paper. All the fitted analytic functions are given in SI §3. Butler-Volmer reaction rate constants are fitted. In order to fit electrolyte resistance  $R_e = \mathcal{L}/\kappa A \mathcal{B}$ , where  $\mathcal{L}$  is the distance between the representative anode and cathode particles which is provided by the manufacturer i.e. the thickness of both electrodes (50  $\mu$ m) and separator is (10  $\mu$ m and electrode cross-section  $A$ .  $\kappa$  conductivity of electrolyte,  $\mathcal{B}$  permeability are taken from the LiionDB database (www.liiondb.com)<sup>3</sup> and<sup>4,5,11-13</sup>. We would like to emphasise that in our experience we observe that  $k_{pa}$  is negligible compared to the other reaction rate constant and the model can predict the experiment's results for  $k_{pa} = 0$  which implies  $m_{pa} = 0$ . This suggests that Li recovery pre-dominantly occurs via the electrolyte (rather than via direct transport between the plate and graphite)

## 177 5 Model validation with Ge *et al.*<sup>14</sup>

178 In this section, we have validated our SPM with the Li plating model (given in §2 of the  
 179 main paper) with the experiments from<sup>14</sup>; we have managed to obtain excellent agreement  
 180 having adjusted only two parameters (the reaction rate constants). We shall only discuss  
 181 the validation results but refer readers to see the work of Ge *et al.*<sup>14</sup> for full detail. All the  
 182 parameters are taken from<sup>14</sup> with the exception of the reaction rate constants which must  
 183 be adjusted to account for the difference in how we define our BV reaction rate. Initial  
 184 concentrations in the anode and cathode were fitted by comparison with the experiment  
 185 data. Fig. 2, shows the plot of the discharge voltage curves for C-rate C/20 (black), 0.65C  
 186 (red), 1.3C (blue), and 2.6C (magenta) at 25°C and at 5°C. Experimental data is marked  
 187 by square symbols and model simulation by solid lines. Discharge curves are very similar  
 188 for 0.65C and 1.3C, for both temperatures. However, at 2.6C there is a significant difference  
 189 when the temperature drops from 25°C to 5°C.

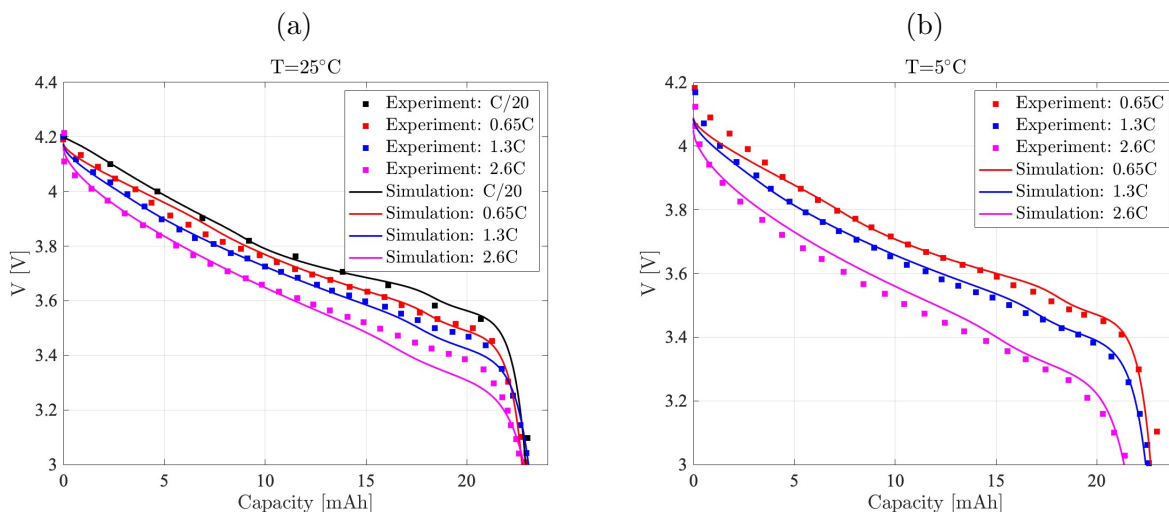


Figure 2: (a) Discharge voltage curve for C/20 (black), 0.65C (red), 1.3C (blue), and 2.6C (magenta) at 25°C. (b) discharge voltage curve for 0.65C (red), 1.3C (blue), and 2.6C (magenta) at 5°C . In both panels experiment data marked as a square symbol and model simulation results by solid lines.

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