

Supporting public decision process in buildings energy retrofitting operations: the application of a Multiple Criteria Decision Aiding model to a case study in Southern Italy

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1 **Supporting public decision process in buildings energy retrofiting operations: the**
2 **application of a Multiple Criteria Decision Aiding model to a case study in Southern**
3 **Italy**

4 **Abstract**

5 The challenge of promoting sustainable cities and reaching the objectives developed by
6 the European Green Deal includes the renovation of the building sector, as it is
7 responsible for 40% of energy consumption In Europe. Regional or local public
8 administrations have to allocate their financial resources for improving the energy
9 performances of their building stock and to face a multidimensional problem, where
10 different aspects - such as energy efficiency, financial-economic feasibility and
11 environmental protection - have to be harmonized. The present study proposes a Multiple
12 Criteria Decision Aiding model, which includes the ELECTRE TRI-nC method, for
13 supporting the public decision process of sorting alternative energy retrofiting actions
14 into various categories, each of them expresses different levels of overall performance. The
15 model is applied to an Italian real case study. An experts' panel has been involved for
16 structuring the decision problem and discussing the results. The results show that opaque
17 envelope insulation actions are often classified in best categories to be implemented and
18 funded instead the upgrade of the lighting system falls in the worst category. These results
19 are useful for local or regional public administrations to select those energy retrofiting
20 actions that have to be financed as a priority.

21 **Keywords**

22 Public decision process, public buildings, sustainable city, energy retrofit, Multiple Criteria
23 Decision Aiding, ELECTRE TRI-nC

24 **1. Introduction**

25 In 2015, more than 150 international leaders met at the United Nations to identify undeniable
26 goals to promote human well-being and protect the environment. The community of states has
27 approved 17 Sustainable Development Goals (SDGs), which aim to end poverty, fight against
28 inequality and social and economic development on that occasion. [The SDG11 strongly](#)
29 [reiterates sustainable development to tackle climate change and build peaceful societies by the](#)
30 [year 2030](#). In particular, this goal aims at making cities and human settlements inclusive, safe
31 and durable from a sustainable perspective. The main challenge is to keep urban centres as
32 workplaces capable of producing income without damaging the environment and the territory
33 and preserving natural resources. In this perspective, designing and understanding urban
34 systems is crucial to promote a sustainable city and a resilient society. [Accordingly,](#)
35 [improving the energy efficiency of public buildings constitute an important driving force not](#)
36 [only for the economic aspects but also for making society more aware of sustainability issues.](#)
37 In this new scenario, the characterization of strategies for the energy retrofit of buildings,
38 which aim to reduce energy consumption and promote the use of renewable energy sources
39 (RES) has become a central issue (European Commission, 2011, 2018).

40 [In December 2019, the European Commission presented the European Green Deal and](#)
41 [among its key lines, ‘Building and renovating in an energy and resource efficient way’ is the](#)
42 [one that can have the greatest influence on urban renewal and building refurbishment with](#)
43 [particular attention to hospitals and school buildings. This strategy could provide also an](#)
44 [increase of the annual renovation rate of the European real estate stock, which varies between](#)
45 [0.4 and 1.2% in the Member States, and furthermore is in continuity with the contents of](#)
46 [numerous European Directives \(2010/31/UE \(EPBD\), 2012/27/UE, 2018/844/UE, and](#)
47 [2018/2002/EU\), which oblige Member States to renew at least every year 3% of the total floor](#)
48 [area of buildings owned and occupied by the central government.](#)

49 In recent years, a branch of academic research has focused attention on multidisciplinary
50 studies [supporting investments decisions in the context of](#) clean energy sources and energy
51 demand reduction actions. In this [domain, a crucial](#) role is covered by Decision Support
52 Systems (DSS) which allow to identify the trade-off between different alternative scenarios
53 and to select the best performing solution from the point of view of the net benefit for society.
54 In this sense, the decision problem is not a one-dimensional issue linked only to energy
55 issues, but it is characterized by the interaction between multi-actor and multi-level aspects of
56 governance according to different dimensions of effects (Wang, Jing, Zhang, & Zhao, 2009).
57 Different aspects and impacts should be considered in the decision problem, in accordance
58 with the principles of sustainability, including important issues such as environmental
59 protection, economic feasibility, and enhancement of historical-architectural and landscape
60 assets. [Analogously, several actors and stakeholders have to be involved in the processes, that](#)
61 [range from public authorities, private investors, developers, practitioners, which can have](#)
62 [different and sometimes conflicting objectives.](#)

63 Traditionally, economic analysis, such as Discounted Cash Flow Analysis and Cost-
64 Benefit Analysis, has been widely used to support [public](#) investment decisions (Gagliano,
65 Giuffrida, Nocera, & Detommaso, 2017; Napoli, Gabrielli, & Barbaro, 2017; Nesticò &
66 Pipolo, 2015). However, the limits of this approach in addressing urban and territorial
67 transformations have been highlighted as they are not able to consider the overall complexity
68 (Napoli, 2018) of the problems under investigation and they do not allow stakeholders
69 participation into the decision-making process. In the light of the [criticalities mentioned](#)
70 [above](#), Multiple Criteria Decision Aiding (MCDA) approaches have become more and more
71 important as they can include both monetary analysis and other tangible and intangible
72 criteria expressed in physical and qualitative/quantitative terms, and to manage conflicts
73 between social groups.

74 MCDA (Bouyssou, Marchant, Pirlot, Tsoukiàs, & Vincke, 2015; Figueira, Greco, &
75 Ehrgott, 2005) indeed is a valuable and increasingly widely-used tool to support decision
76 making, especially in complex contexts. In this perspective, it is particularly useful as a tool
77 for sustainability assessment and urban and territorial planning (Napoli, Giuffrida, & Trovato,
78 2019; Napoli & Schilleci, 2014), where a complex and inter-connected range of
79 environmental, social, and economic points of view must be taken into consideration and
80 where objectives are often conflicting (Ferluga, Giuffrida, Napoli, & Trovato, 2016). Many
81 applications of MCDA exist in the field of sustainability assessment, and a broad overview
82 can be found in Munda (2016), Huang, Keisler, & Linkov (2011), Cinelli, Coles, & Kirwan
83 (2014), and Greco & Munda (2017). Due to its flexibility and the possibility of facilitating
84 the dialogue between stakeholders, analysts and scientists, MCDA approach is becoming very
85 popular also in the domain of energy retrofit decision processes.

