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3 Dyadic interactions, attachment and the presence of triadic interactions in chimpanzees and

4 humans

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2 Strong similarities between human and chimpanzee infants are found in early dyadic social  
3 and object-based interactions

4 Strong similarities between human and chimpanzee infants can be found in early triadic  
5 interactions

6 Early experiences in engagement with social partners and emotional engagements begin to  
7 have a major impact on the level and form of social cognition in the first year of life for both  
8 humans and chimpanzees.

**1Abstract**

2 From a developmental perspective, dyadic interactions with social partners and dyadic  
3interactions with objects underpin early social cognition in humans and chimpanzees. In  
4humans, dyadic social relationships form in the first three months of life, dyadic relations  
5with objects form in the first 6 months of life, and triadic relations begin around 8-12 months.  
6In chimpanzees, a similar developmental pattern is evident with dyadic social relationships  
7forming in the first three months of life, dyadic relations with objects forming in the first 5  
8months of life, and triadic relations in the latter half of the first year of life. During ontogeny  
9humans and chimpanzees experience emotional engagements, both with social partners and  
10with objects, and these impact outcomes in social cognition. Rather than being considered  
11too complex, diversity of socio-emotional experiences during development can be embraced,  
12with the goal to specify how they influence social cognition outcomes in humans and in  
13chimpanzees. This process may provide the evolutionary and biological foundations for  
14plasticity.

## 1Introduction

2 Primates form socio-emotional bonds from infancy, primates interact with objects in  
3their environment, and primates communicate. Early forms of social cognition are manifest  
4when primates communicate intentionally or otherwise engage jointly with others about  
5objects or events. Current theories of the evolution of social cognition highlight the  
6importance of cognition, primarily, and of cooperative motivations, secondarily (e.g., Dean,  
7Kendal, Schapiro, Thierry, & Laland, 2012; Herrmann, Call, Hernandez-Lloreda, Hare, &  
8Tomasello, 2007), but these early forms of social cognition may rely more on emotional  
9engagements than cognition (Bard, Bakeman, Boysen, & Leavens, 2014a). In support of this  
10aim to discuss the emotional engagements that underpin social cognition, the term  
11'coordinated joint engagement' (Bakeman & Adamson, 1984) will be used rather than 'joint  
12attention'. This definition offers three advantages to the term joint attention; 1) it allows  
13diversity in the forms of social cognition, beyond the visual modality typical of attention; 2)  
14it focuses on the coordination between infant and social partner; and 3) it places emphasis on  
15the process of joint engagement, that is when infant and social partner are together jointly  
16engaged with some object or event.

17 By focusing on engagement rather than just visual attention, differences are allowed  
18in the modality with which infant and/or social partners jointly engage. Allowing diversity in  
19the form of early social cognition is important since modalities of engagement differ across  
20some settings and across some cultures (e.g., some cultures prefer face-to-face engagement  
21whereas others prefer physical contact engagement with 3-month-old infants: Keller, 2007).  
22The more conceptual term of 'engagement' rather than 'attention' also allows for variety in  
23the form of resulting events. For example, in some cultures it is not polite to point (Wilkins,  
242003), and in some cultures, it is not polite for children to look at their elders in the eye. A  
25typical instance of joint engagement between 1-year-old infants and adults in a rural non-

1 Western culture can be found in the culturally specified ‘give & give back’ offering of objects  
2 in social exchange (Bakeman, Adamson, Konner, & Barr, 1990), in which infants do not meet  
3 the eyes of their elders (Mead & Macgregor, 1951). This pattern contrasts with typical  
4 examples of coordinated joint engagement in urban Western cultures, which include infants  
5 pointing to or ‘showing’ an object to an adult, i.e., moving an object into the visual field of a  
6 social partner (directing the attention of a social partner to an object, e.g., Salomo &  
7 Liszkowski, 2012).

