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# 1 The impact of hydraulic retention time on the performance of 2 two configurations of anaerobic pond for municipal sewage 3 treatment

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## 13 Abstract

14 Anaerobic ponds have the potential to contribute to low carbon wastewater treatment,  
15 however are currently restricted by long hydraulic residence time (HRT) which leads to  
16 large land requirements. A two stage anaerobic pond (SAP) design was trialled against a  
17 single stage control (CAP) over four HRTs down to 0.5 days, to determine the lowest HRT  
18 at which the ponds could operate effectively. No statistical differences were observed  
19 in particulate removal between the ponds over all four HRTs, suggesting solids loading  
20 is not a critical factor in AP design. Significantly higher biogas production rates were  
21 observed in the SAP than the CAP at 1.5 d and 1.0 d HRT, and microbial community

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4 22 profiling suggest the two stage design may be facilitating spatial separation of the  
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7 23 anaerobic digestion process along reactor length. Hydrogenotrophic methanogenesis  
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9 24 dominated over acetoclastic, with acetate oxidization a likely degradation pathway.  
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11 25 Experimental tracer studies were compared to CFD simulations, with the SAP showing  
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14 26 greater hydraulic efficiency, and differences more pronounced at shorter HRTs. Greater  
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16 27 flow recirculation between baffles was observed in CFD velocity profiles, demonstrating  
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19 28 baffles can dissipate preferential flow patterns and increase effective pond volume,  
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21 29 especially at high flow rates. The study demonstrates the potential of APs to be operated  
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24 30 at shorter HRTs in psychrophilic conditions, presenting an opportunity for use as pre-  
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26 31 treatments (in place of septic tanks) and primary treatment for full wastewater flows.  
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29 32 Two stage designs should be investigated to separate the stages of the anaerobic  
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31 33 digestion process through creating preferential conditions along the pond length.

34 **Keywords:** wastewater; stabilization lagoons; hydrodynamics; biogas; psychrophilic  
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37 35 treatment

## 38 39 36 **1 Introduction**

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42 37 The traditional approach to designing anaerobic ponds (APs) is currently being  
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45 38 challenged, as the opportunities for shorter hydraulic retention times (HRTs) (Peña et  
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47 39 al., 2003), the use of baffling (Peña et al., 2003; Vega et al., 2003; Shilton and Harrison,  
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50 40 2003), and the covering of APs for biogas collection (DeGarie et al., 2000; Parissopoulos  
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52 41 et al., 2003; Noyola et al., 2006; Shilton et al., 2008) are being realised. Temperature-  
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55 42 dependent design organic loading rates were developed through empirical observation,  
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57 43 ranging from 100 gBOD m<sup>-3</sup> d<sup>-1</sup> for ambient temperatures < 10 °C, to 350 gBOD m<sup>-3</sup> d<sup>-1</sup>

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4 44 for temperatures >25 °C. The design loading rates were deliberately conservative, with  
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7 45 the lower limit specified to ensure anaerobic conditions and the upper limit capped to  
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9 46 minimise odour nuisance and the need for desludging (Mara and Pearson, 1998). In  
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11 47 practice, even these conservative guidelines are rarely met, with odour nuisance cited  
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14 48 as the most common reason for AP underloading (Pearson et al., 1996; Picot et al.,  
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16 49 2005a; Archer and Mara, 2003; Alexiou and Mara, 2003). Covering of APs not only  
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19 50 eliminates odour but reduces greenhouse gas emissions (Noyola et al., 2006), and the  
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21 51 captured biogas can be used for energy generation thus providing an opportunity to  
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24 52 reconsider appropriate loading rates based on the positive attributes of the technology  
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26 53 rather than negating the negative ones (Hodgson and Paspaliaris, 1996; Park and Craggs,  
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29 54 2007). For instance, as the potential of APs for energy positive primary treatment has  
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31 55 been recognised (McAdam et al., 2011), design focus is changing from primary  
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34 56 sedimentation to more complete organic breakdown, with particular emphasis on  
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36 57 identifying appropriate design geometry to maximise performance and reduce process  
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39 58 scale (Vega et al., 2003; Agunwamba, 2006).

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42 59 Currently, the costs associated with the extensive land requirements are the largest  
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44 60 single barrier to uptake of APs (Xian-Wen, 1995; Agunwamba, 2001, [Dias et al., 2018](#)),  
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47 61 with hydraulic retention times (HRTs) ranging from 1 and 4 days but most commonly  
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49 62 between 2 and 3 days (Mara and Pearson, 1998). Reduction of land requirement,  
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51 63 through shorter HRTs, improves the economic viability of APs whilst also offering  
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54 64 process improvements. Higher organic loading rates provide more substrate for  
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56 65 microbial growth, whilst the increased flow rates lead to greater mixing, reducing  
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4 66 hydraulic dead space in the pond and facilitating biomass/substrate contact (Peña et al.,  
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7 67 2003). However, shorter HRTs increase the potential for biomass washout, which must  
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9 68 be avoided in order to allow sufficient solids retention time (SRT) within the process for  
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11 69 degradation. For instance, Craggs et al. (2008) suggested that the methane yield (and  
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14 70 hence solids degradation) in low temperature APs could equal those of mesophilic ADs,  
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16 71 provided solids retention time were doubled to compensate for the lower kinetic rate.  
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18 72 Therefore, separation of SRT from HRT is vital, to ensure sufficient retention and  
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21 73 degradation time for particulate carbon, whilst contact between the retained biomass  
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24 74 and the liquid layer must also be facilitated to target soluble carbon fractions that are  
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26 75 an essential step in methanogenesis (Lettinga et al., 2001; Lew et al., 2009).

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29 76 The separation of HRT and SRT can be facilitated through the use of baffling.  
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32 77 Incorporation of baffles into passive treatment systems has been found to improve  
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34 78 hydrodynamic performance and increase mixing (Peña et al., 2003; Langenhoff and  
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37 79 Stuckey, 2000). Horizontal baffles, which produce a lane system creating 'side to side'  
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39 80 flow, reduce hydraulic short circuiting and therefore promote sedimentation and  
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42 81 particulate retention (Muttamara and Puetpaiboon, 1997). In contrast, vertical baffles  
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44 82 create 'up-and-under' flow, which provides greater biomass contact and has been  
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47 83 demonstrated to separate the stages of anaerobic digestion along the reactor length.  
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49 84 Consequently, in anaerobic baffled reactors (ABRs), acidogenesis has been observed in  
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52 85 the compartments closest to the inlet and methanogenesis further down the reactor  
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54 86 (Wang et al., 2020), increasing acidogenic and methanogenic activity by up to a factor  
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56 87 of four (Barber and Stuckey, 1999). The incorporation of baffles into anaerobic reactors  
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4 88 has led to the development of high-rate anaerobic ponds with 0.5 day HRTs (Peña et al.,  
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7 89 2003), and ABRs with typical HRT <1 day, and as low as 1 hour (Barber and Stuckey,  
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10 90 1999).

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12 91 Recently, further understanding of high-rate upflow anaerobic sludge blanket reactors  
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15 92 (UASBs) has identified benefit can be delivered through inclusion of an anaerobic pre-  
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18 93 treatment stage, in order to decrease solids loading onto the UASB and provide a more  
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20 94 acidified substrate (Elmitwalli et al., 1999; Van Haandel et al., 2006). This has led to the  
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22 95 development of two-stage high-rate anaerobic reactors, where downstream UASBs  
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25 96 have been preceded by septic tanks (Luostarinen and Rintala, 2005), anaerobic filters  
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27 97 (Sawajneh et al., 2010), and lower-rate UASBs (Sayed and Fergala, 1995; Halalshah et  
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30 98 al., 2005). Whilst it has been identified that, especially at low temperatures, two-stage  
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32 99 anaerobic designs are essential for both maximising solids retention and degradation in  
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35 100 the first stage, and providing preferential substrate to the second stage (Lettinga et al.,  
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37 101 2001; Van Haandel et al., 2006), two-stage designs have not been applied to low-rate  
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40 102 technologies to date. The context relates to remote/rural; communities that are  
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42 103 commonly served by septic tanks and where a short HRT anaerobic pond could offer real  
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44 104 advantages in terms of treatment and desludging frequency. To illustrate, Scottish  
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46 105 Water currently operate over 1,250 septic tanks with 100 of these treating population  
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49 106 equivalents of over 1,000 PE. The septic tanks operate at HRTs of around 0.5-1.0 days  
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51 107 and require desludging every 6 months to 2 years which incurs significant costs and  
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54 108 disruption to the local community. Two stage anaerobic ponds have been posited as a  
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4 109 future alternative but must be able to operated at short HRTs to be considered  
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7 110 economically viable (Mason, 2018).  
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10 111 Accordingly, the current study reports on the operation of a pilot scale two stage  
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12 112 anaerobic pond (SAP) over four HRTs, decreasing from 2.3 days to 0.5 days, to assess the  
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14 113 potential for two-stage passive anaerobic treatment at higher loading rates than  
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16 114 traditionally applied. The specific objectives of the study were:  
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- 20 115 1. Compare the performance of a staged AP to a single control AP over four HRT to  
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22 116 determine differences in key indicators: hydrodynamic efficiency and flow  
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24 117 characteristics; removal efficiency, specifically of carbon fractions; sludge  
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26 118 accumulation and where it is retained; biogas production quantity and quality  
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28 119 2. Identify the effect of decreasing HRT on the APs for the above indicators, to  
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30 120 determine optimal loading rates for APs at low temperature and its impact on  
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32 121 AP operation for effluent quality, sludge management and energy generation  
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## 38 39 123 **2 Materials and Methods**