86 It has to be noticed that MCDA can support Decision Makers (DMs) in the main four
87 forms of decision problems (Roy, 1981; 1987), namely choosing (to identify the best
88 alternative or select a limited set of the best alternatives), ranking (to construct a rank-
89 ordering of the alternatives from the best to the worst ones), sorting (to classify/sort the
90 alternatives into predefined homogenous classes) and describing (to identify the major
91 distinguishing features of the alternatives and perform their description based on these
92 features).

93 The objective of the present paper is to demonstrate that the MCDA sorting method could
94 be an adequate tool for supporting the decision process of Regional or local authorities in the
95 context of a large number of energy retrofitting actions of public buildings where
96 classification has to be made in order to allocate their financial resources. There are several
97 reasons on the basis of the motivations of the present study. First of all, in planning the
98 improvement of energy efficiency of a large public building stock, it usually is necessary to

99 deal with a large dataset of energy measures and packages that have different features and are
100 applied to buildings located in different places; in these cases, it is of particular interest for the
101 DMs to classify the alternatives directly into appropriate groups of interventions to prioritize
102 their funding and implementation on the basis of several criteria. Moreover, since sorting
103 decision depends on absolute judgments that define the different groups, this procedure can be
104 easily repeated, and new alternatives can be added at any stage of the decision process
105 (Zopounidis & Doumpos, 1999). Finally, the application of sorting MCDA methods in the
106 context of energy planning is limited in scientific literature, thus requiring new
107 experimentations.

108 In light of the reasons as mentioned above, we propose a MCDA model to support
109 decisions in the sorting of energy retrofitting actions for a set of public buildings located in
110 the Apulia Region. Particularly, the ELECTRE TRI-nC (Almeida-Dias, Figueira, & Roy,
111 2012) method has been applied for sorting possible alternative actions for the considered
112 stock with the aim of support public DMs in the allocation of the public financial resources to
113 improve the energy efficiency of the buildings.

114 Indeed, among the MCDA sorting methods, outranking modelling framework of the type
115 of ELECTRE methods proved to have a number of features that fit well with the problem of
116 assessing energy investments.

117 For instance, this type of decision problems implies heterogeneous criteria (economic
118 performance, energy consumptions, environmental impacts) that are measured on different
119 scales and often characterized by imperfections in their assessment (Marzband et al., 2018;
120 Mirzaei et al., 2019). Moreover, ELECTRE methods are based on non-compensatory
121 approaches, thus enabling a sound sustainability assessment where a good performance on
122 other dimensions cannot adequately compensate poor environmental performance.

123 In particular, the ELECTRE TRI-nC approach proposed in this paper has several
124 advantages in comparison to other ELECTRE methods. This approach gives particular
125 freedom to the DM in the co-construction of the decision aid model with the analysts. As he is
126 not forced to define specific reference actions for characterizing the categories, but he is free
127 to identify several representative actions that are appropriate to each category (Almeida-Dias
128 et al., 2012; Doumpos & Figueira, 2019).

129 To the purpose of the present experimentation, an experts' panel, made up of experts in the
130 field of energy and environmental engineering and able to express both technical and
131 administrative expertise, has been consulted for the development of the model presented in
132 the paper. The experts' opinion was included in the decision problem structuring. Moreover,
133 in the final phase of the analysis, the opinion of the experts served to evaluate the results
134 obtained from the evaluation. In particular, an interactive interview made it possible to
135 understand whether the results obtained from the analysis were congruent with the experts'
136 view.

137 The main contribution of the present paper can be thus summarized as follows:

- 138 • To examine the role of MCDA in addressing investment decisions in the context of
139 energy retrofitting actions of public buildings at the urban or regional scale;
- 140 • To investigate the suitability of the ELECTRE sorting method to support multi-
141 criteria classification problems in this domain.
- 142 • To study the potentiality of the ELECTRE Tri-nC approach for assessing a set of
143 alternative retrofit measures for a real-world decision problem that involves the
144 requalification of a stock of public buildings in the Apulian Region, focusing, in
145 particular, on the examination of how the method can be operatively implemented
146 by practitioners and professional operators, proving clear results that can be
147 emplaced for guiding public or private investment decisions.

148 The paper is organized as follows. A literature review is explained in the next section. The
149 **third** section introduces the methodological background. The fourth section presents the
150 application of the evaluation model to the case study. The application's results were presented
151 in Section 5. The last section contains conclusions and presents the possible future
152 developments of the research.

153 **2. Literature review on MCDA in the energy sector**

154 *2.1 Utility function theory approaches*

155 MCDA-based techniques **support decision process** involving conflicting and multiple goals.
156 In this perspective, the solution strongly depends on the preferences of the **decision-makers**
157 **(DMs)** involved, and there must be a compromise. In the context of energy-related problems,
158 **MCDA (Multiple Criteria Decision Aid)** applications include the analysis of the energy
159 policies to be implemented, as well as, the choice of the most suitable technologies to be
160 developed.

161 **Depending on the purpose and contents of the evaluation, different MCDA methods can be**
162 **used. A MCDA classification can be based on the procedure adopted to reveal the preferences**
163 **(Braune, Pinkwart, & Reeg, 2009; Si, Marjanovic-Halburd, Nasiri, & Bell, 2016; Strantzali &**
164 **Aravossis, 2016). A first category of the methods refers to the theory of multi-attribute utility.**
165 **Among these falls the AHP (Analytic Hierarchy Process) method** developed by Saaty (1980).
166 The method derives a priority scale between the criteria and the alternatives and simplifies
167 preference votes among the decision criteria using pairwise comparisons of criteria. AHP is a
168 compensatory method capable of managing a certain amount of inconsistency of **DM** within
169 limits deemed acceptable and sustainable by purely mathematical considerations (Zheng, Yu,
170 Wang, & Tao, 2019). **AHP is widely used in the energy sector.** Ghimire & Kim (2018) used
171 AHP methodology to estimate and classify the economic, social and political barriers for the
172 dissemination of RES in rural and remote areas in Nepal. The AHP method was used to

173 define the best practice priorities for public investments in sustainability in Brazilian cities
174 (Salvia, Brandli, Leal Filho, & Locatelli Kalil, 2019). A spatial-MCDA was developed using
175 an AHP approach to identify neighbourhoods with high vulnerability to fuel poverty in a
176 German city, allowing to fund and support the high-risk areas (März, 2018). In recent years,
177 hybrid models have been developed starting from the strengths of the approaches. In this
178 context, an integrated SWOT-AHP model was developed to identify and prioritize Pakistan's
179 energy planning and strategic policies (Solangi, Tan, Mirjat, & Ali, 2019). TOPSIS is a
180 method no widely applied in the energy sector. The method assumes that each attribute has an
181 increasing or decreasing utility. Thus, the order of preference for alternatives is produced by
182 comparing Euclidean distances between different alternatives and the best and worst
183 hypothetical alternative. Aryanpur, Atabaki, Marzband, Siano, & Ghayoumi (2019) proposes
184 a model based on AHP and TOPSIS to assess the sustainability of future electricity scenarios
185 for the 2015-2050 period in Iran. The scenarios are then classified based on 18 different
186 techno-economic, environmental and social dimensions of sustainability.