8        In this review, I will discuss the foundational aspects of early social cognition in  
9 dyadic interactions (with social partners & with objects), in triadic interactions (among  
10 infants, social partners, and objects), and in attachment (the emotional bond with social  
11 partners: e.g., Bullowa, 1979). This review necessarily will take a developmental  
12 perspective: In humans, dyadic social relationships form in the first three months of life,  
13 dyadic relations with objects form in the first 6 months of life, and triadic relations form  
14 beginning at 8-12 months (e.g., Adamson, 1996). In chimpanzees, dyadic social relationships  
15 form in the first three months of life (Bard, 1994; van Lawick-Goodall, 1968), dyadic  
16 relations with objects form in the first 5 months of life (Bard et al., 2014a), and triadic  
17 relations form beginning around 4-12 months of life (Bard et al., 2014a; Bard, Dunbar,  
18 Maguire-Herring, Veira, Hayes, & McDonald, 2014b). Interactions during ontogeny are  
19 crucially important for human infants to become intentional beings, yet little comparable  
20 attention has been given to the impact of these same processes in support of ape infants  
21 becoming intentional beings. The Lived Experiences model is proposed for the study of  
22 primate social cognition since it specifies evolutionary and biological foundations by which  
23 socio-emotional experiences during development influence social cognition outcomes (Bard  
24 & Leavens, 2014; Leavens, Hopkins & Bard, 2005).

1 Joint attention is said to be important because of its link with language. To learn the  
2 names of things, for instance, the infant must be able to coordinate the word with the ‘thing’,  
3 the referent for which the word stands. More broadly, however, joint attention is required for  
4 many of the forms of coordinated joint engagement, such as intentional communication (i.e.,  
5 using a pointing gesture to indicate to a social partner, the location of a desired object). Joint  
6 attention also underlies social learning, especially imitative learning, and social referencing.

7 There is an extensive indirect literature indicating that chimpanzees have joint  
8 attention. The numerous ape language projects provide compelling evidence that  
9 chimpanzees can learn symbols (reviewed in Bard & Leavens, 2014). Chimpanzee adults  
10 communicate intentionally with gestures (e.g., Call & Tomasello, 1996; Leavens et al., 2005),  
11 as do orangutans (Bard, 1992; Cartmill & Byrne, 2010), and gorillas (Genty, Breuer,  
12 Hobaiter, & Byrne, 2009; Tanner & Byrne, 2006). Joint attention is required for an individual  
13 to learn something about an object from watching how a social partner manipulates it (broad  
14 definition of social learning, including imitation). Chimpanzees have learned to imitate  
15 actions on objects (Whiten, Custance, Gomez, Teixidor, & Bard, 1996) and learned to imitate  
16 tool use by watching others (Bard, Frigaszy & Visalberghi, 1995): Imitative learning requires  
17 joint attention. Chimpanzees have engaged with a social partner to learn about how an object  
18 functions as a tool (Tomasello, Davis-DaSilva, Camak, & Bard, 1987). Some theorists  
19 suggests that although chimpanzees may engage in joint attention, that it is not 'truly joint'  
20 because there is not evidence of the requisite "knowing together" (Carpenter & Call, 2015).  
21 There is a growing body of research that supports the conclusion that joint attention is not  
22 unique to humans: Joint attention is found in chimpanzees, and the other great apes.

23 In this review, I focus on the role that emotion and engagement play in the  
24 development of coordinated joint engagement, and explore how this might help us to  
25 understand why different studies arrive at different conclusions about the capacity for joint

1attention in chimpanzees. In particular, there may be differences in emotional responsiveness,  
2or emotional engagements with social partners and with objects, or in the motivation to  
3coordinate engagements with social partners. In other words, emotion might play a role at  
4each stage in the development of joint attention.