### 40 41 42 124 *2.1 Experimental reactor design*

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45 125 The anaerobic reactors, designed as pilot-scale ponds, were constructed of 12 mm uPVC  
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47 126 sheeting and sealed with PVC hot welding. The internal dimensions were 1.5 m x 0.5 m  
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49 127 x 0.25 m, giving hydraulic volumes of 188 L. A 3:1 Length:Width ratio was used in  
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51 128 accordance with recommended AP design (Mara and Pearson, 1998). The SAP was  
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53 129 created by connecting two single stage ponds in series, with a horizontally baffled  
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4 130 anaerobic pond (HBAP) located upstream of a vertically baffled anaerobic pond (VBAP,  
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6 131 **designed with ABR principles but under AP loading conditions**) with configurations  
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9 132 previously reported (Cruddas et al., 2018). A control pond (CAP) was constructed with  
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11 133 the same specifications as the HBAP. The **reactors** were initially seeded with 7 % by  
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13 134 volume anaerobic sludge (volatile solids, VS = 36 g L<sup>-1</sup>) from a previous study (Cruddas  
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15 135 et al., 2014), filled with crude wastewater from the Cranfield University sewage  
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17 136 treatment works and left in batch for one day. The **reactors** were operated for three  
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19 137 months at each of four HRTs, with a 2.3 d HRT applied at start up, then subsequent HRTs  
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21 138 of 1.5, 1.0, and 0.5 d.  
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## 26 139 *2.2 Analytical methods*

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30 140 Influent and effluent were analysed three times a week in duplicate, whilst liquid  
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32 141 samples were also collected and analysed once a month from side ports in each of the  
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34 142 chambers created by the baffles (Figure 1). Ambient and liquid temperatures were  
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36 143 recorded at the time of sampling using a digital probe thermometer, with a sensitivity  
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38 144 of ±0.05 °C. Samples were analysed for BOD<sub>5</sub>, COD, TSS and VSS according to standard  
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40 145 methods (APHA, 1998). Samples for sCOD were filtered through a 1.2 µm glass fibre filter  
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42 146 (Whatman, Maidstone, UK). Particulate COD fraction (pCOD) was calculated by  
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44 147 subtracting sCOD from tCOD. Volatile fatty acids (VFA) and biogas volumes and  
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46 148 composition were measured with previously described methods (Cruddas et al, 2018).  
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48 149 Sludge depth was measured at the end of each loading rate on a grid of 0.1 m x 0.1 m  
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50 150 using a perspex tube graduated at 1 mm intervals. ANOVA tests were performed on all  
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52 151 data sets to determine statistical significance to 95 % confidence. Tracer studies and  
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4 152 specific methanogenic activity (SMA) tests were carried out according to previously  
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7 153 described methods (Cruddas et al, 2018)  
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### 10 154 2.3 Microbial community analysis

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13 155 Sludge samples from different chambers of the reactors were taken at the end of the  
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15 156 trial. Biomass (10 g) was collected in sterile tubes and stored at -20°C for their  
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17 157 processing. A fully automated nucleic acid extractor employing magnetic bead  
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19 158 technology (Maxwell 16 DNA Purification Kits; Promega) was used to extract and purify  
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21 159 genomic DNA from the samples following the manufacturer's instructions. The DNA was  
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25 160 stored at -20°C.  
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28 161 Quantitative real-time polymerase chain reaction (qPCR) was used to quantify 16S rRNA  
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30 162 gene of three methanogenic orders, *Methanomicrobiales*, *Methanobacteriales* and  
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32 163 *Methanosarcinales*, and two families of the *Methanosarcinales* order,  
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34 164 *Methanosaetaceae* and *Methanosarcinaceae*. All qPCR reactions were performed using  
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36 165 20 µl reaction capillary tubes with the LightCycler 480 Probe Master (Roche Diagnostics).  
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40 166 The Archaeal 16S rRNA gene from each sample was amplified using the primers  
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42 167 Arch109F (5'-ACKGCTCAGTAACACGT-3') (6-FAM fluorescent labelled) (Luna *et al.*, 2009)  
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44 168 and Arch958R (5'-AGGAATTGGCGGGGAGCAC-3') (Ferris *et al.*, 1996). Full details of  
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47 169 the experimental procedure are described in Supporting Information S1.  
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170 2.4 *CFD modelling*

171 Three dimensional single phase CFD simulations were performed using the commercial  
172 software FLUENT v14.0.0 (ANSYS) using geometries and methods previously reported  
173 (Cruddas et al, 2018).

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175 **3 Results**

176 *3.1 Hydrodynamic comparison using experimental tracer studies and computational*  
177 *fluid dynamics*

178 In both the experimental tracer studies and CFD simulations, lower dead space volumes  
179 were found in the SAP compared to the CAP at all HRTs. The differences between AP  
180 configurations became more pronounced with each step decrease in HRT (Table 1),  
181 indicating greater utilisation of reactor volume in the SAP, especially at shorter HRT.  
182 Overall, the CFD trends suggest the general flow characteristics of the SAP tending  
183 towards plug flow to a greater extent than the CAP. This is evidenced by lower dispersion  
184 numbers and higher N (tanks in series) values, with the differences between the reactors  
185 increasing with decreasing HRT. Comparison between experimental and CFD profiles  
186 revealed differences with respect to lower dead space volumes and lower S (short-  
187 circuiting) quotients for the CAP at short HRTs. The differences are attributed to the  
188 interaction between the tracer and the retained sludge in the experimental  
189 measurements.

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4 190 The enhanced hydrodynamic profiles observed in the SAP are attributed to the baffles  
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7 191 generating a greater degree of recirculation. As such, flow is forced back into the  
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10 192 chamber by the small aperture created by the baffles, consequently utilising more of the  
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12 193 chamber and thus reducing short circuiting (Figure 2). Recirculation is most pronounced  
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14 194 in the front chamber of the **reactors**, where velocities are highest due to the inlet jetting  
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16 195 effect. Velocities decrease through subsequent chambers, reducing recirculation and  
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19 196 creating preferential flow patterns, most evident in the CAP. In the first stage of the SAP,  
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21 197 velocities in the second chamber are high enough to cause noticeable recirculation  
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24 198 (Figure 2), improving the mixing profile and reducing dead space whilst also creating an  
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26 199 overall plug flow effect through the reactor.

### 200 *3.2 Removal efficiencies over four HRTs from the staged and control anaerobic ponds*

201 No significant difference between reactors was observed in relation to TSS or pCOD  
202 removal over all HRTs to a 95 % confidence level. In comparison, TSS removal rates were  
203 found to increase in both ponds with decreasing HRT from 2.3 to 1.0 days. However, at  
204 a HRT of 0.5 days, the TSS removal increased in the SAP but reduced in the CAP. This  
205 suggests both **reactors** were operating beneath their maximum solids loading limits until  
206 1.0 d HRT, and the SAP could prevent solids washout even at the highest loading applied.  
207 In contrast, mean sCOD removals were statistically different, and were lowest at 1.5 d  
208 HRT in both reactors at -40 % and -44 % for the CAP and SAP, respectively, with the  
209 highest removal observed at 0.5 d HRT, CAP -5 % and SAP 2 % (Figure 3).

