

## **Prediction of fruit and vegetable intake from biomarkers using individual participant data of diet-controlled intervention studies**

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**1 ABSTRACT**

2 Fruit and vegetable consumption produces changes in several biomarkers in blood. This study  
3 aims to examine the dose-response curve between fruit and vegetable consumption and  
4 carotenoids ( $\alpha$ -carotene,  $\beta$ -carotene,  $\beta$ -cryptoxanthin, lycopene, lutein, zeaxanthin), folate and  
5 vitamin C concentrations. Furthermore, a prediction model of fruit and vegetable intake based on  
6 these biomarkers and subject characteristics (i.e., age, gender, BMI, smoking status) was  
7 established. Data from 12 diet-controlled intervention studies were obtained to develop a  
8 prediction model for fruit and vegetable intake (including and excluding fruit and vegetable  
9 juices). The study population in this individual participant data meta-analysis consisted of 526  
10 men and women. Carotenoid, folate and vitamin C concentrations showed a positive relationship  
11 with fruit and vegetable intake. Measures of performance for the prediction model were  
12 calculated using cross-validation. For the prediction model of fruit, vegetable and juice intake the  
13 root mean squared error (RMSE) was 258.0 g, the correlation between observed and predicted  
14 intake was 0.78, and the mean difference between observed and predicted intake was -1.7 g  
15 (limits of agreement: -466.3; 462.8 g). For the prediction of fruit and vegetable intake (excluding  
16 juices) the RMSE was 201.1 g, the correlation was 0.65 and the mean bias was 2.4 g (limits of  
17 agreement: -368.2; 373.0 g). The prediction models which include the biomarkers and subject  
18 characteristics may be used to estimate average intake at the group level and to investigate  
19 ranking of individuals with regard to their intake of fruit and vegetables when validating  
20 questionnaires that measure intake.

## 21 INTRODUCTION

22 A high consumption of fruit and vegetables has been associated with a reduced risk of several  
23 chronic diseases such as cancer and cardiovascular disease<sup>(1-3)</sup>. Therefore, intervention studies  
24 that aim to increase the consumption of fruit and vegetables using advice or counseling are often  
25 conducted. To investigate the success of the intervention, the subjects are asked to report or  
26 recall their consumption of fruit and vegetables. However, as it is highly likely that the subject is  
27 aware of the intervention (i.e., the advice or counseling), the report or recall is likely to be  
28 biased. Objective measures such as serum/plasma concentrations of carotenoids have been used  
29 to investigate whether the intervention led to an increase in fruit and vegetable consumption  
30 compared to the control group<sup>(4-6)</sup>, but these biomarkers do not quantify the increase in fruit and  
31 vegetable intake caused by the intervention.

32 The validation of fruit and vegetable intake relies at this moment on self-reporting instruments.  
33 However, self-reported dietary intake instruments are found to be biased and to have correlated  
34 errors when compared to recovery biomarkers such as doubly labeled water and urinary nitrogen  
35 excretion<sup>(7-10)</sup>. Therefore, if we were able to quantify fruit and vegetable intake based on  
36 biomarkers rather than on self-reporting, the comparison of self-reported intake with this  
37 biomarker-based intake estimate will give a better idea of true validity. No recovery biomarker is  
38 available for fruit and vegetable intake. Therefore, it would be useful to find a predictive  
39 biomarker that can be related to true intake of fruit and vegetables<sup>(11, 12)</sup>.

40 It is not straightforward to relate an increase in for instance  $\beta$ -carotene concentration to an exact  
41 increase in fruit and vegetable consumption. Single biomarkers or the sum of carotenoids have  
42 previously been shown to have low correlations with self-reported intake of fruit and  
43 vegetables<sup>(13-21)</sup>. Therefore, to ascertain the full range of fruit and vegetable intake it is  
44 worthwhile to investigate whether a combination of biomarkers, possibly in combination with  
45 other factors, can provide more reliable results. Baldrick et al.<sup>(22)</sup> found that the carotenoids and  
46 vitamin C are the most consistently responsive biomarkers for fruit and vegetable intake. In  
47 addition, serum/plasma folate may be used as a biomarker of fruit and vegetable intake, even  
48 though this is a less sensitive marker especially in countries where fortification with folate is  
49 mandatory<sup>(23, 24)</sup>. To be able to use biomarkers to quantify the consumption of fruit and  
50 vegetables, the dose-response relationship between fruit and vegetable intake and the respective  
51 biomarkers must be present. As dietary intake recorded by subjects is often biased, a cross-

52 sectional study with such data will not provide us with an unbiased estimate of the dose-response  
53 curve. In contrast, for diet-controlled intervention studies where fruit and vegetables are provided  
54 to the participants the intake data does not rely solely on self-reporting. In these studies the  
55 combined information on the amount provided, the information from supervised consumption  
56 and the self-reported information on compliance, may lead to a less biased estimate of the intake  
57 of fruit and vegetables. We therefore conducted an individual participant data (IPD) meta-  
58 analysis of such studies, covering a wide range of fruit and vegetable intakes. The first aim of  
59 this study is to investigate the dose-response curve between fruit and vegetable consumption and  
60 biomarkers, namely serum carotenoids ( $\alpha$ -carotene,  $\beta$ -carotene,  $\beta$ -cryptoxanthin, lycopene,  
61 lutein, zeaxanthin), serum/plasma folate and serum/plasma vitamin C. The second aim is to  
62 establish a prediction model of fruit and vegetable intake based on these biomarkers which may  
63 be used to estimate group-level intake or as a predictive biomarker.