187 The utility-based theory includes [other](#) methods that synthesize information into a single
188 parameter (also called a performance aggregation approach) introduced in the 1970s by
189 Keeney & Raiffa (1976). One of the methods of this family is the [Multi-Attribute Value](#)
190 [Theory \(MAVT\)](#). Yilan, Kadirgan, & Çiftçioğlu (2020) uses a decision model based on
191 [MAVT](#) to evaluate five different alternative electricity generation technologies in Turkey
192 considering groups of economic, technical, environmental and socio-economic criteria.
193 Neves, Dias, Antunes, & Martins (2009) proposed [MAVT](#) as a generic evaluation model
194 when deciding about financing or implementing any energy efficiency initiative and it is
195 necessary to consider each involved actor and its interests.

196 All the methods are seen so far build order of alternatives classification from the best to the
197 worst (ranking). Models based on utility theory are to be favoured when the alternatives are
198 limited in number.

199 *2.2 Outranking relation methods*

200 Widely used in the energy field are the outranking approaches supporting the decision. The
201 outranking methods have been developed to deal with problems of choice (selecting the best
202 alternative among others), sorting (assignment of alternatives to several categories of which
203 the characteristics are known) and ranking (construction of an order of preference on the set
204 of possible actions to be taken) (Becchio, Bottero, Corgnati, & Dell'Anna, 2017; Norese,
205 2006). This group includes the methods of the ELECTRE (ELimination Et Choix TRaduisant
206 la REalité) and PROMETHEE (Preference Ranking Organization METHod for Enrichment of
207 Evaluations) families. PROMETHEE methods permit to rank alternatives on the basis of
208 pairwise comparison of alternatives on a set of considered criteria, with a methodology
209 charateried by ease of use and reduced complexity (Brans, Mareschal, & Vincke, 1984;
210 Brans, Vincke, & Mareschal, 1986; Mareschal & De Smet, 2009). Some recent advances in
211 PROMETHEE methodology permits to take into account also robustness with respect to
212 weights and interaction between criteria (Corrente, Figueira & Greco, 2014; Arcidiacono,
213 Corrente & Greco, 2018). A model based on PROMETHEE was proposed to evaluate the
214 environmental and extra-economic performances of a Nearly Zero-Energy Building in Italy
215 by Dell'Anna et al., (2020). In detail, the authors evaluate 16 alternatives according to energy,
216 economic and social criteria. Alternative transformation paths to reach a sustainable energy
217 system in the EU have been evaluated through a multi-criteria model based on fuzzy-
218 PROMETHEE approach (Papapostolou, Karakosta, Kourti, Doukas, & Psarras, 2019). Also,
219 ELECTRE method focuses the analysis on the relations of the domain between the
220 alternatives. Several ELECTRE methods differ in the problems faced (choice for ELECTRE

221 I, ordering for others), the nature of the data processed and therefore the type of criteria and
222 the procedure for outranking modelling. The choice of the method is mainly motivated by
223 indications connected both to the nature of the data available, therefore to the criteria that can
224 be used, and to the rule of decision used. The ELECTRE method has been widely used in
225 energy research and has proved helpful as an aid to decision making in many applications at
226 different scales. ELECTRE III is one of the most methods applied in energy planning decision
227 problem. Starting from the building's application scale, Catalina, Virgone, & Blanco (2011)
228 applied the ELECTRE III method to evaluate multi-energy alternative sources for a family
229 home located in France. The alternatives stemmed from the combination of different RES
230 shares (photovoltaic and solar thermal panel) and different generation systems evaluated
231 according to three criteria. Many studies have been developed in the field of RES penetration
232 in energy-isolated case studies such as islands (Haurant, Oberti, & Muselli, 2011). In one of
233 the first applications in the energy field, the ELECTRE III method was applied to face the
234 problem of the growing demand for electricity on the island of Crete (Greece) (E.
235 Georgopoulou, Lalas, & Papagiannakis, 1997). Beccali, Cellura, & Mistretta (2003) and
236 Beccali, Cellura, & Ardente (1998) applied an ELECTRE III based model to compare three
237 different scenarios for the implementation of RES in Sardinia (Italy) from energy, economic,
238 environmental and social point of view. The spread of RES in the isolated complex of the
239 Aegean islands has been investigated by Papadopoulos & Karagiannidis (2008). Extensive
240 applications of the MCDA on the national territory have been applied in Portugal by Haydt,
241 Leal, & Dias (2014). In detail, the study applied ELECTRE III to build national energy
242 efficiency plans, considering multiple criteria instead of only energy savings. ELECTRE III
243 was not applied only to evaluate the implementation of RES, but also subsidy schemes to
244 promote a specific technology (Theodorou, Florides, & Tassou, 2010).

245 In the ELECTRE family of methods, there are also methods that sort alternatives in
246 categories. The ELECTRE TRI method provides a subset of alternatives evaluated with a
247 family of criteria (qualitative/quantitative), a set of ordered (hierarchical) categories and the
248 assignment of the projects to these categories. In the energy field, the method has been
249 applied mainly on a national scale where the alternatives to be evaluated are numerous. In
250 Greece, the method was applied for the time-scheduling of sustainable measures aimed at
251 reducing the environmental impacts of the RES, transport, industry, and energy generation
252 sectors (Georgopoulou, Sarafidis, Mirasgedis, Zaimi, & Lalas, 2003). In Portugal, 24
253 alternative actions for the promotion of energy efficiency were assessed based on qualitative
254 and quantitative criteria (Neves, Martins, Antunes, & Dias, 2008). An ELECTRE TRI spatial
255 model was applied in Spain to classify 20 alternative locations of photovoltaic farms into 4
256 categories defined by three reference profiles (Sánchez-Lozano, Henggeler Antunes, García-
257 Cascales, & Dias, 2014). Dias, Antunes, Dantas, de Castro, & Zamboni (2018) assessed smart
258 grid policies in Brazil considering the impact on different objectives, but also the importance
259 of these objectives forwarded by 28 experts. Few applications of the method have been
260 developed on buildings. In Portugal, ELECTRE TRI was applied to evaluate the energy
261 performance of the schools' building stock **also considering** non-energy aspects such as indoor
262 environmental quality, thermal comfort and the occurrence of maintenance operations
263 (Bernardo, Gaspar, & Antunes, 2017).