### 5**Enculturation and socialization effects**

6 Chimpanzees are responsive to various environmental factors, including social  
7partners and their cultural practices. 'Enculturated' was a term used to describe chimpanzees  
8that had been raised by humans in language-enriched environments (e.g., Carpenter,  
9Tomasello, & Savage-Rumbaugh, 1995; Tomasello, Savage-Rumbaugh, & Kruger, 1993).  
10These enculturated apes showed enhanced outcomes in imitation, joint attention, and tool use  
11compared to chimpanzees not raised in these environments. Bard & Gardner (1996) extended  
12this concept by arguing that since chimpanzees were always responsive to the socialization  
13process, they could be said to be enculturated by any set of socialization experiences, whether  
14in response to a particular human social environment (human enculturated) or a particular  
15chimpanzee social environment (chimpanzee enculturate). However, the majority of  
16researchers reserved the term 'enculturated' to refer only to being raised in a human culture, in  
17particular, with a symbol system.

18 Chimpanzee infants can be influenced by a diversity of human cultures (see below),  
19as well as a diversity of chimpanzee cultures (e.g., Whiten et al., 1999). Therefore, there is a  
20need to specify the details of the socio-ecologies experienced by infants across settings, to  
21understand the influence of environmental experiences in infancy on outcomes later in life  
22(e.g., Bard & Leavens, 2014). For example, many of the comparisons reviewed here are  
23between two groups of chimpanzees raised in the Great Ape Nursery of the Yerkes National  
24Primate Research Center. Although both groups were raised by humans in this biomedical  
25institution, while living 24/7 in groups of 4-6 same-aged chimpanzees, one group (Standard

Care) experienced less than 1 hour per day of human caregiving (common to institutional care), whereas the other group (Responsive Care) experienced an additional 4 hours per weekday of caregiving focused on enhancing their chimpanzee species-typical skills (see Bard et al., 2014a for additional details). In this review, there are many details about how just 520 hours per week of the Responsive Care intervention changed social cognitive outcomes for chimpanzee infants. It would be misleading, however, to gloss both nursery care systems with the common term of 'human rearing'. There is no single 'human' environment, human and chimpanzee environments are diverse. Chimpanzee infants, in many ways like human infants, are adjusting to the social, emotional, ecological, and cultural demands of the particular environment.

## **11 Dyadic Interactions**

### **12 Infant engagement with social partners**

13 In human infants, joint attention develops gradually over the first year of life. The 14 earliest developing necessary component consists of emotional engagements with social 15 partners, sometimes called primary intersubjectivity (Trevarthen & Aitken, 2003). Infants 16 exhibit their ability to engage with social partners, in part by distinguishing animate from 17 inanimate objects, and in part by directing emotional expressions, such as a smile, to social 18 partners (i.e. the social smile). In the first 30 days of life, human and chimpanzee infants 19 orient to social stimuli at more mature and more consistent levels than they orient to 20 nonsocial stimuli (Bard, Brent, Lester, Worobey, & Suomi, 2011). Moreover, during an 21 interactive assessment with a human examiner, the number of smiles increased from day 2 to 22 day 30 for Yerkes nursery-reared chimpanzee infants and human infants (Bard, et al., 2011). 23 In comparing chimpanzee newborns to human newborns, there were few species differences. 24 There are significant cross-cultural differences in newborn humans (e.g., Nugent, Lester, & 25 Brazelton, 1989), and significant cross-setting differences in newborn chimpanzees,

1highlighting their flexibility in adjusting to the socio-ecology of their environment (Bard et  
2al., 2011). Evidence of imitation of facial movements in newborn chimpanzees points to one  
3mechanism by which these socio-emotional differences may become instantiated (Bard,  
42007; Myowa-Yamakoshi, Tomonaga, Tanaka, & Matsuzawa, 2004). Therefore, the degree  
5to which the neonatal system matures in interaction with specific features of the post-natal  
6environment illustrates the plasticity inherent in the genome of chimpanzees and of humans  
7allowing for differential responding to particular types of stimuli, including emotional  
8engagements.