64

## 65 **METHODS**

### 66 **Search strategy**

67 The aim of the literature search was to find diet-controlled intervention studies (i.e., food  
68 provision studies or partly supervised feeding studies) conducted in adult subjects where reports  
69 on the amount of consumed fruits and vegetables were supported by information on the amount  
70 provided and where significant efforts were made to maximise compliance. The following diet-  
71 controlled intervention studies were included: i) all foods and drinks were provided to the  
72 subjects during the intervention, or ii) all fruits and vegetables consumed were provided to the  
73 subjects. In addition, carotenoids or folate concentrations in blood after intervention were  
74 measured and papers were published in the English language. The search was conducted in  
75 Scopus, Pubmed and by manual search of reference lists. Search terms in title and abstract were  
76 'fruit' and 'vegetables' combined with 'intervention', 'trial' and 'feeding study', which was then  
77 combined with 'biomarkers', 'biological markers', 'carotenoids', 'alpha-carotene', 'beta-  
78 carotene', 'beta-cryptoxanthin', 'zeaxanthin', 'lycopene', 'lutein', 'folate' and 'bioavailability'.  
79 The search included publications until October 2012.  
80 Papers were first screened based on the title and abstracts. Then, the full text of the papers that  
81 were considered potentially relevant were read and judged for relevancy. Next, the full text of  
82 the papers was retrieved and judged using inclusion and exclusion criteria. The exclusion

83 criteria were: i) intervention study where the intervention consisted of dietary advice or  
84 counseling (and therefore foods were not provided to the subjects by the investigators); ii)  
85 intervention study where not all fruits and vegetables were provided (i.e., the provision consisted  
86 of additional fruit and vegetables on top of normal fruit and vegetable consumption), or where  
87 fruit and vegetables were provided as supplements (e.g., capsules), juices, or extracts; iii)  
88 intervention study where the intervention involved a single ingestion of the intervention food(s)  
89 or an intervention period of 6 days or less; and iv) the study was conducted in children,  
90 adolescents, institutionalized elderly, or pregnant or lactating women.

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## 92 **Data**

93 Current contact details of corresponding author, first author or other authors were searched on  
94 the internet. Authors were contacted by email and asked whether they were willing to send the  
95 original data of the study. These authors were offered a co-authorship on the present paper. We  
96 requested individual participant data (where available) of subject characteristics (gender, age,  
97 height, weight (or BMI), smoking status), serum/plasma values of biomarkers, and intake of  
98 fruits and vegetables (or intervention group coding).

99 In addition, we collected information on: i) the study design (parallel or cross-over study,  
100 whether a run-in period was included, and where applicable whether a wash-out period was  
101 included); ii) the dietary intervention (duration of the dietary intervention, daily intake of fruit  
102 and vegetables, carotenoids or folate); iii) the serum/plasma measurements (whether blood was  
103 drawn after a fasting period, which methods were used for sample analysis).

104

## 105 **Statistical analysis**

106 Outliers, defined as all observations above  $[Q3+4*IQR]$  (where  $Q3$  refers to the third quartile  
107 and  $IQR$  is the inter-quartile range), were removed from the dataset. The median number of  
108 outliers per biomarker was 1 (range: 0-7).

109

### 110 *Dose-response curves*

111 The dose-response curve between log-transformed biomarker concentrations (dependent  
112 variable) and fruit and vegetable intake (independent variable) and between biomarker  
113 concentrations and the corresponding micronutrient was estimated using fractional polynomials

114 (FP)<sup>(25, 26)</sup>. To account for the one cross-over study and the between study heterogeneity the final  
115 parameter estimates were calculated using mixed models using study and subjects as random  
116 effects. Therefore, the estimated variance components refer to differences between studies,  
117 differences between individuals (to account for the cross-over study) and residual variance.  
118 To obtain predictions on the original scale rather than on the logarithmic scale, we applied the  
119 following back-transformation:  $E(Y) = \exp\left(\beta_0 + \sum_{k=1}^p \beta_k X_k + \frac{1}{2}\sigma^2\right)$ , where Y is the biomarker  
120 concentration on the original scale, X is the fruit and vegetable intake, and  $\sigma^2$  is the sum of the  
121 variance components estimated in the mixed model.

122 Several covariates were tested to see whether they statistically significantly predicted the  
123 biomarker concentrations. Covariates that were tested were age, BMI, gender, and smoking. In  
124 addition, the interaction between fruit and vegetable intake and these covariates was tested. The  
125 covariates and interactions were tested by including them one at a time in separate fractional  
126 polynomial regression models.

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### 128 *Prediction models of fruit and vegetable intake*

129 We developed three different prediction models based on what we learned from the dose-  
130 response curves. The models were estimated using linear regression: 1) a pre-specified model  
131 where all continuous variables were added as linear terms, 2) a pre-specified model where the  
132 shape of all continuous variables was established using multivariable fractional polynomials  
133 (MFP; referred to as MFP model), and 3) a reduced model including only the statistically  
134 significant predictors selected using MFP (referred to as reduced MFP model). The MFP models  
135 were analyzed using STATA/SE 11.0 for Windows. Interactions between the subject  
136 characteristics (age, BMI, gender and smoking status) and the biomarkers ( $\alpha$ -carotene,  $\beta$ -  
137 carotene, lutein+zeaxanthin, lycopene,  $\beta$ -cryptoxanthin) were tested for inclusion in the model in  
138 four separate models (i.e., i. main effects + age\*biomarkers; ii. main effects + BMI\*biomarkers,  
139 iii. main effects + gender\*biomarkers; iv. main effects + smoking status\*biomarkers). All  
140 interactions were included as linear terms. Interactions with  $p < 0.05$  were considered relevant for  
141 inclusion in the prediction model. These interactions were then tested together in the model and a  
142 backward selection was applied until all interactions included in the model had a p-value  $< 0.05$ .  
143 Because data on predictors and outcomes were not complete, we used a multiple imputation  
144 approach where 10 multiple imputed data sets were created. The power and selection of the

145 predictors was established in all 10 imputed data sets separately and the final model was  
146 established by majority voting<sup>(27)</sup>.  
147 The validation of the fruit, vegetable and juice intake (FVJ) and fruit and vegetable intake  
148 (excluding juices; FV) prediction models was assessed using 10-fold cross-validation. First the  
149 data was imputed as before, after which the data was randomly separated into 10 parts. One part  
150 was left out to construct the training set (i.e., the remaining nine parts) and the prediction models  
151 were fitted to each of the imputed data sets using linear regression models. The regression  
152 coefficients were combined using normal procedures to obtain the regression coefficients for the  
153 test data. The out-of-sample data (the test set) was used to calculate the predicted values for each  
154 individual by multiplying the regression coefficients with the observed values of the predictors in  
155 each of the imputed test sets. The final predicted values were calculated by averaging the  
156 predicted values over the 10 imputed test sets. Each of the parts was left out once, so the  
157 procedure was repeated 10 times. These predicted values were compared to the observed values  
158 as an estimate of the model performance using three different measures: 1) the Root Mean  
159 Square Error (MSE) =  $\sqrt{\frac{1}{n} \sum (Y - \hat{Y})^2}$ , 2) the correlation between observed intake and predicted  
160 intake, and 3) the mean difference (observed intake minus predicted intake) with the  
161 corresponding limits of agreement at the individual level (i.e, mean difference  $\pm$   
162  $1.96 * SD_{\text{difference}}$ ). Unless otherwise indicated, all analyses were performed using SAS version  
163 9.2.