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4 210 However, these removal efficiencies correlate with the temperature profile in the  
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7 211 **reactors**, with the highest mean effluent temperatures recorded at 1.5 d HRT (CAP 17.1  
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9 212 °C; SAP 17.0 °C) and the coldest temperatures observed during the 0.5 d HRT period  
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11 213 (CAP 9.3 °C; SAP 9.1 °C). Removal efficiencies of VFA were similar to the sCOD trend,  
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14 214 with the largest addition of VFA to the effluent occurring at 1.5 d HRT whilst removal  
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16 215 efficiency increased in the shortest HRT period (Figure 3). **The negative removal, or**  
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19 216 **generation of sCOD and VFA, suggests particulate COD breakdown to soluble**  
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21 217 **components which are then not degraded further before leaving the reactors.**  
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### 24 218 *3.3 Sludge accumulation*

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27 219 Solids accumulation rate within the **reactors** was found to be more dependent on  
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29 220 temperature than loading. In both **reactors**, per capita normalised sludge accumulation  
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31 221 rates were comparable at three of the HRTs studied. In the CAP, accumulation rates over  
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33 222 the 2.3, 1.0 and 0.5 d HRT periods were  $0.04 \text{ m}^3 \text{ PE}^{-1} \text{ y}^{-1}$  (mean effluent temperature,  $T_{\text{eff}}$   
34  
35 223 =  $10.5 \text{ }^\circ\text{C}$ ),  $0.04 \text{ m}^3 \text{ PE}^{-1} \text{ y}^{-1}$  ( $T_{\text{eff}} = 13.9 \text{ }^\circ\text{C}$ ) and  $0.06 \text{ m}^3 \text{ PE}^{-1} \text{ y}^{-1}$  ( $T_{\text{eff}} = 9.3 \text{ }^\circ\text{C}$ ), respectively.  
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38 224 In comparison, accumulation rates in the SAP were  $0.06 \text{ m}^3 \text{ PE}^{-1} \text{ y}^{-1}$  ( $T_{\text{eff}} = 10.5 \text{ }^\circ\text{C}$ ),  $0.04$   
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40 225  $\text{m}^3 \text{ PE}^{-1} \text{ y}^{-1}$  ( $T_{\text{eff}} = 13.7 \text{ }^\circ\text{C}$ ) and  $0.06 \text{ m}^3 \text{ PE}^{-1} \text{ y}^{-1}$  ( $T_{\text{eff}} = 9.1 \text{ }^\circ\text{C}$ ) calculated for the same  
41  
42 226 periods, respectively. However, during the warmest HRT period, 1.5 d, a reduction in  
43  
44 227 total sludge volume was recorded in both **reactors**, with an accumulation rate of  $-0.02$   
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46 228  $\text{m}^3 \text{ PE}^{-1} \text{ y}^{-1}$  for both **reactors** (CAP  $T_{\text{eff}} = 17.1 \text{ }^\circ\text{C}$ , SAP  $T_{\text{eff}} = 17.0 \text{ }^\circ\text{C}$ ). Whilst the normalised  
47  
48 229 accumulation rates were comparable across the decreasing HRT periods at low  
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50 230 temperature, the higher loadings applied relate to higher absolute sludge volumes  
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52 231 within the **reactors**. To illustrate, in the SAP the accumulation rate of  $0.06 \text{ m}^3 \text{ PE}^{-1} \text{ y}^{-1}$   
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4 232 during the 2.3 d HRT period related to an accumulated sludge volume of 16.11 L, or 3 %  
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7 233 of total pond volume, whilst the  $0.04 \text{ m}^3 \text{ PE}^{-1} \text{ y}^{-1}$  accumulation rate over the 0.5 d HRT  
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9 234 period related to an accumulated sludge volume of 73.11 L, or 14 % of total reactor  
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11 235 volume. Solids were mostly deposited in the front chamber of each reactor (Figure 4),  
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14 236 with 63, 49, 30 and 73 % of total CAP sludge volume found in this chamber after the 2.3,  
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16 237 1.5, 1.0 and 0.5 d HRT periods, respectively, whilst this chamber comprised only 33 % of  
17  
18 238 total reactor volume. In the SAP, sludge accumulation in the front chamber contained  
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20 239 39, 28, 37, and 43 % of total sludge volume, despite this chamber comprising only 17 %  
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24 240 of total reactor volume.

#### 241 *3.4 Biogas methane production and specific methanogenic activity of sludge*

242 Rapid start up of methane biogas production was observed in both reactors, with mean  
243 flow normalised production of  $3.86 \text{ LCH}_4 \text{ m}^{-3}$  wastewater treated (WWT) in the CAP and  
244  $5.40 \text{ LCH}_4 \text{ m}^{-3}$ WWT in the SAP during the first operational period, at 2.3 d HRT. The  
245 highest mean biogas production occurred during the second period, 1.5 d HRT, with  $5.40$   
246  $\text{LCH}_4 \text{ m}^{-3}$ WWT in the CAP and  $8.82 \text{ LCH}_4 \text{ m}^{-3}$ WWT in the SAP, which coincided with the  
247 highest mean effluent temperatures (Figure 5). The volumetric biogas production rates  
248 at this HRT were  $3.6 \text{ L CH}_4/\text{m}^3/\text{d}$  for the CAP and  $5.9 \text{ L CH}_4/\text{m}^3/\text{d}$  for the SAP.

249 With decreases in both temperature and HRT, large reductions in biogas production  
250 were observed for the final two operational periods, with mean production rates of  $0.05$   
251  $\text{LCH}_4 \text{ m}^{-3}$ WWT and  $0.11 \text{ LCH}_4 \text{ m}^{-3}$ WWT in the CAP and  $0.74 \text{ LCH}_4 \text{ m}^{-3}$ WWT and  $0.08 \text{ LCH}_4$   
252  $\text{m}^{-3}$ WWT in the SAP for the 1.0 and 0.5 d HRT periods, respectively. No statistical

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4 253 difference was observed in biogas production between the two reactors at 2.3 d HRT,  
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7 254 nor at 0.5 HRT due to low production rates in both reactors. However, at 1.5 and 1.0 d  
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9 255 HRT, biogas production in the SAP was significantly higher than the CAP to a 95 %  
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11 256 confidence level.

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15 257 The highest measured production rate was in the chamber closest to the inlet for both  
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17 258 reactors at all four loading rates (Figure 6), with 95 and 84 % of total biogas CH<sub>4</sub> recorded  
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19 259 in this chamber for the CAP and SAP, respectively. In the CAP, production rates  
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21 260 decreased in subsequent chambers at 2.5 and 1.5 d HRT, although at 1.0 d an increase  
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24 261 was evident in the final chamber, suggesting production at the outlet may have been  
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27 262 increasing respective to the centre of the reactor. Due to the low temperature during  
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29 263 the 0.5 d HRT, no biogas was recorded in either chamber 2 or 3 for this final loading rate.

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32 264 In the SAP, biogas production decreased throughout the first stage reactor, but  
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34 265 increased in first chamber of the second phase at 2.3, 1.5 and 1.0 d HRTs. To illustrate,  
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36 266 mean biogas methane production rates at the outlet of the first stage were 0.08, 0.03  
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38 267 and 0.06 LCH<sub>4</sub> m<sup>-3</sup> WWT *cf.* 0.26, 1.05 and 0.17 LCH<sub>4</sub> m<sup>-3</sup>WWT at the inlet of the second  
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41 268 stage at 2.3, 1.5, and 1.0 d HRT, respectively. This may be induced by both the jetting  
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44 269 effect of the connection pipe between the two stages creating high mixing at the inlet  
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47 270 of the second stage, and through a change in microbial community found in the reactors.

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49 271 Specific methanogenic activity tests conducted on sludge at the end of the study period  
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51 272 show activity rates were lower at the inlet of both reactors than the subsequent  
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54 273 chambers (Figure 6). Hydrogenotrophic methanogenic activity was found to be over two  
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56 274 orders of magnitude greater than acetoclastic activity, with mean hydrogen specific SMA  
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4 275 of 1,001 mgCH<sub>4</sub> gVSS<sup>-1</sup> d<sup>-1</sup> and 1,489 mgCH<sub>4</sub> gVSS<sup>-1</sup> d<sup>-1</sup> recorded in the CAP and SAP,  
5  
6 276 respectively, *cf.* 0.27 mgCH<sub>4</sub> gVSS<sup>-1</sup> d<sup>-1</sup> and 1.36 mgCH<sub>4</sub> gVSS<sup>-1</sup> d<sup>-1</sup> for acetate specific  
7  
8 277 SMA. Interestingly, acetate specific SMA was over two orders of magnitude higher in the  
9  
10 278 second phase of the SAP than the first, with mean acetate specific SMA of 0.01 mgCH<sub>4</sub>  
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12 279 gVSS<sup>-1</sup> d<sup>-1</sup> in the first stage *cf.* 2.71 mgCH<sub>4</sub> gVSS<sup>-1</sup> d<sup>-1</sup> in the second stage.  
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### 17 280 3.5 Microbial community profiling of methanogenic orders and families in the sludge