9 Infant's socio-emotional engagement with social partners develop, such that strong  
10dyadic engagements are evident between 3 and 5 months of age. In Western cultures, infants  
11and social partners (often mothers) engage frequently in face-to-face interactions, with  
12mutual gaze and overt expressions of positive emotion (e.g., Adamson, 1996). An important  
13question is the extent to which this pattern of *en face* social positive emotional engagement is  
14universal; are extensive amounts of face-to-face contact with overt positive emotion typical  
15of the experiences of 3-month-old human infants in all cultures? Are the behaviors of infants'  
16engagement with social partners fixed or flexible? Studies of 3-month-olds and their  
17caregivers from non-Western rural cultures highlight that engagement occurs through the  
18modality of physical contact, primarily, rather than through vision (Keller, 2007). In many  
19rural non-Western cultures, infants experience high levels of body contact and body  
20stimulation (~85% versus ~45% in urban Western), and significantly more interaction with  
21individuals other than the mother, including older siblings (up to 30% of their waking time:  
22Keller, 2007, p.94). In some foraging groups, infants may have more than 17 caregivers in a  
23day (e.g., Meehan & Hawks, 2013), and many infants nurse from individuals other than the  
24mother (Hewlett & Lamb, 2002). Thus face-to-face interaction between an infant and one

1 other social partner is not the most common pattern of emotional engagement for human  
2 infants.

3 Bard (1994) studied chimpanzee infants raised in different eco-cultural environments  
4 at the Yerkes Center; many were raised in the institutionalized nurseries of a biomedical  
5 center, where they lived in small bare enclosures and were raised in peer cohorts, and were  
6 found to have disorganized attachments (van IJzendoorn, Bard, Bakermans-Kranenburg, &  
7 Ivan, 2009); other chimpanzee infants were raised by their biological mothers, in mixed  
8 age/sex groups, with large enriched enclosures, and organized attachments (Bard, 1994).  
9 Smiling was studied in the first month of life, and mutual gaze was assessed developmentally  
10 through 3 to 4 months (Bard et al., 2005). Some of the nursery-raised groups (interacting  
11 with Western human adults) displayed higher levels of smiling and mutual gaze than did the  
12 mother-raised chimpanzees (Bard et al., 2011; Bard, unpublished observations), which  
13 provides suggestive evidence that there is an environmentally-based rearing difference in  
14 positive emotional engagement in chimpanzees.

15 Mother chimpanzees in laboratory settings were found to spend 66% of their time in  
16 positive emotional engagements with their infants in the first 3 months of life (Bard, et al.,  
17 2005). At Yerkes, chimpanzee mothers spent between 17 and 23% of observation time  
18 looking at their infants, and approximately half of that time was looking at their infant's face.  
19 Instances of mutual gaze, however, were relatively infrequent (~10 times in an hour) and  
20 brief, as mothers were observed to shift their gaze when infants looked back at them (Bard et  
21 al., 2005). This led to the initial conclusion that chimpanzee mothers did not encourage  
22 mutual gaze with their infants (Bard, 1994).

23 A few years later, mutual gaze in another group of chimpanzee mothers and their  
24 infants was documented at the Primate Research Institute of Kyoto University (PRI: Bard, et  
25 al., 2005). Significantly higher amounts of mutual gaze with infants (~27 times per hour at 3

1months) were found at PRI, and some chimpanzee mothers actually did encourage mutual  
2gaze (Bard et al., 2005): Ai, a mother chimpanzee, was observed tilting her infant's head by  
3lifting his chin up, to establish and hold mutual gaze (illustrated by Fig 2 in Tomonaga et al.,  
42004).