164

## 165 **RESULTS**

### 166 **Search and data retrieval**

167 A total of 1002 studies were found of which 27 qualified for inclusion in the present meta-  
168 analysis<sup>(28-54)</sup>. Of these 27 papers, eight publications described a study population that was also  
169 described in another publication. Therefore, the authors of a total of 19 unique diet-controlled  
170 intervention studies were contacted for cooperation in retrieving individual data. The flowchart  
171 of the selection of studies is shown in Figure 1. A total of 12 authors responded positively to the  
172 request and made their data available for our analysis. A summary of study characteristics of  
173 these studies is given in Table 1 and an overview of the data of these studies is presented in



174 Table 2. The data of four studies were unfortunately unavailable, and three authors did not  
175 respond to our request. Information from these studies is available in Supplemental Table A.  
176 For four studies specific groups were not useful in the present analysis<sup>(36, 38, 41, 49, 50, 52)</sup>, and for  
177 one study data of a subset of participants was received<sup>(44)</sup>. For the study of Miller II *et al.*<sup>(44)</sup>,  
178 intake of fruit and vegetables in servings was converted to grams per day by multiplying the  
179 number of servings by 80 g. For the study of Itsiopoulos *et al.*<sup>(40)</sup> intake of fruit and vegetables  
180 was known for 15 subjects. For the remaining 12 subjects the vegetable intake was imputed as  
181 the mean of the intake as reported in the paper (i.e., 466 g/d vegetables and 162 g/d fruit). Where  
182 needed  $\alpha$ -carotene,  $\beta$ -carotene and lycopene were converted from  $\mu\text{g/mL}$  to  $\mu\text{mol/L}$ .

183

### 184 **Dose-response analysis**

185 The estimated dose-response curves between the different biomarkers and fruit, vegetable and  
186 juice intake are shown in Figure 2, and the dose-response curves between the biomarkers and  
187 fruit and vegetable intake (excluding juices) are shown in Figure 3. All biomarkers show a  
188 positive dose-response relationship with fruit and vegetable intake. The regression equations that  
189 were obtained are shown in Supplemental Table B.

190 The p-values of the covariate and interaction analysis are shown in Supplemental Table C. Age  
191 and smoking were significant predictors for all carotenoids, but not for plasma folate. BMI was a  
192 significant predictor for  $\alpha$ -carotene,  $\beta$ -carotene, lutein,  $\beta$ -cryptoxanthin and lycopene. Gender  
193 was only a significant predictor for lutein, zeaxanthin and lutein+zeaxanthin. The interactions  
194 between these covariates and the intake of fruits and vegetables were relevant ( $p < 0.1$ ) in most  
195 instances. The smoking\*fruit and vegetable interaction was only a significant predictor for about  
196 half of the biomarkers, but this may be due to the relatively low number of smokers included in  
197 this sample.

198 Where possible, the dose-response relationship between the biomarkers and the intake of the  
199 micronutrient was also investigated (Supplemental Figure A). The available sample size was  
200 largest for  $\beta$ -carotene ( $n=316$ ) and smallest for lutein+zeaxanthin ( $n=35$ ). The sample size of  
201 zeaxanthin was too low to warrant analysis. All curves showed a positive relationship between  
202 intake and serum or plasma concentrations except lutein at high intakes. There is no biological  
203 evidence for the drop that is visible in the lutein curve. As there were very few data available for  
204 lutein intake above 15 mg/day, this part of the curve is not considered reliable.

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## **Prediction model**

The regression coefficients of the final prediction model are presented in Table 3 and the performance measures are presented in Table 4. The power and variable selection process of the MFP and the reduced MFP model is shown in Supplemental Tables D and E. For fruit, vegetable and juice intake, the reduced MFP model showed the lowest RMSE (i.e., 258.0 g) and the highest correlation between observed and predicted (i.e., 0.78) compared to the linear model and the full pre-specified MFP model. The mean difference of the reduced MFP model (-1.7 g) was a little higher than of the other two models (linear model: -1.6 g; MFP model: -1.5 g), but the limits of agreement were markedly smaller than those of the other two models. Bland-Altman plots are presented in Supplemental Figure B.

For fruit and vegetable intake (excluding juices) the MFP model was the best model. It showed the lowest RMSE (201.1 g), the highest correlation (0.65) and the lowest mean bias (2.4 g) with the smallest limits of agreement (-368.2; 373.0 g).

The prediction model for fruit and vegetable intake (excluding juices) showed a somewhat lower correlation and higher absolute mean difference than the model of fruit and vegetable intake which included juices. Therefore, we investigated whether a model including a predictor variable that represented the juice intake (in g/d) would improve the prediction for fruit and vegetable intake when juices were excluded. However, this did not markedly change the results. The MFP model including juice as a predictor variable had a RMSE of 202.8 g, a correlation of 0.64, mean bias of 0.2 g (limits of agreement: -374.1; 374.6 g). Therefore, the more simple model without juice as a predictor variable is preferred as a prediction model for fruit and vegetable intake (excluding juices).

To be able to compare the performance of the prediction model with the current practice of using the sum of carotenoids or any of the single biomarkers, we calculated the correlation coefficients between the observed intakes and the sum of carotenoids and between observed intakes and the single biomarkers (Table 5). For fruit, vegetable and juice intake the correlations range between 0.04 and 0.32, which is much lower than the 0.65 of the prediction model. Also for fruit and vegetable intake (excluding juices) the correlations (between 0.15 and 0.38) are lower than that of the prediction model (0.64).

