

# FORM UPR16

## Research Ethics Review Checklist



Please include this completed form as an appendix to your thesis (see the [Research Degrees Operational Handbook](#) for more information)

<b>Postgraduate Research Student (PGRS) Information</b>		<b>Student ID:</b>	UP836055
<b>PGRS Name:</b>	Thomas Wagner		
<b>Department:</b>	Business and Law	<b>First Supervisor:</b>	Prof. Khaled Hussainey
<b>Start Date:</b> (or progression date for Prof Doc students)	01.10.2017		
<b>Study Mode and Route:</b>	Part-time <input checked="" type="checkbox"/>	MPhil <input type="checkbox"/>	MD <input type="checkbox"/>
	Full-time <input type="checkbox"/>	PhD <input type="checkbox"/>	Professional Doctorate <input checked="" type="checkbox"/>

<b>Title of Thesis:</b>	Undergraduate students' intention to participate in the stock market – an analysis of financial literacy as well as attitudinal and normative factors
<b>Thesis Word Count:</b> (excluding ancillary data)	56'209

If you are unsure about any of the following, please contact the local representative on your Faculty Ethics Committee for advice. Please note that it is your responsibility to follow the University's Ethics Policy and any relevant University, academic or professional guidelines in the conduct of your study

Although the Ethics Committee may have given your study a favourable opinion, the final responsibility for the ethical conduct of this work lies with the researcher(s).

### UKRIO Finished Research Checklist:

(If you would like to know more about the checklist, please see your Faculty or Departmental Ethics Committee rep or see the online version of the full checklist at: <http://www.ukrio.org/what-we-do/code-of-practice-for-research/>)

a) Have all of your research and findings been reported accurately, honestly and within a reasonable time frame?	YES <input checked="" type="checkbox"/> NO <input type="checkbox"/>
b) Have all contributions to knowledge been acknowledged?	YES <input checked="" type="checkbox"/> NO <input type="checkbox"/>
c) Have you complied with all agreements relating to intellectual property, publication and authorship?	YES <input checked="" type="checkbox"/> NO <input type="checkbox"/>
d) Has your research data been retained in a secure and accessible form and will it remain so for the required duration?	YES <input checked="" type="checkbox"/> NO <input type="checkbox"/>
e) Does your research comply with all legal, ethical, and contractual requirements?	YES <input checked="" type="checkbox"/> NO <input type="checkbox"/>

### Candidate Statement:

I have considered the ethical dimensions of the above named research project, and have successfully obtained the necessary ethical approval(s)

<b>Ethical review number(s) from Faculty Ethics Committee (or from NRES/SCREC):</b>	BAL/2018/E524/WAGNER
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If you have *not* submitted your work for ethical review, and/or you have answered 'No' to one or more of questions a) to e), please explain below why this is so:

<b>Signed (PGRS):</b>		<b>Date:</b> 22.07.2020
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