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20 281 Microbial community profiling of methanogenic archaea in sludge taken at the end of the  
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22 282 study found the hydrogenotrophic order *Methanomicrobiales* dominant, producing a  
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24 283 mean of 1.87x10<sup>7</sup> copies from the qPCR process *cf.* 1.26x10<sup>6</sup> copies of the aceticlastic  
25  
26 284 *Methanosarcinales* order in the CAP, and 2.51x10<sup>7</sup> copies *cf.* 4.53x10<sup>6</sup> copies in the SAP  
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28 285 (Figure 7). In addition, another hydrogenotrophic order, *Methanobacteriales*, was also  
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30 286 present with mean 3.25x10<sup>5</sup> copies in the CAP and 2.44x10<sup>5</sup> copies in the SAP, increasing  
31  
32 287 the dominance of hydrogen utilisers. The relative presence of these orders supports the  
33  
34 288 SMA findings of hydrogen pathways dominating the anaerobic digestion process in both  
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36 289 reactors. In the SAP, an increase in the *Methanosarcinales* order from mean 1.27x10<sup>6</sup>  
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38 290 copies in the first stage to 6.48x10<sup>6</sup> copies in the second stage, also reflects the increase  
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40 291 in acetate specific SMA found the in the second stage at the end of the study. Within  
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42 292 the *Methanosarcinales* order, the *Methanosaetaceae* family was found to dominate the  
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44 293 *Methanosarcinaceae* family in both reactors, with mean copy numbers 2.61x10<sup>6</sup>  
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46 294 *Methanosaetaceae cf.* 3.86x10<sup>4</sup> *Methanosarcinaceae* in the CAP and 6.53x10<sup>6</sup>  
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48 295 *Methanosaetaceae cf.* 9.37x10<sup>4</sup> *Methanosarcinaceae* in SAP (Figure 7). Interestingly, in  
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50 296 the SAP the *Methanosarcinales* families were found in closest relative abundance in the  
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4 297 first chamber, with  $1.15 \times 10^6$  copies of *Methanosaetaceae* cf.  $4.85 \times 10^5$   
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7 298 *Methanosarcinaceae*, with *Methanosaetaceae* dominating further along the reactor,  
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9 299 particularly in the second stage, with mean  $9.28 \times 10^6$  copies of *Methanosaetaceae* cf.  
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11 300  $3.26 \times 10^4$  *Methanosarcinaceae*. The dominance of *Methanosarcinaceae* within the  
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14 301 *Methanosarcinales* order has been found to be consistent with low acetate  
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16 302 concentrations and indicative of increased acetate oxidation, leading to  
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19 303 hydrogenotrophic methanogenesis, rather than acetoclastic pathways (Karakashev et al.,  
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21 304 2006).

#### 23 305 **4 Discussion**

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27 306 Comparison of the proposed staged AP (SAP) to a conventional design (CAP) revealed  
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29 307 the potential of SAPs to enhance both biogas production and overall hydrodynamic  
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31 308 efficiency. The latter was seen in terms of less short circuiting with associated less dead  
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34 309 space. The enhancements were observed across all HRTs with velocity profiles  
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37 310 demonstrating the increased recirculation between baffles (Peña et al., 2003) leading to  
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39 311 greater utilisation of the reactor volume. Solids accumulation reduced the clarity of the  
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42 312 impacts congruent with previous studies on unbaffled ponds (Peña et al., 2000; Alvarado  
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44 313 et al., 2012). Further, vertically baffled systems similar to the VBAP, such as ABRs, can  
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46 314 be particularly susceptible to channelling (Grobicki and Stuckey, 1992), as the flow is  
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49 315 forced through the sludge layer at every 'hanging' baffle, thus optimisation of the  
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51 316 baffling arrangement will be critical and as such is one of the key areas for further  
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54 317 investigation. The differences in hydrodynamics did not manifest in terms of bulk  
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56 318 removal which remained statistically similar for both reactors. This extended to soluble  
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4 319 COD removal where improvements in removal were not observed to a statistically  
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7 320 significant level. Improvements in gas production, however, were observed in the SAP,  
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9 321 with increased SMA and an acetoclastic methanogenic community measured in the  
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11 322 second stage suggesting the spatial distribution of anaerobic digestion was starting to  
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14 323 occur (Barber and Stuckey, 1999; Paing et al., 2000). Furthermore, many of the  
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16 324 advantages seen in the SAP over the CAP were more pronounced at the shorter HRTs  
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19 325 indicating that the proposed design can provide a route to using APs with smaller  
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21 326 footprints more attractive to potential adopters.

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24 327 Results from the reductions in HRT suggest APs can tolerate higher loadings than  
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27 328 currently applied. Decreasing HRT in unbaffled ponds is known to increase short  
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29 329 circuiting, however the results of the CFD simulations suggest that these impacts can be  
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31 330 lessened in a baffled system, and advantages can also be gained in reducing hydraulic  
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34 331 dead space through the recirculation effect between baffles. As temperature profiles  
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36 332 changed over the course of the study, the influence of temperature must be considered  
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39 333 when comparing the HRTs applied. The removal of solids has been reported to be  
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41 334 independent of operating temperature (Picot et al., 2003; Papadopoulos et al., 2003),  
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44 335 and in this study a clearer relationship was found with loading rate. Consistent effluent  
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46 336 TSS profiles down to 1.0 d HRT in both **reactors** reinforced the ability of the APs to handle  
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49 337 shock loadings, whilst also confirming that solids loading rates are unlikely to be a  
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51 338 restricting factor in AP design (Cruddas et al., 2014). However, biological activity was  
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54 339 clearly strongly associated with temperature (Toprak, 1995; Picot et al., 2003;  
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56 340 Parissopoulos et al., 2003). **Soluble carbon removal efficiency was observed to be more**



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341 strongly linked with temperature than loading rate during the study, and may be  
342 attributed to a reduction in soluble carbon generated in the digestion process (Cruddas  
343 et al., 2014) rather than improvement of soluble degradation due to biological  
344 establishment (Paing et al., 2000; Picot et al., 2003). Sludge accumulation rates were  
345 also temperature dependent, with volume reduction occurring above 17 °C as suggested  
346 by Papadopoulos et al. (2003). The sludge reduction at warmer temperatures was linked  
347 to the highest biogas production rates, and supports previous evidence that APs can  
348 store particulate carbon in winter periods to be subsequently degraded in summer  
349 (Safley Jr. and Westerman, 1989; Papadopoulos et al., 2003; Picot et al., 2003).  
350 Therefore, in order to accurately estimate the effect of HRT on sludge accumulation and  
351 biogas production, studies must be conducted over an annual cycle. Furthermore,  
352 sludge accumulation rates have been found to lower, and biogas production rates  
353 increase, with extended AP operation (Paing et al., 2000; Picot et al., 2005b), and the  
354 minimum temperature at which methanogenesis occurs has been found to decrease  
355 with AP age as biomass acclimatizes (Heubeck and Craggs, 2010). Therefore, it can be  
356 posited that these characteristics would improve from the current study over time.

357 Shorter HRTs can mitigate the largest single problem with AP uptake in reducing the land  
358 requirement, and therefore the cost (Agunwamba, 2001; Alexiou and Mara, 2003), and  
359 the results from this study suggest that shorter HRTs than currently recommended are  
360 feasible. The severe reduction in gas production at 0.5 d HRT is likely to be a cause of  
361 the temperature but also the loading, and whilst the sludge accumulation rate per capita  
362 was comparable to longer HRTs, the volume of sludge produced at this HRT would likely

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4 363 reduce the advantages APs can bring in reduced sludge handling (Cruddas et al., 2014).  
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7 364 To illustrate, whilst sludge accumulation rates in the SAP were  $0.06 \text{ m}^3 \text{ PE}^{-1} \text{ y}^{-1}$  at both  
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9 365 2.3 and 0.5 d HRT, desludging at 50 % volume would lead to a desludge frequency of 3.8  
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11 366 years at 2.3 d HRT, but 0.4 years at 0.5 d HRT. Therefore, extended trials of APs at 1.0  
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14 367 and 1.5 d HRTs are recommended, which would reduce AP volume by two to three times  
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16 368 the current recommendations.  
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## 21 22 370 **5 Conclusions** 23 24

25 371 The work presented has demonstrated efficacy of anaerobic ponds, even at low  
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27 372 temperatures, to operate at shorter HRTs than commonly considered. Further, an HRT  
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29 373 of 1 day is seen as a conservative estimate to base future development around. The  
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31 374 advantages in hydraulic performance of using a two-stage design was observed  
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33 375 predominately at these shorter HRTs and indicates that appropriate baffling  
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35 376 arrangement will be critical in future designs. Importantly, management of the  
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37 377 degradation of both solids and soluble organics appears to be a temperature issue rather  
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39 378 than a hydraulic one. Accordingly, future development needs to consider the use of heat  
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41 379 sources, including the utilisation of any produced gas in maintaining higher  
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43 380 temperatures. **Whilst heating of full wastewater flows would not be practical, targeted**  
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45 381 **heating of the sludge layer could be considered, to** extend periods where the  
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47 382 temperatures can be around  $17^\circ\text{C}$ , where a net overall reduction in solids was observed.  
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49 383 The presented results show promise but were based on relatively short-term  
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51 384 experiments which will underestimate performance compared to a fully acclimatised  
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385 system. As such the findings support the idea of development of an alternative to septic  
386 tanks that can offer improved treatment and reduced desludging frequencies without  
387 requiring excessive land use.