235 To indicate the value of the prediction model for individual studies, an additional cross-  
236 validation was performed by leaving one entire study out of the training set. The study that was  
237 left out comprised the test set. Table 6 shows the RMSE and mean difference with the limits of  
238 agreement for the reduced MFP model for fruit, vegetable and juice intake and the MFP model  
239 for fruit and vegetable intake (excluding juices). These show that there is a difference on how  
240 well the prediction models perform per study. The study of Karlsen *et al.*<sup>(41)</sup> shows a worse  
241 performance for fruit, vegetable and juice intake, but not for fruit and vegetable intake  
242 (excluding juices). This is most likely caused by the relatively high intake of fruits, vegetables  
243 and juices in this study (see Table 1).

244

## 245 **DISCUSSION**

246 The first part of this research showed that all investigated biomarkers (carotenoids and folate)  
247 showed a positive relationship with fruit and vegetable intake, and are therefore useful for  
248 predicting fruit and vegetable intake. Several covariates were significantly associated with the  
249 biomarkers. The next aim was to develop a prediction model for fruit and vegetable intake based  
250 on objective variables such as biomarkers and subject characteristics. Among the three  
251 investigated models for predicting fruit, vegetable and juice intake the reduced MFP model  
252 showed the best performance in cross-validation, and for fruit and vegetable intake (excluding  
253 juices) the MFP model showed the best performance.

254 The sum of carotenoids has been used in an attempt to combine biomarkers into a single estimate  
255 for fruit and vegetable intake in various studies. The sum of carotenoids was positively  
256 correlated with self-reported fruit and vegetable intake<sup>(14-21, 55, 56)</sup>. In the present study, the  
257 correlations between our predicted values, which can easily be calculated in future research by  
258 multiplying observed values from biomarkers and subject characteristics with the corresponding  
259 beta coefficients from Table 3 and then adding these together, and the observed fruit and  
260 vegetable intake (both including and excluding juices) is markedly higher than the correlations  
261 between the observed intakes and the sum of carotenoids or any of the single biomarkers.

262 Despite the models good performance on average, there is quite some residual variation as well  
263 as an overestimation of low fruit and vegetable intake and an underestimation of high fruit and  
264 vegetable intake. Not all fruits and vegetables contain the same concentration of carotenoids and  
265 folate, and also other foods in the diet will contain these nutrients. Therefore, the type of fruits

266 and vegetables eaten as well as the diet as a whole will influence the final biomarker  
267 concentrations in the blood. The current study tried to capture ‘normal’ diet effects as much as  
268 possible by excluding those studies that provided only on a single fruit or vegetable, and  
269 including intervention arms that focused on carotenoid-rich or folate-rich as well as those that  
270 focused on carotenoid-poor or folate-poor fruits and vegetables. To obtain the large-sample  
271 benefits of a meta-analysis these different study types were grouped together. This was done  
272 under the assumption that since quite a number of studies were included, the applied regression  
273 analysis will average out effects of individual studies, which resulted in an assumption that at  
274 least this first approximation does not depend on the type of fruit and vegetables. Obviously the  
275 assumption is not true in an absolute sense, as for example carrots contain more carotenoids than  
276 some other vegetables, and this will require further investigation.

277 Another source of variability may come from the different intervention durations. We excluded  
278 studies with a duration of less than seven days under the assumption that it would take  
279 approximately a week to obtain a new steady-state for the carotenoids after the change in diet  
280 induced by the intervention<sup>(57)</sup>. The actual duration of the studies included in the prediction  
281 models was much longer (Table 1).

282 Differences in analytical methods used in the different studies may be another source of residual  
283 variation. In particular, folate levels were analysed using different assays, e.g. immunoassay,  
284 radioassay. Also, among many other possible sources, laboratory variability may be caused by  
285 different specimen collection and storage<sup>(58)</sup>.

286 Gender, age, BMI and smoking impact on serum carotenoids, serum vitamin C and plasma folate  
287 levels, and several other covariates such as serum cholesterol, serum triglycerides and  
288 consumption of alcohol, fat and energy may also be related to the biomarkers<sup>(59-63)</sup>. It may be of  
289 interest to investigate whether these covariates could significantly improve the prediction model.  
290 However, current data did not allow us to investigate this thoroughly.

291 **Although significant efforts were made in all individual studies to encourage compliance to the**  
292 **study protocol (e.g. supervised consumption of meals; see Table 1) the true intake of fruit and**  
293 **vegetables cannot always be determined with absolute certainty when they rely on self-reports of**  
294 **compliance. In quite a number of the individual studies the compliance was investigated with**  
295 **e.g. questionnaires or diaries, and most often this self-reported compliance was high.**

296 Unfortunately, no external validation data was available for the prediction model. We chose to  
297 use all the data from the diet-controlled intervention studies that were available to us to develop  
298 the models. To perform an external validation, data from other or new diet-controlled  
299 intervention studies would have to be obtained. As this is very complicated and the data from  
300 these studies would then preferably be used to develop or improve the model rather than to just  
301 validate it, we mimicked independent data by using cross-validation to calculate the measures of  
302 performance <sup>(64)</sup>.

303 The use of individual participant data from diet-controlled intervention studies made it possible  
304 to model the dose-response curves and the prediction models for a large range of fruit and  
305 vegetable intake with a relatively large number of subjects using a more objective assessment of  
306 intake. However, between-study differences may have influenced the study results. In the dose-  
307 response analysis we took clustering into account by using mixed effect models<sup>(65)</sup>. For the  
308 prediction model, the marginal predictions (i.e., using only the fixed effects as the (unknown)  
309 random effect cannot be used in predictions for new subjects) from the random intercept linear  
310 regression model performed somewhat worse in cross-validation than the predictions from the  
311 standard regression model (data not shown), and therefore we chose to present the standard  
312 regression model. Bouwmeester et al.<sup>(66)</sup> found similar performance measures for a standard  
313 logistic regression model and a random intercept logistic regression model in a study on surgical  
314 patients that were clustered per anesthesiologist. Recently, Debray et al.<sup>(67)</sup> have developed an  
315 approach to deal with risk prediction in new patients taking into account the random-intercept  
316 after the model has been developed using IPD meta-analysis with mixed effects modeling. In the  
317 present study, the performance of the conditional predictions was not considerably better than the  
318 performance of the standard predictions in an apparent validation (i.e., an internal validation  
319 based on the entire data, so not using cross-validation) (data not shown).