264 ***2.3 Paper contribution***

265 As it turned out from the review, it is possible to confirm that several multi-criteria
266 approaches have been applied in supporting decision problems in the energy sector. **As**
267 **explained above, most of the** applications concerned different fields, from the single building
268 to isolated problems, up to assessments on a national scale. **If some MCDA methods provide**
269 **an ordering of alternative solutions, ELECTRE TRI allows sorting alternative solutions in**

270 categories. ELECTRE TRI model is more effective when the alternatives are numerous as in
271 decision problems of public administration domain. The review highlights that the
272 classification of the alternative by ELECTRE TRI has rarely been applied in the academic
273 literature in the energy sector. To the knowledge of the authors, the present paper represents
274 the first application of the ELECTRE TRI-nC method for addressing investment decision in
275 the context of the energy retrofit operations. This study aims, thus, to fill this gap providing
276 empirical and practical insights into the functional characteristics of the model for the
277 evaluation energy retrofit alternatives on a set of existing buildings. In this application, we
278 apply the new sorting the ELECTRE TRI-nC method for the evaluation of alternative
279 retrofitting. The ELECTRE TRI-nC method gives the possibility to consider several reference
280 actions for characterizing each category, helping the DM in the construction of the decision
281 problem. The case study selected for the application is a stock of public buildings located in
282 the Apulia Region (Southern Italy). The interest in the research also lies in the fact that the
283 Italian public building stock is characterized by inadequate envelopes and low-
284 performance Heating, Ventilation and Air Conditioning (HVAC) systems (Iorio &
285 Federici, 2018). For this reason, there is growing attention in assessing the energy
286 performance of the public building stock, aiming to define appropriate energy retrofitting
287 actions and to reduce national CO₂ emissions.

288 Therefore, the study represents a novelty from the point of view of the method, as ELECTRE
289 TRI-nC was little explored in real case studies. The other novelty is represented by the case
290 study since the management and planning of retrofit operations at building scale was poorly
291 treated with MCDM approaches.

292 **3. Methodology**

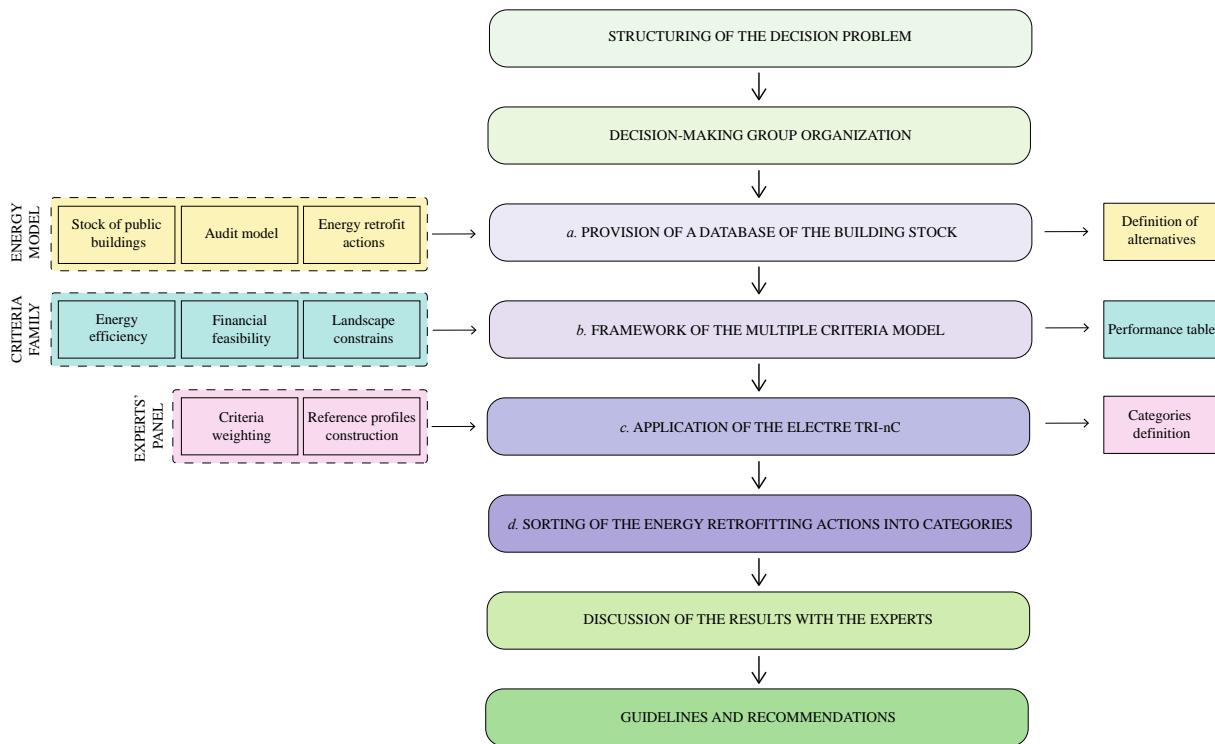
293 This study proposes a global model to support DMs to sort a large set of energy retrofitting
294 actions of buildings. The model is based on the following main steps (Figure 1):

295 *a. Provision of a database of the building stock.* The database collects information from the
296 energy audit reports of the buildings and the proposed energy retrofitting actions, such as
297 geometric features, HVAC systems, lighting equipment, thermophysical characteristics,
298 Primary Energy (PE) consumptions in kWh/m³/year, greenhouse emissions in ton CO₂/year,
299 global energy performance, current operating costs, investment cost, etc. Moreover,
300 information from the architectural, landscape, and environmental constraints set by urban,
301 regional and landscape plans are also required.

302 *b. Framework of the multiple criteria model.* A study group, with the help of an experts' panel
303 in the field of energy and engineering, structures the decision problem. The proposed
304 evaluation model takes into account several criteria, considering three main themes: energy
305 efficiency, financial feasibility and compatibility with the architectural, landscape, and
306 environmental constraints.

307 *c. Application of the ELECTRE TRI-nC method.* The multiple criteria method is applied to the
308 dataset of alternatives retrofitting actions.

309 *d. Sorting of the energy retrofitting actions into categories.* The output of ELECTRE TRI-nC
310 allows to sort the energy retrofitting actions into various categories, each of them expressing a
311 different level of overall performance. In the case of the present application, this result is
312 useful for the DM to [select those actions to be financed as a priority directly](#).