388

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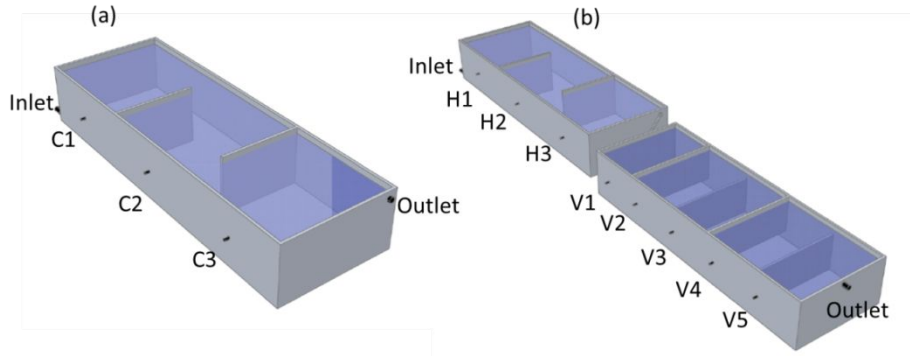
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**Table 1 Hydrodynamic data calculated for a control anaerobic pond (CAP) and staged anaerobic pond (SAP), over four hydraulic loading rates. Data is shown for experimental data collected from tracer studies and for computational fluid dynamics (CFD) simulations**

	Experimental data											
	2.3 d HRT			1.5 d HRT			1.0 d HRT			0.5 d HRT		
	CAP	SAP	Diff	CAP	SAP	Diff	CAP	SAP	Diff	CAP	SAP	Diff
HRT <sub>a</sub> (d)	1.85	2.07	0.22	1.03	1.33	0.30	0.72	0.97	0.25	0.46	0.80	0.34
HRT <sub>a</sub> /HRT <sub>t</sub> (%)	80	90	10	69	89	20	72	97	25	92	160	68
Short circuiting quotient, S	0.40	0.47	0.07	0.25	0.36	0.11	0.16	0.34	0.18	0.46	0.79	0.33
Dead space volume (%)	20	10	-10	31	11	-20	28	3	-25	8	-60	-68
Variance, $\sigma^2$ (days <sup>2</sup> )	0.90	0.76	-0.14	0.68	0.90	0.22	0.33	0.34	0.01	0.25	0.13	-0.12
Dispersion number, $\delta$	0.16	0.10	-0.06	0.32	0.34	0.02	0.63	0.24	-0.39	0.19	0.12	-0.07
Tanks in series, N	5.96	7.06	1.10	4.82	2.76	-2.06	3.05	2.96	-0.09	3.93	1.89	-2.04
Tracer recovered (%)	100	100	0	48	55	7	35	52	17	40	88	48
Sludge volume (% of reactor)	13	14	1	11	12	1	18	19	1	46	38	-8
	CFD simulations											
	2.3 d HRT			1.5 d HRT			1.0 d HRT			0.5 d HRT		
	CAP	SAP	Diff	CAP	SAP	Diff	CAP	SAP	Diff	CAP	SAP	Diff
HRT <sub>a</sub> (d)	1.33	1.48	0.15	0.89	0.98	0.09	0.63	0.69	0.06	0.34	0.36	0.02
HRT <sub>a</sub> /HRT <sub>t</sub> (%)	57	64	7	59	66	7	63	69	6	68	72	4
Short circuiting quotient, S	0.29	0.36	0.07	0.39	0.36	-0.03	0.41	0.38	-0.03	0.63	0.38	-0.25
Dead space volume (%)	43	36	-7	41	34	-7	37	31	-6	32	28	-4
Variance, $\sigma^2$ (days <sup>2</sup> )	0.62	0.68	0.06	0.48	0.47	-0.01	0.37	0.36	-0.01	0.21	0.18	-0.03
Dispersion number, $\delta$	0.13	0.12	-0.01	0.18	0.13	-0.05	0.23	0.17	-0.06	0.26	0.15	-0.11
Tanks in series, N	13.76	11.43	-2.33	9.77	10.03	0.26	7.31	7.46	0.15	5.67	7.75	2.08
Tracer recovered (%)	91	94	3	96	98	2	98	100	2	89	66	-23
Maximum velocity $v_{\max}$ (m s <sup>-1</sup> )	1.47x10 <sup>-2</sup>	1.04x10 <sup>-1</sup>	8.90x10 <sup>-2</sup>	2.39x10 <sup>-2</sup>	1.55x10 <sup>-1</sup>	1.3x10 <sup>-1</sup>	3.76x10 <sup>-2</sup>	2.27x10 <sup>-1</sup>	1.9x10 <sup>-1</sup>	7.51x10 <sup>-2</sup>	4.00x10 <sup>-1</sup>	3.2x10 <sup>-1</sup>
Minimum velocity $v_{\min}$ (m s <sup>-1</sup> )	1.21x10 <sup>-9</sup>	7.22x10 <sup>-8</sup>	7.10x10 <sup>-8</sup>	7.22x10 <sup>-8</sup>	5.40x10 <sup>-8</sup>	-1.82x10 <sup>-8</sup>	1.74x10 <sup>-9</sup>	1.02x10 <sup>-7</sup>	1.00x10 <sup>-7</sup>	3.67x10 <sup>-9</sup>	1.71x10 <sup>-5</sup>	1.71x10 <sup>-5</sup>

CAP –Control anaerobic pond; SAP – Staged anaerobic pond; Diff – Difference between CAP and SAP; HRT – Hydraulic retention time; HRT<sub>a</sub> – actual (measured) HRT; HRT<sub>t</sub> – theoretical HR

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**Figure 1** Layouts of the ponds used in the study, indicating sampling points at the inlets and outlets, and in each baffle chamber in the (a) control anaerobic pond and (b) staged anaerobic pond, with horizontally (H) baffled stage followed by vertically (V) baffled stage