320 In conclusion, the relatively strong correlations between predictions and actual intake indicate  
321 that our prediction models may be used to investigate ranking of individuals with regard to their  
322 intake of fruit and vegetables when validating questionnaires that measure intake (e.g. FFQ or  
323 24-hour recall). Furthermore, the low mean bias show the models have good potential to be used  
324 to estimate average fruit and vegetable intake on a group level. The large limits of agreement  
325 indicate that the prediction models should not be used to estimate individual fruit and vegetable  
326 intake.

327

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333

**334 CONFLICT OF INTEREST**

335 None

336

**337 AUTHORSHIP**

338 The authors' responsibilities were as follows: HCB designed research. RF, BW, AB, ERM,  
339 JJMC, WJP, KvdH, MC, AK, LOD, RW, CI, LB, KO, CAvL-B, THJN provided essential data  
340 that was used for this study. JHMdV and HvdV provided essential advice. OWS performed  
341 statistical analysis. OWS and HCB wrote the paper. OWS and HCB had primary responsibility  
342 for final content. All authors read and approved the final manuscript.

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Figure 1. Flow diagram of study selection process.

Figure 2. Dose-response curves between serum carotenoids, plasma/serum folate and vitamin C and fruit, vegetable and juice intake. The circles indicate the individual data points, the size is proportional to the number of individuals for that specific intake (i.e., the larger the circle the more individuals were available for analysis).

Figure 3. Dose-response curves between serum carotenoids, plasma/serum folate and vitamin C and fruit and vegetable intake (excluding juices). The circles indicate the individual data points, the size is proportional to the number of individuals for that specific intake (i.e., the larger the circle the more individuals were available for analysis).

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Table 1. Overview of study characteristics of included studies

Author	Year	N*	Study design and dietary intervention	Checks on compliance / intake	Duration (days)	Fruit and vegetable intake of included groups (g/d)				
						Group‡	FV		FVJ	
							Mean	SD	Mean	SD
Broekmans <i>et al.</i> <sup>(33)</sup>	2000	47 (47)	Complete diet; parallel intervention	Evening meal under supervision at the institute, remaining parts were weighed and recorded. The remainder of the daily diet was handed out to the volunteers. Consumption was checked through a questionnaire.	28	A: Low (P)	100		100	
						B: High (P)	565		765	
Castenmiller <i>et al.</i> <sup>(35, 36)</sup>	1999	58 (72)	Complete diet with list of free choice; parallel intervention	Subjects received a hot meal at the university and foods for their other meals and snacks were packed to be taken home. The daily selection of free choice foods was recorded in a diary.	21	A: Control (P)	491	137	728	172
						B: Whole leaf spinach (P)	484	117	722	146
						C: Minced spinach (P)	471	108	712	135
						D: Liquefied spinach (P)	473	100	711	129
						E: Liquefied spinach plus dietary fibre (P)	468	90	711	122
Chopra <i>et al.</i> <sup>(37)</sup>	2000	34 (32)	Fruits and vegetables provided; cross-over intervention	Participants were provided with food items. Most of these were consumed during lunch at the University on the weekdays. Researchers relied on participants for extra consumption during the rest of the day and at weekends.	7	A: Red week (P)	350		350	
						B: Green week (P)	350		350	
Dragsted <i>et al.</i> <sup>(38)</sup> ; Moller <i>et al.</i> <sup>(46)</sup>	2003	31 (43)	Complete diet; parallel intervention	All the food were provided free of charge throughout the intervention. In addition plasma alpha- and beta carotene and ascorbate were used as markers to assure that the groups differed.	24	A: Fruveg (P)	480		600	
						B: Placebo (P)	0		0	

Freese <i>et al.</i> <sup>(39)</sup> ; Misikangas <i>et al.</i> <sup>(45)</sup>	2001	77 (77)	Complete diet with list of free choice; parallel intervention	In the intervention food consumption was controlled by serving the lunch at the department on weekdays and by asking the volunteers to mark in their study diaries if any study foods were not eaten. Also biomarkers were used to check compliance.	42	A: PUFA – low FBV (P) B: PUFA – high FBV (P) C: MUFA – low FBV (P) D: MUFA – high FBV (P)	217 807 235 809	32 166 51 138	505 1057 549 1059	73 217 119 181
Itsiopoulos <i>et al.</i> <sup>(40)</sup>	2011	27 (27)	Diet provided in excess of intake; cross-over intervention	Compliance was checked with 7 day diet diaries and participants were interviewed every 2 weeks when they returned to pick up supplies of foods. Participants were asked to tick off the foods they ate over the previous 2 weeks in a booklet. Plasma fatty acids and carotenoids, and body weight were measured as markers of compliance.	84	Mediterranean diet (R)	768	216	768	216
Karlsen <i>et al.</i> <sup>(41)</sup> ; Bohn <i>et al.</i> <sup>(29)</sup>	2010	33 (33)	Diet provided in excess of energy requirements; parallel intervention	A detailed questionnaire was completed at each weekly follow-up to record compliance. All participants were instructed to bring the remaining food items to the weekly follow-up. Individual counselling was given to the participants to help them consume the provided food items. Dietary intake during the intervention period, was recorded using a 7 d food record with a picture book, which was completed in the last week of the intervention	56	Antioxidant-rich diet (R)	525	242	1491	509