5       What could explain these significant group differences in mutual gaze? The answer  
6was suggested by a significant inverse correlation of mutual gaze with cradling contact. In  
7the wild, chimpanzee infants typically experience almost 100% physical contact with their  
8mothers during the first 6 months of life (van Lawick-Goodall, 1968). Although both infants  
9and mothers exhibit positive vocal and facial emotional expressions during engagements,  
10especially play, relatively little mutual gaze has been reported (Plooij, 1984). Infant  
11chimpanzees living at the Yerkes Research Center and PRI, experienced more variable  
12amounts of physical contact with their mother (Bard, 1994; Bard et al., 2005). Thus, this  
13study of mutual gaze in infant chimpanzees raised with different *chimpanzee-based* rearing  
14experiences, suggests a natural mechanism by which mutual gaze develops. Those captive  
15chimpanzee mothers that maintained high levels of cradling contact had low levels of mutual  
16gaze, and those mothers with low levels of cradling of their infants had relatively high levels  
17of mutual gaze. Note that this mechanism also explains cross-cultural differences in mutual  
18gaze of human infants: infant that experience a great deal of physical cradling early in life,  
19tend to have minimal amounts of mutual gaze by 3 months (Bard et al., 2005; Keller, 2007).

20       There is now substantial of evidence that chimpanzee are very responsive to early  
21experiences, and that primary socio-emotional outcomes differ in systematic ways as a result.  
22There are effects of socialization on many behavioural markers of Primary Intersubjectivity,  
23including mutual gaze, positive emotional expressions, and negative emotional expressions  
24(Bard, 2005). In chimpanzees, as in humans, the outcomes of infants' emotional engagement  
25with social partners vary flexibly as a result of their socialization experiences.

### 1       **Infant engagement with objects and/or events**

2The second necessary component in the development of coordinated joint engagement is  
3engagement with objects, evident in human infants from about 5 to 7 months. At this age,  
4infants manipulate objects, and in Western urban cultures, these manipulations are surrounded  
5by positive affect from caregivers (Adamson, 1996). Infants from non-Western rural cultures  
6also manipulate objects, at about the same age, but caregivers often ignored them when doing  
7so, with a notable lack of positive affect from infants and caregivers (Bakeman, et al., 1990).  
8In a standardized test, the manipulative ability of two groups of nursery-raised chimpanzees  
9was compared to a heterogenous sample of US humans, from 3 to 12 months of age (Bard et  
10al., 2014a). Until 6 months, the chimpanzees were significantly better than the human  
11infants, but from 7 to 12 months, the human infants were more skillful than the chimpanzees  
12(Bard et al., 2014a). Even as skills increase across development, there are strong effects from  
13rearing environments.

14       There is strong evidence that there are environmental influences on object  
15manipulation in humans and chimpanzees, especially on the emotion accompanying contact  
16with objects. Some of the early research on object manipulation in chimpanzees involved  
17individuals raised in isolation. Without exposure to objects in the first 2 years of life, these  
18chimpanzees showed extreme fear when given new objects (Menzel, 1964). Significant  
19differences in skill at object manipulation were found between the Standard Care and  
20Responsive Care chimpanzees, with the Responsive Care group expressing more happiness  
21and less fear during the test, and exhibiting significantly better object manipulation skills:  
22Bard et al., 2014a). In other captive settings, different early rearing experiences impacted  
23how well objects were used as tools by adult chimpanzees (Furlong, Boose & Boysen, 2008).

24       Play may be a context in which skills develop, as it is both positive in emotional  
25quality and not linked to necessary functional outcomes. Wild chimpanzee infants engage in

solitary play, sometimes with objects, about 2.5% of observation time in the first 6 months of life (Lonsdorf et al., 2014). In captive settings (zoo and PRI) with a large social group and a complex physical setting, 1-year-old chimpanzee infants engaged in solitary object play for approximately 19% of their play time (Ross, Bard, & Matsuzawa, 2014). The amount of time that infant chimpanzees play with objects differs dramatically as a function of their ecology. Thus, we can conclude that engagements with objects is flexible in chimpanzee infants as a result of early experiences, and can be influenced by socialization processes and emotions.