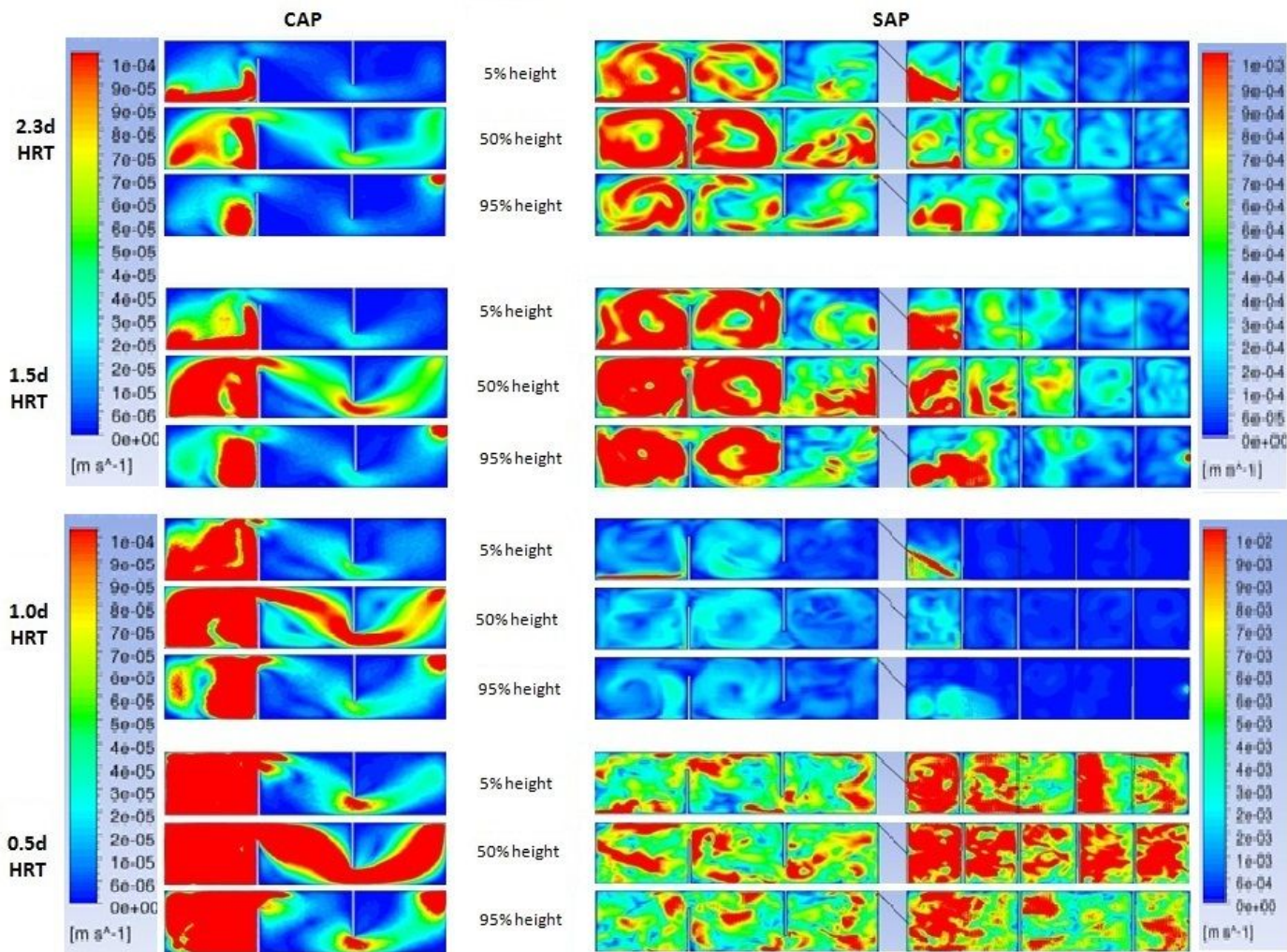


Figure 2 CFD generated velocity profiles for the control anaerobic pond (CAP), and the staged anaerobic pond (SAP)

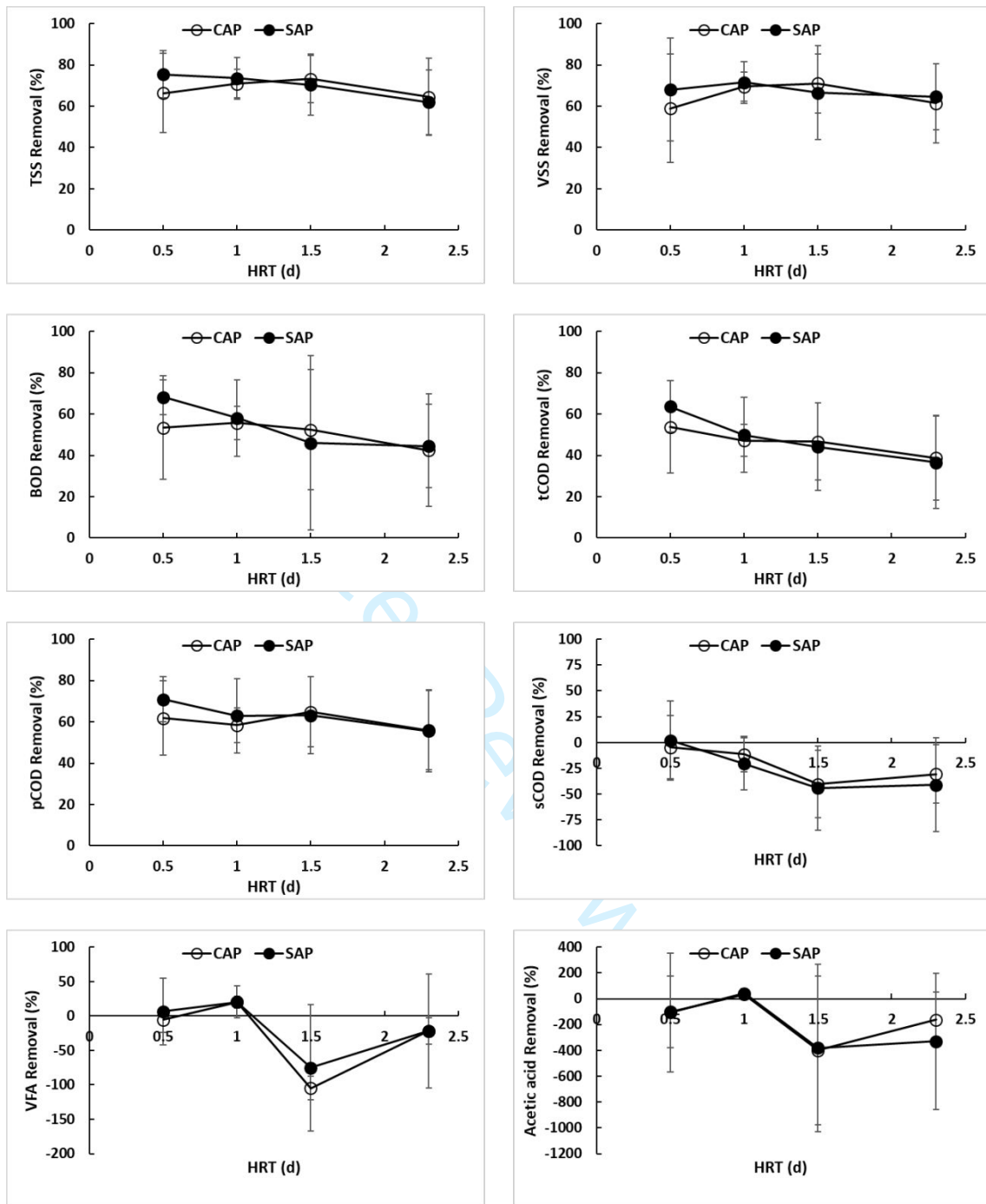


Figure 3 Removal efficiencies from the pilot scale trials on a horizontally baffled anaerobic pond as a control (CAP) and a staged anaerobic pond (SAP). Efficiencies shown for Total and Volatile Suspended Solids, total, particulate (>1.2 $\mu$ m) and soluble (<1.2 $\mu$ m) COD, volatile fatty acids, and acetic acid individually from the compound VFA measurement.