				period.							
Miller III <i>et al.</i> <sup>(44)</sup>	2005	60 (103)	Complete diet; parallel intervention	Meals were prepared in a metabolic kitchen and served in an outpatient dining facility. Throughout the 3 months of feeding, participants agreed to eat only the food provided to them and nothing else.	90	A: DASH diet (P) B: control diet (P)	- -			768 288	
Van het Hof <i>et al.</i> <sup>(49)†</sup>	1999	43 (54)	Complete diet with list of free choice; parallel intervention	The hot meals were provided at lunch time under supervision from Monday-Friday. Other foods during these days and during the weekends were eaten at home and compliance was checked via diaries. Volunteers were carefully instructed how to prepare the foods.	28	A: Low-vegetable diet (P) B: High vegetable diet (P)	255 605			455 805	
Van Loo–Bouwman <i>et al.</i> <sup>(50)</sup>	2009	24 (24)	Complete diet with list of free choice; cross- over intervention	The hot meals were provided at lunch time under supervision from Monday-Friday. Other foods during these days and during the weekends were eaten at home and compliance was checked via diaries.	21	Mixed diet (vegetables and fruit high in $\beta$ - carotene) (P)	329	100	654	182	
Watzl <i>et al.</i> <sup>(51)</sup> ; Briviba <i>et al.</i> <sup>(32)</sup>	2005	63 (63)	Fruits and vegetables provided; parallel intervention	Each study participant was provided with a box with F&V. F&V which were not consumed during the study period had to be returned. Daily intake of F&V was assessed via a specific F&V protocol throughout the study period. During two 4-day periods the whole food intake was assessed via diary.	28	A: 2 servings/day (P) B: 5 servings/day (P) C: 8 servings/day (P)	- - -			250 565 955	
Winkels <i>et al.</i> <sup>(52)</sup>	2007	29	Complete diet	All foods were provided. Participants	28	Food folate group (P)	476			876	



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(72)	with list of free choice; parallel intervention	were asked to report all free-choice items and any deviations in a diary.
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FV, fruit and vegetable intake excluding juices; FVJ, fruit, vegetable and juice intake; FBV, fruit, berries and vegetables.

\* The number of individuals used in the present analysis. Within brackets the number of individuals reported in the original publication. For several studies specific intervention groups were not useful in the present analysis<sup>(36, 38, 41, 49, 50, 52)</sup>, and for one study data of a subset of participants was received<sup>(44)</sup>.

† The folate data of this study were no longer available<sup>(34)</sup>.

‡ Between brackets it is indicated whether the amount of fruit and vegetables reported in the table and used in the analysis is the amount provided to the subjects (indicated by 'P') or that the amount relies partly on self-reporting (indicated by 'R').

Table 2a. Baseline characteristics of the included studies

Study	N	Age (y)		BMI (kg/m <sup>2</sup> )		Gender % male	Smoking % smoking	Plasma folate (nmol/l)		Vitamin C (μmol/l)	
		mean	SD	mean	SD			mean	SD	mean	SD
Broekmans <i>et al.</i> <sup>(33)</sup>	47	49.3*	5.1*	25.7	3.1	51.1	25.5	13.7	7.1	49.4	18.6
Castenmiller <i>et al.</i> <sup>(35, 36)</sup>	58	22.8	7.7	22.1	2.1	39.7	0	15.3	4.2	-	-
Chopra <i>et al.</i> <sup>(37)</sup>	34	37.2	8.7	-	-	0	-	-	-	-	-
Dragsted <i>et al.</i> <sup>(38, 46)</sup>	31	27.3	7.3	23.1	2.3	48.4	0	10.8	4.0	-	-
Freese <i>et al.</i> <sup>(39, 45)</sup>	77	25.1	6.6	22.6	3.2	26.0	5.2	10.0	4.1	51.9	16.5
Itsiopoulos <i>et al.</i> <sup>(40)</sup>	27	59.1	7.1	30.2	3.7	59.3	-	-	-	-	-
Karlsen <i>et al.</i> <sup>(29, 41)</sup>	33	56.7	6.4	24.8	2.7	100	100	-	-	46.7	17.0
Miller III <i>et al.</i> <sup>(44)</sup>	60	52.0*	10.0*	29.6*	4.4*	44*	14*	-	-	-	-
Van het Hof <i>et al.</i> <sup>(49)</sup>	43	22.4	6.4	22.4	2.1	27.9	0	-	-	66.6	17.4
Van Loo – Bouwman <i>et al.</i> <sup>(50)</sup>	24	22.0	4.0	21.8	2.2	41.7	0	-	-	-	-
Watzl <i>et al.</i> <sup>(32, 51)</sup>	63	31.2	9.0	23.7	2.7	100	0	-	-	83.7	16.6
Winkels <i>et al.</i> <sup>(52)</sup>	29	23.3	4.8	22.6	2.8	24.1	13.8	12.1	-	-	-
Total population	526	30.9	13.8	23.6	3.4	47.9	13.1	12.1	5.2	60.8	22.2

Table 2b. Baseline characteristics of the included studies

Study	$\alpha$ -carotene ( $\mu\text{mol/l}$ )		$\beta$ -carotene ( $\mu\text{mol/l}$ )		$\beta$ -cryptoxanthin ( $\mu\text{mol/l}$ )		Lycopene ( $\mu\text{mol/l}$ )		Lutein ( $\mu\text{mol/l}$ )		Zeaxanthin ( $\mu\text{mol/l}$ )		Lutein+zeaxanthin ( $\mu\text{mol/l}$ )	
	mean	SD	mean	SD	mean	SD	mean	SD	mean	SD	mean	SD	mean	SD
Broekmans <i>et al.</i>	0.06	0.04	0.40	0.21	0.14	0.10	0.45	0.27	0.34	0.15	0.04	0.03	0.37	0.16
Castenmiller <i>et al.</i>	0.07	0.03	0.27	0.14	0.28	0.12	0.19	0.10	0.22	0.07	0.03	0.01	0.25	0.08
Chopra <i>et al.</i>	0.10	0.07	0.38	0.29	0.13	0.09	0.34	0.16	0.23	0.10	-	-	-	-
Dragsted <i>et al.</i>	-	-	0.36	0.23	-	-	-	-	0.26	0.12	-	-	-	-
Freese <i>et al.</i>	0.20	0.10	0.60	0.30	0.10	0.05	0.62	0.19	0.26	0.10	-	-	-	-
Itsiopoulos <i>et al.</i>	0.08	0.05	0.31	0.20	0.16	0.14	0.43	0.20	-	-	-	-	0.35	0.13
Karlsen <i>et al.</i>	0.07	0.06	0.35	0.29	0.15	0.10	0.56	0.26	0.16	0.07	0.04	0.02	0.20	0.08
Miller III <i>et al.</i>	0.05	0.05	0.23	0.13	0.07	0.04	0.28	0.15	0.16	0.06	0.04	0.02	0.19	0.07
Van het Hof <i>et al.</i>	0.08	0.06	0.40	0.19	0.34	0.21	0.27	0.12	0.17	0.07	0.04	0.02	0.20	0.09
Van Loo – Bouwman <i>et al.</i>	0.10	0.06	0.75	0.36	0.34	0.14	-	-	-	-	-	-	-	-
Watzl <i>et al.</i>	0.13	0.08	0.55	0.31	0.23	0.12	0.55	0.25	0.26	0.10	0.06	0.02	0.33	0.14
Winkels <i>et al.</i>	-	-	-	-	-	-	-	-	-	-	-	-	-	-
Total population	0.10	0.08	0.42	0.28	0.18	0.15	0.42	0.24	0.23	0.11	0.04	0.02	0.27	0.13