8

### 9 **Triadic Interactions**

10 Around 9 to 12 months, human infants become able to coordinate the two types of dyadic  
11 engagements, with social partners and with objects, and show coordinated joint engagement,  
12 a form of triadic engagement, sometimes called Secondary Intersubjectivity (Trevarthan &  
13 Aitken, 2013). Although the importance of joint attention was initially thought to be its link  
14 with language, more broadly, joint attention is required for many non-linguistic processes,  
15 such as intentional communication (i.e., using a pointing gesture to indicate to a social  
16 partner, the location of a desired object). Joint attention also underlies social learning, that is  
17 learning about something as a result of watching others doing it. Joint attention is especially  
18 critical in imitative learning. Additionally, joint attention is the basis for social referencing,  
19 where infants learn about the emotional valence of an object as a result of emotional displays  
20 by a social partner. In all these forms of triadic interaction, infants coordinate the two types  
21 of dyadic engagements, with objects (or events), and with social partners.

22 In a standardized test with infants from 5 to 12 months of age, US humans' and  
23 nursery-reared chimpanzees' skill at joint attention was assessed with a variety of tasks, such  
24 as imitating actions on objects, following verbal requests with objects, and following  
25 demonstrated actions (Bard et al., 2014a). The human infants began to pass these tasks only

1at 6 & 7 months, when they passed only 1 of 30 tasks requiring joint attention. Nursery-  
2raised chimpanzees, in contrast, passed 2 joint attention tasks already at 5 months of age. The  
3Responsive Care chimpanzee group, with enriched species-typical emotional engagement  
4experiences, passed significantly more joint attention tasks than the human group through 8  
5months, and more than the Standard Care chimpanzees through 12 months. By 10 months,  
6however, the human group began to excel, passing 7 of 30 tasks, significantly surpassing both  
7groups of institutionally-reared chimpanzees, who did not pass more than 6 tasks through 12  
8months (Bard et al., 2014a).

9       A hierarchical multiple regression revealed that early rearing experiences, dyadic  
10social skills, and emotion during testing were the significant unique predictors, accounting for  
11over 40% of the variance in joint attention success in nursery-reared chimpanzees across the  
12first year of life (Bard et al., 2014a). Interestingly, when the same analysis was conducted to  
13predict cooperation, early rearing experiences and affect were found to be significant  
14variables, predicting over 50% of the variance in cooperativeness scores across the first year  
15of life (Bard et al., 2014a). This confirms the importance of previous engagement histories  
16with social partners for early social cognition in chimpanzees (Bard & Leavens, 2014).  
17Coordinated joint engagement, defined either as joint attention with objects and social  
18partners, or as cooperation in the 'give & take' of objects, was commonly seen in chimpanzees  
19from 5 months of age, but the levels of both differed significantly based on the chimpanzees'  
20early social engagement experiences. Joint Attention outcomes in chimpanzees by 12 months  
21of age are flexible and highly influenced by concurrent emotion, and past emotional  
22engagements.

23       Around a year of age, human infants begin to show many other types of coordinated  
24joint engagement skills; e.g., pointing as an act of intentional communication; showing  
25objects; offering objects; engaging in social referencing; and using single words

1appropriately. Interestingly, there is cultural variation in the amount of pointing, showing,  
2and offering objects, with infants living in Western urban settings exhibiting more pointing  
3and showing, but infants living in some non-Western rural settings exhibiting more offering  
4of objects (e.g., Bakeman et al., 1990; Salomo & Liszkowski, 2012).

5       Most agree that adult chimpanzees point as a referential and intentional  
6communication, and that a larger proportion of language-trained chimpanzees than  
7institutionally-reared chimpanzees point, although it is still not known when infant  
8chimpanzees begin to produce points (see review by Leavens & Bard, 2011). Some two-  
9year-old chimpanzees, living in an orphanage sanctuary in Africa, pointed communicatively  
10in a standardized test situation (Wobber, Herrmann, Hare, Wrangham, & Tomasello, 2014),  
11but note that the rearing experiences of orphaned sanctuary chimpanzees are not optimal to  
12nurture the earliest expressions of communicative development with humans (see Bard &  
13Leavens, 2014 for an elaboration of this argument). Although the frequency of pointing is  
14highly influenced by interaction with Western humans, a recent report of rarely observed  
15pointing in wild chimpanzees demonstrate that pointing can occur between conspecifics as  
16well (Hobaiter, Leavens & Byrne, 2013).