314

315

Figure 1: Framework of the model

316 As stated before, the main goal of the present research is to show the excellent potentials of a
 317 sorting evaluation framework for supporting decisions in the context of energy. The multiple
 318 criteria ELECTRE TRI-nC method has been employed as a recommendation tool for
 319 prioritizing a large dataset of alternative energy requalification operations.

320 The ELECTRE TRI-nC method belongs to the family of the ELECTRE methods (Figueira,
 321 Greco, & Ehrogott, 2005), which are characterized by modelling the preferences between
 322 alternative options by using binary outranking relations based on the concordance and non-
 323 discordance concepts. Each ELECTRE method has its construction of one or several
 324 outranking relations, which enable to formulate sound and comprehensible recommendations
 325 for the DM. More in details, ELECTRE TRI-nC is a recent decision aiding ordinal
 326 classification (or sorting) method proposed by Almeida-Dias, Figueira & Roy, B. (2012). The
 327 method follows a co-constructed approach, and it is based on a strong interaction between the

328 analyst and the DM. The involvement of DM in each step of the decision process ensures that
329 the preferences are **correctly** represented in the developed categorization model.

330 As previously anticipated, sorting problems refer to ordinal classification problems in
331 which the actions must be assigned to a set of categories. This assignment is based on the
332 characterization of each action (in our case, retrofitting measure) according to several criteria.

333 The basic data of a multiple criteria sorting problem can be summarized as follows: a set of
334 alternative actions: $A=\{a_1, \dots, a_i, \dots, a_m\}$; a coherent family of criteria, which characterize
335 each action: $G=\{g_1, \dots, g_j, \dots, g_n\}$; the performance of action a_i on criterion g_j : $g_j(a_i)$; a set of
336 ordered categories: $C=\{C_1, \dots, C_q\}$, where C_1 is the worst category and C_q the best one; a set
337 of characteristics profiles or reference actions that define the categories: $B=\{B_1, \dots, B_q\}$; a set
338 of reference actions characterizing the category C_h : $B_h=\{B_{h1}, \dots, B_{h[B_h]}\}$.

339 The ELECTRE TRI-nC method assumes that $B \cup \{B_0, B_{q+1}\}$ denote the set of $(q + 2)$
340 subsets of characteristics profiles, such that $B = \{B_1, B_2, \dots, B_h, \dots, B_q\}$. Let $B_0 = \{b_0^1\}$ and
341 $B_{q+1} = \{b_{q+1}^1\}$ denote the two particular subsets of reference profiles, such that $g_j(b_0^1)$ is the
342 worst performance and $g_j(b_{q+1}^1)$ is the best one in terms of criterion g_j (Costa, Govindan, &
343 Figueira, 2018).

344 As far as the ELECTRE TRI-nC method is concerned, it constructs a single outranking
345 relation. The exploitation of this outranking relation consists of the procedure of assigning
346 actions to pre-defined and ordered categories using multiple characteristics profiles for each
347 class. The interaction between DM and analyst helps to define the boundaries profiles of
348 categories. The procedure leads to define an interval for the assignment of every action
349 instead of providing the DM with a single category, in accordance with the uncertainties and
350 ambiguities that **commonly** affect real problems in the context of urban transformations and
351 energy requalification operations (Doumpos & Figueira, 2019). According to other
352 ELECTRE family methods, ELECTRE TRI-nC method makes use of veto and discrimination

353 (indifference and preference) thresholds that allow modelling the arbitrariness and the
354 imperfect knowledge that characterize many decision problems. Indeed, these thresholds are
355 expressly introduced in order to take into account the imperfect character of the data from the
356 computation of the performances of the alternatives over the criteria. These thresholds are
357 thus technical parameters used to model the imperfect knowledge of the performances and the
358 uncertainty that may characterize the measures.

359 Other important advantages of the ELECTRE TRI-nC method can be highlighted, that
360 assume particular importance in the case of the present application. Firstly, the performance
361 of alternatives are measured according to qualitative and quantitative criteria; secondly, it is
362 needed to define a weight for each criterion according to its importance for the DM; thirdly,
363 ELECTRE TRI-nC does not allow systematic compensation among the criteria and this aspect
364 is of particular importance in the context of sustainability assessment where a good
365 performance on another criterion cannot systematically compensate a bad performance on a
366 certain criterion; fourthly, the method takes into account possible data imperfections and
367 arbitrariness in the construction of the family of criteria.

368 ELECTRE TRI-nC method has been applied to support decision making in real-world
369 problems, including business management, economics and finance. Mention has to be made
370 to the fact that the present paper refers to the first application of the method in the field of
371 energy.

372 **4. Application**

373 The Italian peninsula is subdivided into six Climate Zones, from A (up to 600 Heating Degree
374 Days (HDD)) to F (over 3000 HDD) (Moreci, Ciulla, & Lo Brano, 2016; Ciulla, Lo Brano, &
375 Moreci, 2015). Conventional time extensions of heating seasons are also defined by Italian
376 law (D.P.R. n. 412, 1993). Apulia Region is located in Southern Italy, bordering the Adriatic

377 Sea, the Ionian Sea and the Strait of Otranto and Gulf of Taranto; the climate is typically
 378 Mediterranean with hot, dry and sunny summers and mild, rainy winters.

379 As reported by Citterio (2009), about 27% of Italian public non-residential buildings are
 380 located in Southern Italy; as far as the climatic zones are considered, 51% of them falls in the
 381 climatic zone C, 25% falls in D, and 16% are in B. A detailed analysis among geographical
 382 areas is necessary to understand the performance of the building stock. For the present
 383 research, a reliable database of the “*status quo*” of the building stock was used (Beccali,
 384 Lo Brano, Ciulla, Bonomolo, & Galatioto, 2016), which was developed within the Italian
 385 project “POI (Interregional Operative Program) ENERGIA 2014–2020” belonging to EU
 386 Horizon 2020. This database considered a sample of 36 public buildings located in six
 387 different cities of the Apulia Region: 84% are located in Zone C, and 16% are in Zone D.
 388 The uses of the considered buildings are mainly school but also include office, sport
 389 buildings, library and university (D.P.R. n. 412, 1993).