\* These data are taken from the original publication, but were not available for the present analysis

Table 3. Regression coefficients, standard errors, and powers for the predictors on the multiple completed\* data sets (N=492† in each completed data set) from a linear regression analysis

Predictors	Linear model		MFP model			Reduced MFP model			
	$\beta$	SE	$\beta$	SE	Power	$\beta$	SE	Power	
FVJ									
Constant		-172.8	158.9	-1691.4	526.9	-	1043.2	180.0	-
$\alpha$ -carotene	$\mu\text{mol/l}$	479.8	142.2	607.8	133.4	0.5	674.1	90.1	0.5
$\beta$ -carotene	$\mu\text{mol/l}$	123.1	53.1	101.5	50.9	1	-	-	-
Lutein + zeaxanthin	$\mu\text{mol/l}$	193.2	68.8	154.6	70.6	1	-153.7	36.8	-0.5
$\beta$ -cryptoxanthin	$\mu\text{mol/l}$	162.1	138.5	141.2	138.3	1	-	-	-
Lycopene	$\mu\text{mol/l}$	-13.8	87.4	-78.0	82.2	1	-	-	-
Folate‡	$\text{nmol/l}$	158.9	38.9	49.9	11.1	2	48.9	10.9	2
Vitamin C	$\mu\text{mol/l}$	0.91	0.93	0.78	0.96	1	-	-	-
BMI	$\text{kg/m}^2$	7.6	7.9	10.2	7.2	1	-	-	-
Female gender		-40.2	27.3	-55.3	28.1		-63.5	29.2	x
Age§	yr	39.4	24.4	-1711.6	596.0	0	-992.9	341.0	0
				1982.9	676.6	0.5	470.2	149.4	0
Smoking		-367.4	248.6	-278.6	195.3		-232.2	187.4	x
Smoking* folate		38.1	13.7	31.3	10.5	1	28.4	10.3	1
FV									
Constant		-274.2	166.5	-304.9	164.2	-	-85.5	141.5	
$\alpha$ -carotene	$\mu\text{mol/l}$	939.2	205.0	830.9	219.9	1	-	-	-
$\beta$ -carotene	$\mu\text{mol/l}$	104.1	45.9	95.4	45.1	1	300.2	65.2	1
Lutein + zeaxanthin	$\mu\text{mol/l}$	276.8	69.5	414.4	90.2	1	-158.3	29.3	-0.5
				-562.4	140.2	1			
$\beta$ -cryptoxanthin	$\mu\text{mol/l}$	146.1	105.7	74.4	100.7	1	-	-	-
Lycopene	$\mu\text{mol/l}$	-764.1	306.0	-782.8	295.8	1	-	-	-
Folate‡	$\text{nmol/l}$	74.0	34.7	59.6	33.0	1	62.5	33.4	1
Vitamin C	$\mu\text{mol/l}$	1.7	0.7	1.4	0.6	1	1.6	0.6	1
BMI	$\text{kg/m}^2$	4.9	6.6	5.6	6.2	1	16.4	3.8	1
Female gender		42.0	41.5	-57.3	21.8		-42.8	22.4	x
Age§	yr	63.6	12.4	1.1	0.2	3	53.1	14.4	1
Smoking		8.5	45.5	19.8	43.8		-	-	-
Age* $\alpha$ -carotene		-22.0	5.3	-19.1	5.4	1	-	-	-
BMI*lycopene		29.0	11.9	28.6	11.6	1	-	-	-
Gender*lut+zeax		-215.0	82.2	-	-	-	-	-	-
Age* $\beta$ -carotene		-	-	-	-	-	-5.0	2.1	1

FVJ, fruit, vegetable and juice intake, FV, fruit and vegetable intake excluding juices.

\* Completed data sets refers to the data after multiple imputation

† The study of Chopra<sup>(37)</sup> could not be used in this analysis due to estimation problem

‡ Folate is scaled as folate/10

§ Age is scaled as age/10

Table 4. Performance measures of the different prediction models as calculated by cross-validation

	FVJ				FV			
	RMSE	correlation	mean difference between observed and predicted	limits of agreement	RMSE	correlation	mean difference between observed and predicted	limits of agreement
Linear model	265.7	0.77	-1.6	-478.4; 475.2	205.6	0.64	4.4	-372.3; 381.1
MFP model	260.0	0.78	-1.5	-467.6; 464.7	201.1	0.65	2.4	-368.2; 373.0
Reduced MFP model	258.0	0.78	-1.7	-466.3; 462.8	205.2	0.61	6.8	-382.3; 396.0

FVJ, fruit, vegetable and juice intake; FV, fruit and vegetable intake excluding juices

Table 5. Pearson correlations between fruit and vegetable intake and biomarkers

Biomarker	FVJ	FV
$\alpha$ -carotene at follow-up ( $\mu\text{mol/l}$ )	0.29	0.26
$\beta$ -carotene at follow-up ( $\mu\text{mol/l}$ )	0.27	0.24
Cryptoxanthin at follow-up ( $\mu\text{mol/l}$ )	0.08	0.16
Lycopene at follow-up ( $\mu\text{mol/l}$ )	0.19	0.24
Combined lutein and zeaxanthin at follow-up ( $\mu\text{mol/l}$ )	0.08	0.15
Sum of carotenoids ( $\mu\text{mol/l}$ )	0.23	0.33
Serum/plasma folate at follow-up (nmol/l)	0.32	0.26
Serum/plasma vitamin C at follow-up ( $\mu\text{mol/l}$ )	0.04	0.38

FVJ, fruit, vegetable and juice intake; FV, fruit and vegetable intake excluding juices.