17       Russell, Bard, & Adamson (1997) conducted a study on social referencing in young  
18chimpanzees, which is particularly interesting as it illustrates a mechanism, common to  
19humans and chimpanzees, by which emotion can directly impact joint attention outcomes. In  
20social referencing experiments, when the infant looks to the caregiver, seeking information  
21about a novel object, the caregiver is instructed to give an emotional message about the object  
22(usually a message that conveys 'I like that object' or alternatively, 'I am frightened by that  
23object'). When the chimpanzees' favourite caregiver expressed positive affect about the  
24object, young chimpanzees acted more positively, by looking longer at the object and when  
25young chimpanzees were given the fearful message, they reacted more negatively, by

1withdrawing from the novel object (Russell et al., 1997). The rate at which the chimpanzees  
2sought information from their caregiver was indistinguishable from the rate reported for  
3human children (Russell et al., 1997). This process of social referencing may be a  
4commonplace mechanism underpinning social learning, especially of emotionally-relevant  
5stimuli, in wild chimpanzees (Boesch & Boesch-Achermann, 2000).

6 Early development of intentionally communicative gestures was found in young  
7chimpanzees raised in an enriched Responsive Care institutional nursery that focused on  
8meeting the chimpanzees emotional needs: gestures developed as early as 4 months of age for  
9invitations to social partners for tickle play, and within the first year for chase play, and  
10grooming requests, but also non-requestive gestures, such as submissive rump presentations,  
11and wrist bends that communicate recognition of dominance and apology (Bard et al.,  
122014b). In fact, one of these responsively raised chimpanzee infants engaged in a proto-  
13declarative 'showing' of an object during the social referencing experiment, confirming that  
14chimpanzees can share attention on an object just for the sake of sharing with his favourite  
15caregiver, without any imperative goal (Russell et al., 1997).

16 The divergence in social cognition outcomes reported in the literature may both a  
17consequence and an index of the important roles of emotion engagement in the development  
18of joint attention (see Bard & Leavens, 2014). Chimpanzees have flexible outcomes in  
19emotional engagement with social partners, and flexible outcomes in emotional engagement  
20with objects. Therefore, it should not be surprising that chimpanzees have flexible outcomes  
21in coordinated joint engagement, as well. It is quite possible that reports stating that  
22chimpanzees do not have joint attention with humans are based on chimpanzee groups that do  
23not have a developmental history of positive emotional engagement with humans about  
24human artifacts, such as orphans living in sanctuaries or mother-raised chimpanzees (e.g.,  
25Dean et al., 2012; Tomonaga et al., 2004). Some chimpanzee adults have little motivation to

1engage with humans about objects, based on these pre-experimental histories. Emotion is an  
2underlying biologically-relevant cause of behaviour (e.g., Panksepp, 1996); Emotion varies as  
3a function of experiences, and emotion is also a significant influence on social cognitive  
4outcomes.