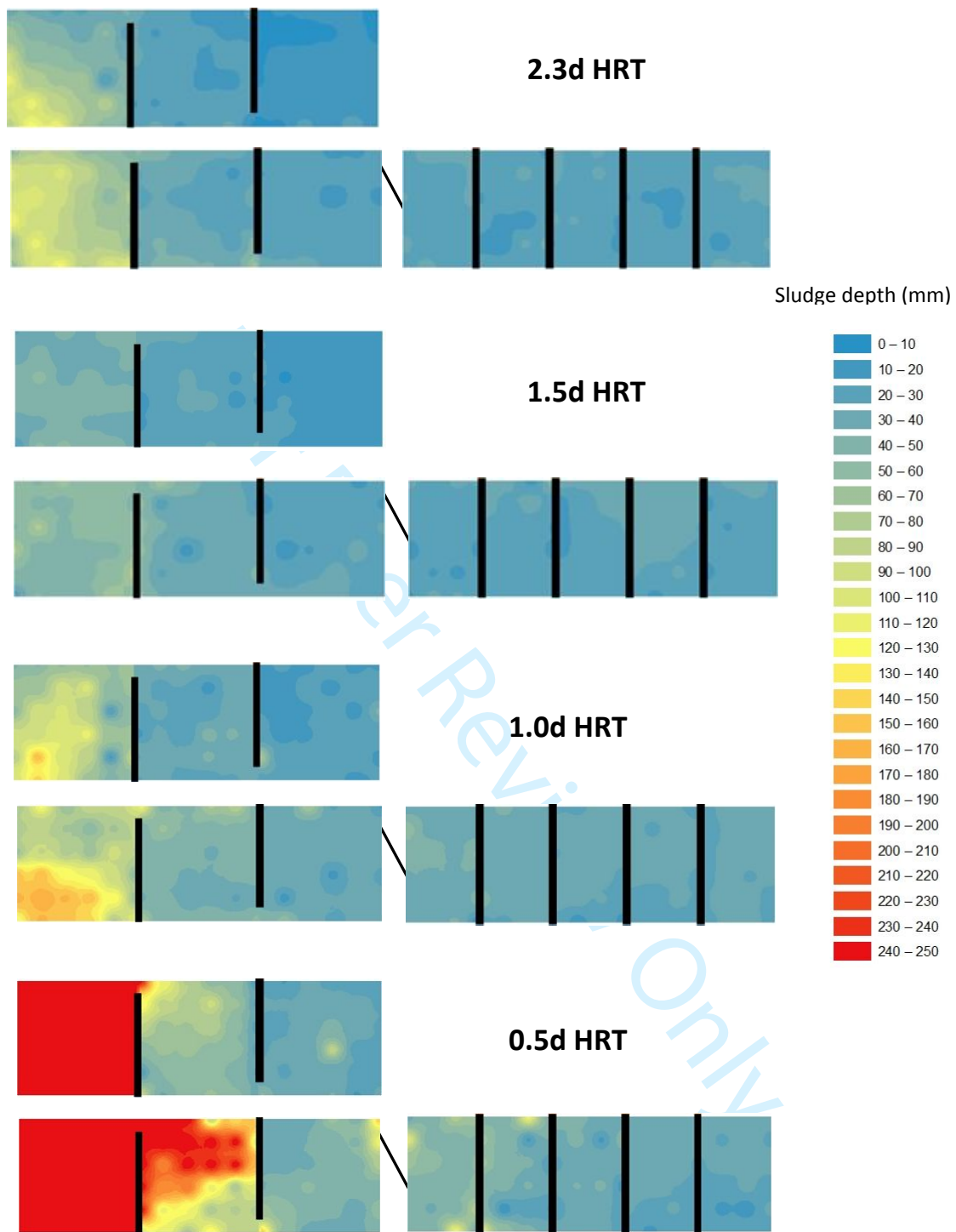


Figure 4 Sludge accumulation maps in the control anaerobic pond (CAP) and staged anaerobic pond (SAP) at the end of each of the four hydraulic retention times applied



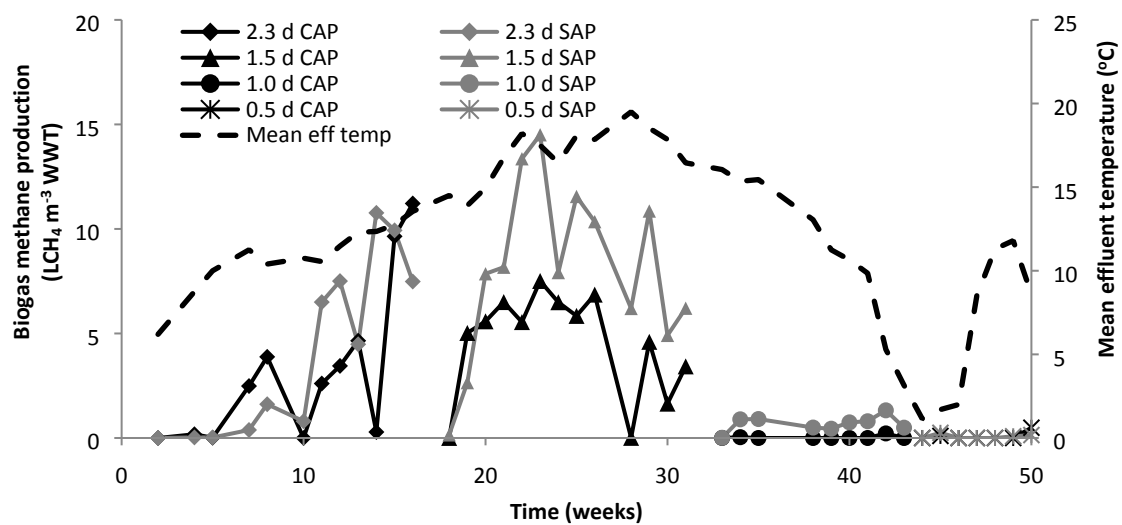


Figure 5 Mean flow-normalised biogas methane production in the control (CAP) and staged (SAP) anaerobic ponds.

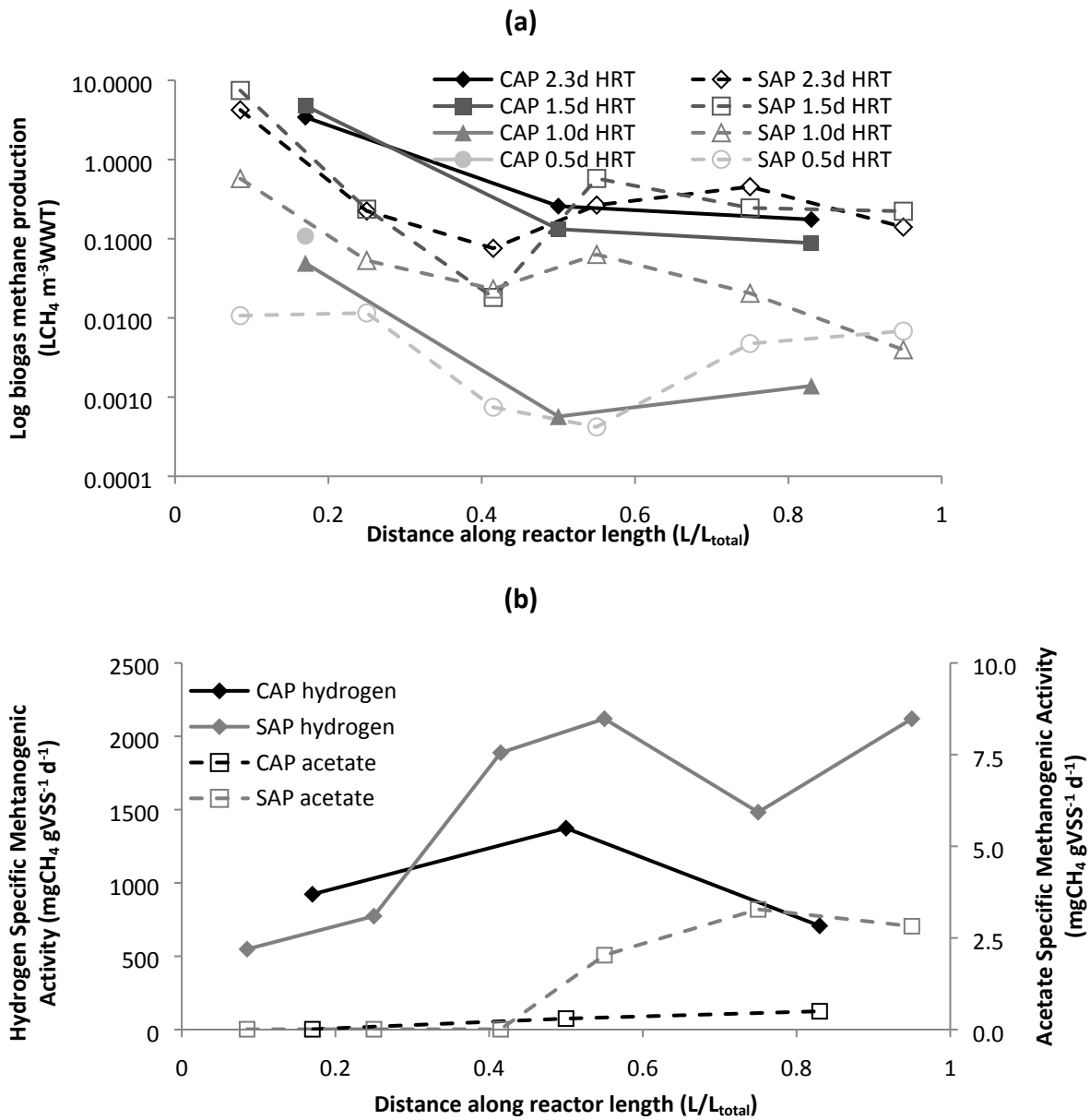
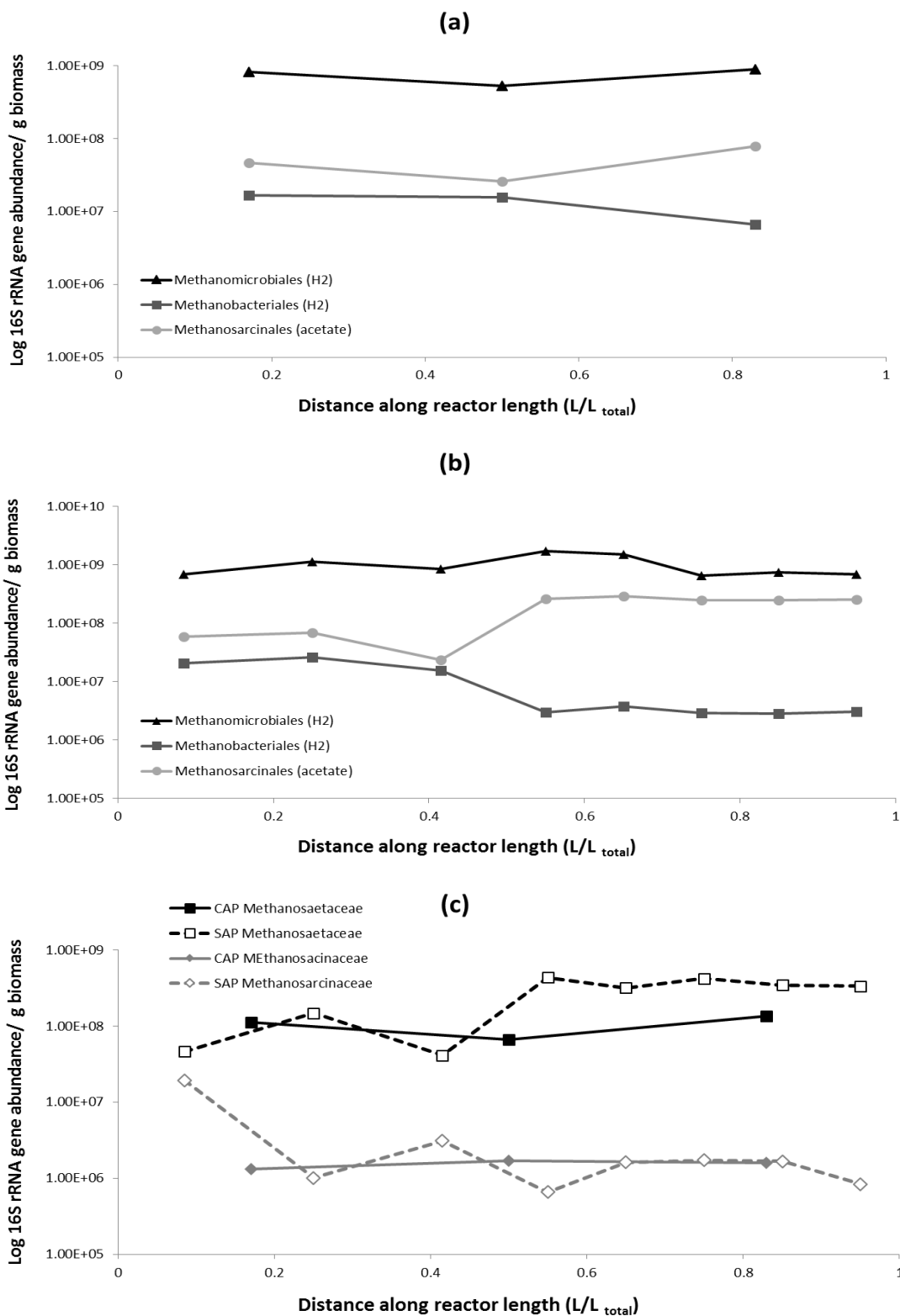