Table 6. Performance measures of the best performing prediction models per study as calculated by cross-validation

	FVJ (reduced MFP model)			FV (MFP model)		
	RMSE	mean difference between observed and predicted	limits of agreement	RMSE	mean difference between observed and predicted	limits of agreement
Broekmans <i>et al.</i> <sup>(33)</sup>	340.9	-127.9	-743.2; 487.5	209.8	-88.3	-457.4; 280.8
Castenmiller <i>et al.</i> <sup>(35, 36)</sup>	188.2	10.1	-358.4; 378.6	126.8	17.0	-224.7; 258.8
Dragsted <i>et al.</i> <sup>(38, 46)</sup>	303.4	-198.9	-631.7; 233.9	191.9	-80.1	-407.9; 247.6
Freese <i>et al.</i> <sup>(39, 45)</sup>	274.7	94.7	-410.3; 599.7	304.0	150.2	-368.1; 668.5
Itsiopoulos <i>et al.</i> <sup>(40)</sup>	271.0	4.8	-492.4; 502.0	253.6	129.6	-289.5; 548.8
Karlsen <i>et al.</i> <sup>(29, 41)</sup>	673.8	555.8	-159.4; 1271.0	228.7	33.0	-408.2; 474.2
Miller III <i>et al.</i> <sup>(44)</sup>	242.0	46.7	-326.1; 419.6	236.4	50.4	-370.8; 471.7
Van het Hof <i>et al.</i> <sup>(49)</sup>	125.5	27.0	-170.3; 224.2	88.9	16.0	-146.1; 178.0
Van Loo – Bouwman <i>et al.</i> <sup>(50)</sup>	181.4	0.48	-305.9; 306.9	195.1	-156.1	-331.4; 19.2
Watzl <i>et al.</i> <sup>(32, 51)</sup>	270.1	-141.1	-576.3; 294.1	210.6	-64.8	-441.2; 311.7
Winkels <i>et al.</i> <sup>(52)</sup>	241.1	145.9	-121.3; 413.0	133.5	7.5	-101.4; 116.5

FVJ, fruit, vegetable and juice intake; FV, fruit and vegetable intake excluding juices

Figure 2

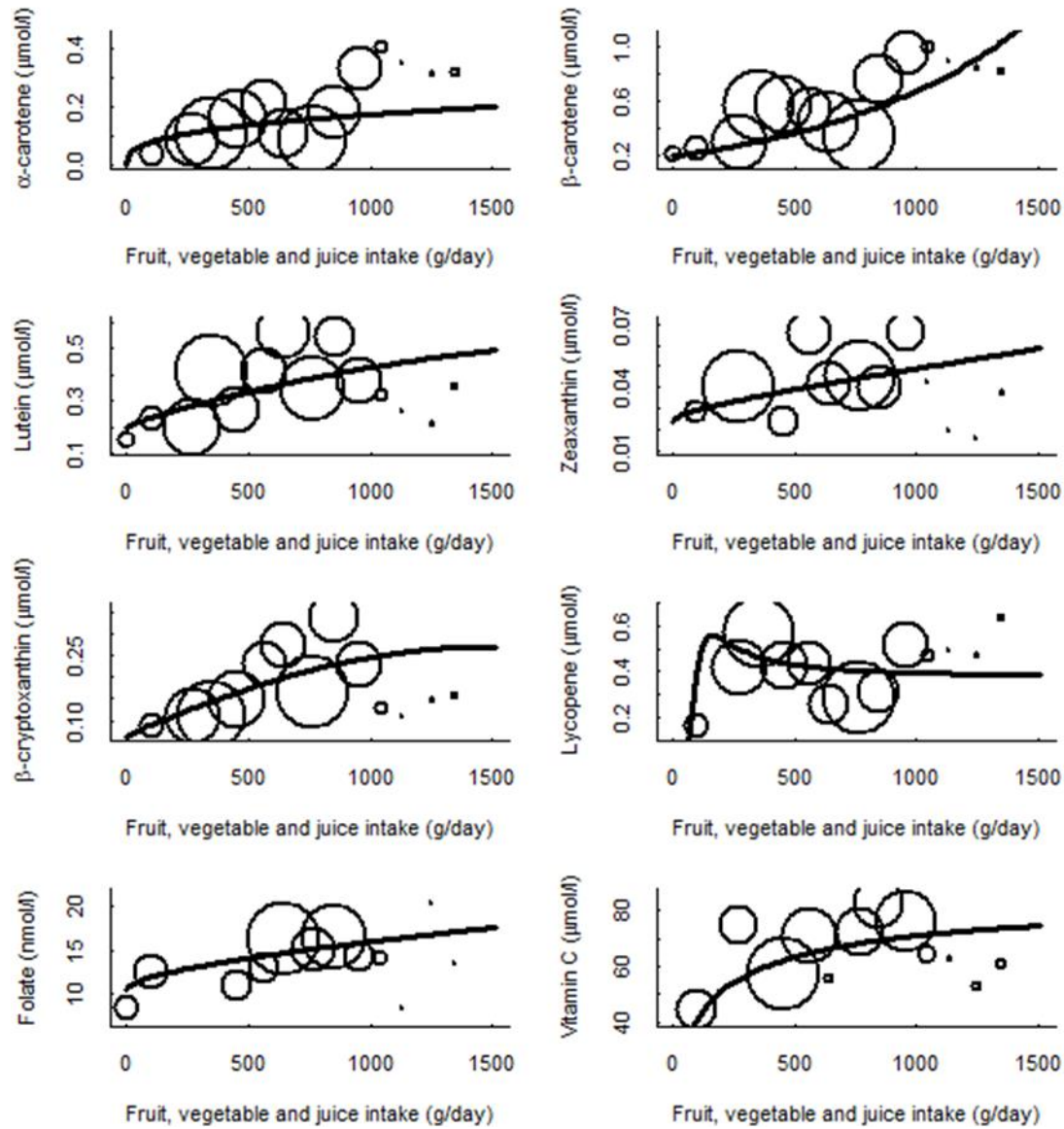




Figure 3