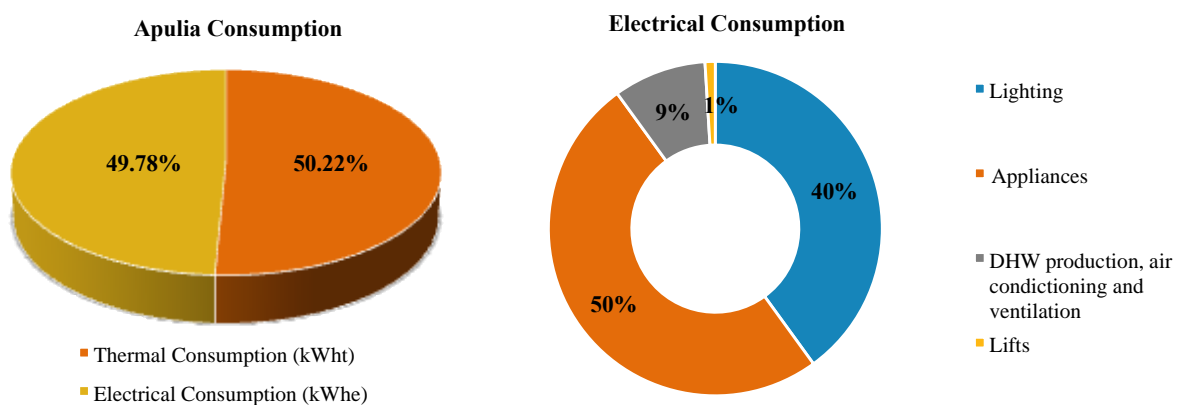
390 The energy performance of the actual building stock in Apulia is obtained from the energy
 391 audit of the buildings (Beccali, Ciulla, Lo Brano, Galatioto, & Bonomolo, 2017). Generally,
 392 the building-stock is characterized by an average gross volume of 26,917 m³ with an average
 393 surface area of 6789 m², and a shape factor between the gross volume and the total loss
 394 surfaces is 1 m⁻¹. As an example, the main features of the building envelope are reported in
 395 Table 1.

396 Table 1: Typologies and thermophysical features of envelope elements

Envelope Element	Typology	Transmittance [W/m ² K]	Thickness [m]
<i>External wall A</i>	Cavity wall 1	0.659	0.54
	Cavity wall 2	0.799	0.52
<i>External wall B</i>	Brick block wall	1.029	0.30
<i>Roof</i>	Hollow-core concrete 1	1.105	0.52
	Hollow-core concrete 2	1.027	0.56
<i>Floor</i>	Hollow-core concrete 1	1.301	0.35
	Hollow-core concrete 2	1.891	0.27
	Hollow-core concrete 3	0.672	0.40
<i>Window glass</i>	Aluminium with double glass 1	3.080	
	Aluminium with double glass 2	2.970	

397 As far as the energy system is considered, the majority of buildings is characterized by
 398 the simultaneous presence of different typologies: space heating, cooling and hot water
 399 production. The most common heating system is the Standard natural gas boiler, or Mono
 400 split HP (Heat Pump), where the cooling system is mostly related to mono split; generally,
 401 even if the hot water production is not the priority, it is possible to find some electrical
 402 boiler. As far as the cooling system is concerned, in the 87% of the buildings, mono-splits
 403 are installed, and only in 11% the water chillers are present. The use of DHW (Domestic
 404 Hot Water) is very limited; indeed, many buildings do not have any centralized DHW
 405 systems but rather use few electrical boilers. There are not solar thermal systems, but
 406 photovoltaic panels are installed in 11 buildings.

407 About lighting systems, the most common typologies of lamps installed in Apulia are
 408 iodide lamp in the external space and fluorescent for the indoor space.



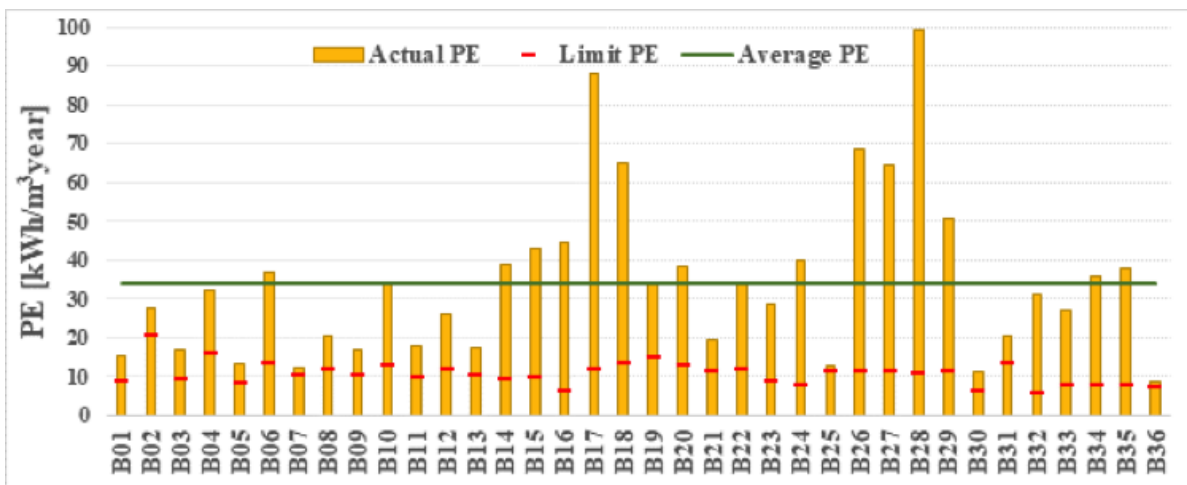
409
 410 Figure 2: Energy consumptions (left) and detailed electrical consumptions (right) of the building stock

411 Analyzing in details the data related to the energy consumption and the energy
 412 performance of the entire building stock, it is possible to underline that the thermal and
 413 electrical consumptions have the same impact in the total consumptions (Figure 2, left). In
 414 general, the thermal consumptions can be attributed exclusively to the conditioning systems,
 415 while the electric consumptions are divided into different items, namely lighting, appliances,
 416 hot water production and lift (Figure 2, right).

417 **4.1 Description of the problem**

418 For the present application, 36 public buildings located in the Apulia Region were considered
 419 (D. Lgs. 19th August 2005 n.192, 2005).

420 As highlighted in Figure 3, these buildings, labelled B01 to B36, are characterized by a
 421 Primary Energy (PE) consumption (yellow column) higher than the limit value (red line). The
 422 average regional PE was also evaluated, and it is equal to 32.89 kWh/m³year (green line) that is
 423 always higher of all each limit value.



424 Figure 3: Primary Energy consumption of the building stock considered in the application
 425

426 The alternative actions for the 36 public buildings are defined based on the results coming
 427 from the energy audit model. Eight possible typologies of retrofitting actions are considered, as
 428 reported in Table 2, and a total of 210 energy retrofitting actions are proposed, labelled A001 to
 429 A210. For each action, the investment cost is also estimated.