Figure 6 Mean flow-normalised biogas methane production (a), and specific methanogenic activity (b), from sludge samples along the length of the control anaerobic pond (CAP) and staged anaerobic pond (SAP) at the end of the study



**Figure 7** Microbial community qPCR data for three orders of methanogenic *archaea*, two hydrogenotrophic and one acetoclastic, in the (a) control anaerobic pond (CAP) and (b) staged anaerobic pond (SAP), and (c) two families of the *Methanosarcinales* order in the CAP and SAP.

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## 1    **The impact of hydraulic retention time on the performance of two** 2    **configurations of anaerobic pond for municipal sewage treatment**

3    P.H. Cruddas , N. Asproulis, A. Antoniadis, D. Best, G. Collins, E. Porca, B. Jefferson, E.  
4    Cartmell, E.J. McAdam

### 5 6    **Supplementary Information S1 – Detailed Materials and Methods**

#### 7    *Microbial community analysis*

##### 8    *Sample collection*

9    Samples from different zones of the ponds were taken at the end of the trial. Biomass  
10    (10 g), was collected in sterile tubes. All samples were stored at -20°C for their  
11    processing.

##### 12    *Genomic DNA extraction*

13    A fully automated nucleic acid extractor employing magnetic bead technology (Maxwell  
14    16 DNA Purification Kits; Promega) was used to extract and purify genomic DNA from  
15    the samples following the manufacturer's instructions. The DNA was stored at -20°C.

##### 16    *Quantification of the 16S rRNA gene*

17    Quantitative real-time polymerase chain reaction (qPCR) was used to quantify 16S rRNA  
18    gene of three methanogenic orders, *Methanomicrobiales*, *Methanobacteriales* and  
19    *Methanosarcinales*, and two families of the *Methanosarcinales* order,  
20    *Methanosaetaceae* and *Methanosarcinaceae*.

21    All qPCR reactions were performed using 20 µl reaction capillary tubes with the  
22    LightCycler 480 Probe Master (Roche Diagnostics). Each capillary tube was separately  
23    loaded with 5 µl of DNA dilution 1:10, followed by addition of: 10 µl of Taqman  
24    Mastermix (Roche Diagnostics); 1 µl of forward and reverse primers (10 µM); 1 µl of the  
25    TaqMan probe corresponding to each primer and probe set (10 µM); and PCR-grade  
26    sterile water to a final volume of 20 µl. A control without the corresponding DNA  
27    template (NTC) was included in every assay for each primer and probe set. All  
28    experiments were done in duplicate.

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4 29 Two step amplification of the target DNA was performed applying the following  
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6 30 conditions: an initial incubation at 94°C for 10 min, 45 cycles of denaturation at 94°C for  
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8 31 10 s and simultaneous annealing and extension at 60°C for 30 s, while the annealing and  
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10 32 extension for the *Methanomicrobiales*-specific set was performed at 63°C. The  
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12 33 transition rate was 20°C/s for all segments in the two-step cycling.

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14 34 The standard curves were performed following Yu *et al.* (2006) method.

#### 15 16 17 35 *Microbial community fingerprinting: TRFLPs*

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19 36 The Archaeal 16S rRNA gene from each sample was amplified using the primers  
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21 37 Arch109F (5'-ACKGCTCAGTAACACGT-3') (6-FAM fluorescent labelled) (Luna *et al.*, 2009)  
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23 38 and Arch958R (5'-AGGAATTGGCGGGGGAGCAC-3') (Ferris *et al.*, 1996). Each reaction  
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25 39 mixture contained: 5 µl 10X reaction buffer, 2 µl MgCl<sub>2</sub> (50 mM), 2 µl dNTPS (100 mM),  
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27 40 2 µl of each primer (10 µM), 0.2 µl of Taq DNA polymerase (Invitrogen), 2 µl of the DNA  
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29 41 template and nuclease free water up to a final volume of 50 µl.

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31 42 The DNA amplification was performed in a thermocycler with the following profile: initial  
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33 43 denaturation at 95°C for 5 min; 33 cycles of denaturation (at 95°C for 30 sec), annealing  
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35 44 (at 54°C for 45 sec) and elongation (at 72°C for 1 minute) and a final elongation at 72°C  
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37 45 for 7 minutes. The success and yield of each amplification reaction were determined by  
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39 46 electrophoresis in a 2% (w/v) agarose gel in 1X TAE buffer.

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41 47 In order to decide the enzyme that would provide the best results for the samples, some  
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43 48 *in silico* analysis were done using the NEBcutter software  
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45 49 (<http://tools.neb.com/NEBcutter2>) trying different restriction enzymes. *AluI* provided  
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47 50 the best discriminating profiles and was used for further digestions. The reaction  
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49 51 mixtures contained: 2 µl of 10X restriction enzyme buffer, 5 µl of the PCR rproduct, 1 µl  
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51 52 of *AluI* (Invitrogen) and water nuclease free to a final volume of 20 µl. Labelled PCR  
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53 53 products were digested at 37°C for 3 hours and the reactions were inactivated by  
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55 54 incubation at 65°C for 20 min. After that, the samples were prepared following the  
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56 55 company instructions and aliquots of 5 µl of each were sent to BioSciences (Dublin) for  
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58 56 the analysis.  
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4 57 TRFLP profiles were aligned using T-Align program (Smith *et al.*, 2005) and  
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6 58 multidimensional scaling (MDS) plots were constructed using Primer6 (Clarke &  
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8 59 Warwick, 2001) software. MDS plots were based on relative abundance of the individual  
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10 60 TRFs following square root transformation of data.  
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## 14 62 **References**

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