5

## 6**Attachment**

7 An important milestone in emotional development is the formation of attachment  
8relationships by 1 year of age. Attachment reflects, in part, the quality of care received by an  
9infant from his or her primary caregiver, and, as currently conceptualized, is a marker of the  
10infants' emotional well-being (see also Keller, 2013). The impact of attachment relationships  
11in social cognition has been mostly ignored in comparative psychology. In the first study to  
12use the Strange Situation Procedure in chimpanzees, van IJzendoorn et al (2009) found that  
13the attachment classification of nursery-reared chimpanzees was primarily secure (54%) with  
14some individual expressing insecure-ambivalent (33% resistant) and insecure-avoidant (7%)  
15classifications (when a choice of one of these classification was forced). However, many  
16nursery-raised infants exhibited the distinctive signs of a disorganized attachment system,  
17which include stereotypic rocking and lack of contact with attachment figures, indicating that  
18these nursery-reared chimpanzees were experiencing stress but not seeking comfort from  
19their attachment figure (as opposed to use any of the above organized strategies). The  
20classification of 61% of the nursery chimpanzees as disorganized, was strikingly similar to  
21that of human infants raised in poor quality Greek or Romanian orphanages (van IJzendoorn,  
22et al., 2009). However, the nursery chimpanzees that had been given enriched engagement  
23experiences (Responsive Care) displayed less abnormal attachment to objects, and, most  
24importantly, exhibited less disorganized attachment, compared to those chimpanzees raised  
25with more institutional experiences (Standard Care). We concluded that enriched engagement

1experiences positively stimulates chimpanzees' cognitive and emotional development (van  
2IJzendoorn et al., 2009).

3 A recent follow-up study found long-term detrimental effects of early disorganized  
4attachment into adulthood for these nursery-raised chimpanzees, including increased  
5stereotypical rocking, increased veterinary interventions for upper respiratory infections, and  
6below average assessments of psychological well-being compared to chimpanzees found to  
7have an organized attachment system at 1 year of age (Clay, Bloomsmith, Bard, Maple, &  
8Marr, 2015). The implication from this study is that the emotional responsivity of caregivers,  
9as assessed in attachment relationships with infants, is a very important factor in ameliorating  
10some of the adverse effects of institutional care for chimpanzees (Bard & Leavens, 2014; van  
11IJzendoorn et al., 2009).

12

### 13**Conclusion**

14Comparative psychologists, developmental psychologists, and primatologists who study  
15social cognition should be reminded that social cognition has a developmental history. This  
16developmental history is crucially important to take into consideration in understanding  
17variation in developmental outcomes, especially for chimpanzees since their engagement  
18histories with human partners, chimpanzee partners, and triadic engagements about objects  
19can vary dramatically (Bard & Leavens, 2014). Engagement experiences in the first months  
20of life can change emotional expressions and mutual gaze, imitation of facial movements and  
21sounds, and the favoured modality for engagement; in other words, all types of primary  
22intersubjectivity found in infant chimpanzees are influenced by early socio-emotional  
23experiences. Moreover, engagements with objects are also influenced by experience, and by  
24social partners nurturing (or not) object interaction by infants. Finally, the form and  
25frequency of each of these dyadic engagements strongly influence the form and frequency of

1 triadic interactions (of coordinated joint engagement of infants with social partners and  
2 objects or events).

3 Infant chimpanzees develop many different types of triadic interactions, specifically  
4 joint attention, cooperation, gestural communication, and pointing, for example, through  
5 processes of social referencing, co-construction of communicative meaning, and solving the  
6 referential problem space (Bard et al., 2014a Leavens, Hopkins, & Bard, 2005). Significant  
7 enhancements of joint attention and cooperation, as well as increased skill in object  
8 manipulation and higher cognition scores, were found when institutionally-reared  
9 chimpanzees were given a Responsive Care intervention.

10 Joint attention, the essential element of the 9-month social cognitive revolution, is  
11 commonly displayed by 8-month, 9-month, and 10-month-old chimpanzees, even when  
12 reared in an institutional setting, in the absence of any overt training or caregiver nurturing of  
13 joint engagement. A history of positive emotional engagements is a significant explanatory  
14 factor in forms of coordinated joint engagement. Early social cognition has a developmental  
15 history, and best performance is unlikely to result when infants do not have a history of  
16 positive emotional engagements with social partners about objects.

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## **Highlights**

Strong similarities between human and chimpanzee infants are found in early dyadic social and object-based interactions

Strong similarities between human and chimpanzee infants can be found in early triadic interactions

Early experiences in engagement with social partners and emotional engagements begin to have a major impact on the level and form of social cognition in the first year of life for both humans and chimpanzees.