430 Table 2: Typologies of retrofitting measures

Retrofitting actions	
BM	Upgrade of building management and automation system
LS	Upgrade of the lighting system
OI	Opaque envelope insulation
HS	Upgrade of Heating, Ventilation and Air Conditioning system (HVAC)
PS	Installation of Photovoltaic system (PV)
TE	Upgrade of the transparent envelope
TR	Upgrade of thermoregulation system
SS	Solar thermal system

431

432 In general, the actions named BM, LS, OI, TE and TR are proposed for almost all
 433 buildings. The HS action is considered in 2 buildings and the BM actions in 17 buildings,
 434 whereas the effect of the installation of photovoltaic and solar systems (PS and SS) is
 435 proposed respectively for just 12 and 8 buildings. [Table 3](#) shows the total costs of the
 436 application of all retrofitting actions in each building and the total cost of each retrofitting
 437 action in the entire region.

438 Table 3: Proposed retrofitting actions and estimation of the total cost for each building of the considered stock

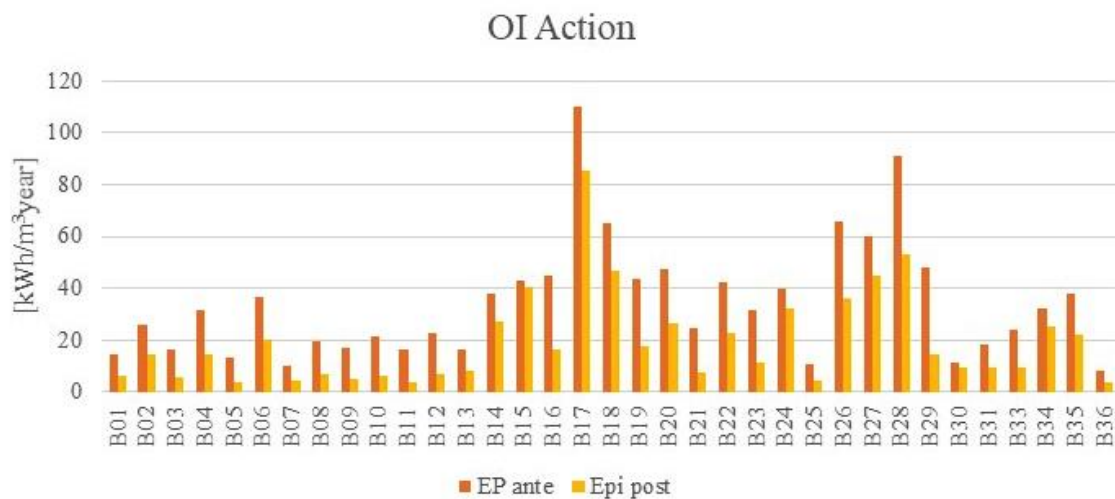
Building	Retrofitting Actions								Total Cost [€]
	1 BM	2 LS	3 OI	4 HS	5 PS	6 TE	7 TR	8 SS	
B01	A003	A005 A006	A001	-	-	A002	A004	-	1,104,331
B02	A009	A010 A011 A012	A007	-	-	A008	-	-	164,190
B03	-	A015 A016 A017	A013	-	-	A014	-	-	1,231,083
B04	A020	A022 A023 A024	A018	-	-	A019	A021	-	802,811
B05	-	A028 A029	A025	-	-	A026	A027	-	1,205,019
B06	A032	A034 A035	A030	-	A037	A031	A033	A036	844,789
B07	A040	A042 A043 A044	A038	-	A046	A039	A041	A045	1,993,973
B08	A049	A051 A052	A047	-	A053	A048	A050	-	642,394
B09	A056	A058 A059	A054	-	-	A055	A057	-	2,171,634
B10	A062	A064 A065	A060	-	A0663	A061	A063	-	1,209,892
B11	A069	A071 A072	A067	-	A073	A068	A070	-	2,197,674
B12	A076	A078 A079	A074	-	A081	A075	A077	A080	922,697
B13	A084	-	A082	-	-	A083	A085	-	538,659
B14	A088	A090	A086	-	-	A087	A089	-	1,442,264
B15	A093	A095	A091	-	-	A092	A094	-	2,529,912
B16	A098	A100	A096	-	-	A097	A099	-	1,658,587
B17	A102 A106 A110	A104 A108 A112	A101 A105 A109	- - -	- - -	- - -	A103 A107 A111	- - -	2,077,105
B18	A115	A118	A113	-	-	A114	A116	A117	567,610
B19	A121	-	A119	-	-	A120	-	-	1,047,079
B20	A124	A127	A122	-	-	A123	A125	A126	1,603,965

B21	-	A131	A128	-	-	A129	A130	-	1,016,001
B22	-	A135	A132	-	-	A133	A134	-	2,660,874
B23	-	A139	A136	-	-	A137	A138	-	1,973,270
B24	A142	A144	A140	-	-	A141	A143	-	992,469
B25	A147	A148 A149	A145	-	-	A146	-	-	1,660,542
B26	A152	A154 A155	A150	A158	A157	A151	A153	A156	940,533
B27	A160	A160	A160	A160	A160	A160	A160	A160	929,991
B28	-	-	A168	-	-	A169	-	-	431,195
B29	A172	A174 A175	A170	A209	-	A171	A173	A176	923,366
B30	A179	A181 A182 A181	A177	A210	-	A178	A180	-	1,356,948
B31	A185	A187	A184	-	-	-	A186	-	397,527
B32	A188	A190	-	-	-	-	A189	-	298,027
B33	A193	A195	A191	-	-	A192	A194	-	681,027
B34	A198	A200	A196	-	-	A197	A199	-	2,028,333
B35	A202	A204	A201	-	-	-	A203	-	867,573
B36	-	A208	A205	-	-	A206	A207	-	2,614,067
Total cost	2,216,391	3,076,101	25,712,823	990,500	800,000	11,692,391	906,294	253,820	45,736,320

439

440 Figure 4 shows, for example, the ante and post retrofitting actions Primary Energy

441 consumptions (PEs) for the action Opaque Envelope Insulation (OI).



442

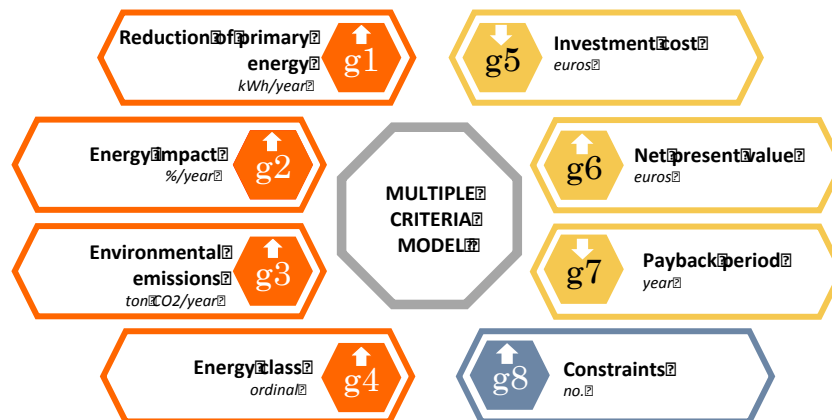
443 Figure 4: Primary Energy consumptions ante and post retrofitting actions

444 4.2 Constructing the family of criteria

445 The decision problem under investigation has been structured with the help of an experts'

446 panel in the field of energy and engineering. To build the multiple criteria model according to

447 the three main thematic issues previously defined in section 3, eight criteria have been defined
 448 and submitted to the experts' panel review (Figure 5).



449

450

Figure 5: Multiple Criteria Model

451 The first subset of criteria, from g_1 to g_4 , considers the energy efficiency of the retrofiting
 452 measures.

- 453 1. In particular, the criterion g_1 *Reduction of primary energy*, measured in kWh/year, is
 454 the difference between the actual Primary Energy compared to the Primary Energy
 455 required by the building after the retrofit phase.
- 456 2. The criterion g_2 *Energy impact* is the percentage of annual reduction of the Primary
 457 Energy allowed by the implementation of each measure.
- 458 3. The criterion g_3 *Environmental emissions* is the difference between the annual CO₂
 459 emission obtained in actual conditions and after the retrofit phase.
- 460 4. The criterion g_4 *Energy class* considers the actual energy class of the building which
 461 ranges from A to G, as defined by the European Directive 2010/31/EC, where A is
 462 the most energy efficient and G the least efficient (Bottero, Bravi, Dell'Anna, &
 463 Mondini, 2018).

464 The second subset of criteria, from g_5 to g_7 , expresses the financial feasibility of the
 465 retrofiting measures.

466 5. In particular, **the** criterion g_5 *Investment Cost* corresponds to the initial cost for the
467 implementation of each measure and, therefore, to the number of public funds that are
468 spent. The cost of implementation of retrofitting measures is calculated by applying
469 regional price lists for public works or other national price lists.

470 6. **The** criterion g_6 *Net Present Value NPV* expresses the financial convenience in terms
471 of the difference between the discounted revenues and costs, according to formula (1).

$$472 \quad NPV = -C_0 + \sum_{t=1}^n \frac{(R_t - C_t)}{(1+r)^t} \quad (1)$$

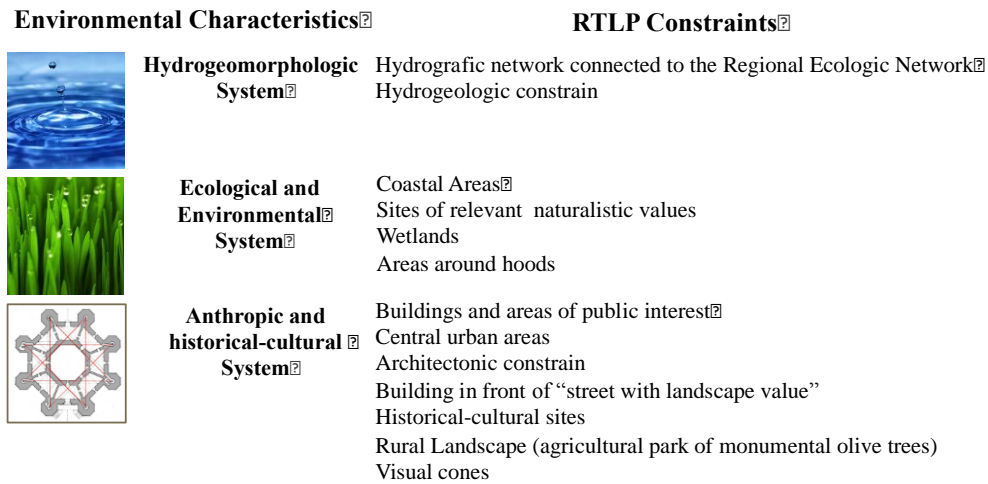
473 where: R_t are the revenues at the year t ; C_0 is the investment cost; C_t represents the
474 operating cost at the year t ; r is the discount rate; n is the numbers of years in the time
475 frame of the analysis. As far as the revenues are considered, they both refer to
476 operating revenues, deriving from energy savings (Bottero, D’Alpaos, & Dell’Anna,
477 2019).

478 7. **The** criterion g_7 *Simple payback period* calculates the recovery time, expressed in
479 years, of the C_0 investment costs by analyzing the incoming and outgoing liquidity
480 flows without the discount factor. The PbP is the length of time an investment reaches
481 the break-even point, where the cash inflows allow earning back the initial cost.

482 The last criterion g_8 *Constraints* concerns the compatibility of retrofitting measures with
483 the constraints set by regional and landscape plans.

484 8. This criterion g_8 is assessed by directly examining the Regional Territorial Landscape
485 Plan (RTLTP) of the Apulia Region¹. The buildings considered in the present case study
486 are located in areas with different environmental **and historical-cultural** characteristics,
487 and they are subjected to **13** different **categories of** RTLTP constraints (Figure 6). For
488 each one of the considered buildings, the number of constraints falling in the RTLTP
489 categories **is** assessed.

¹ D.G.R. no.176, 16.02.2015 and D.Lgs. 42/2004 “Codice dei beni culturali e del paesaggio”.



490
491 Figure 6: Categories of the PPTR constraints in the areas of the case study

492 **4.3 Determining the performance table**

493 The methodologies of energy and financial analysis, as well as the evaluation of territorial and
494 landscape constraints previously described have been applied to assess the performance of the
495 210 alternative retrofitting actions according to the eight criteria of the model.

496 The results of the evaluations are reported in the performance table (Table 4) and are the
497 basis for the application of ELECTRE TRI-nC in order to [to sort the alternatives into](#)
498 [categories.](#)

499 Table 4: Example of performance table for the retrofitting measures 1-12 of 210

Retrofitting Measures			Criteria							
Action	Buil- - din	Type of mea- sure	g1 Reductio n of primary energy	g2 Energy impact	g3 Environ- mental emissio ns	g4 Energy class	g5 Invest- ment cost	g6 Net Present Value	g7 Pay- back Period	g8 Con- straints
Name	Na me	Name	kWh/yea r	%/yea r	ton CO ₂ /year	ordinal	euros	euros	years	No.
A001	B01	OI	298,951	56.5%	63,595	F	443,149	-28,707	15	3
A002	B01	TE	51,464	9.7%	11,028	F	414,876	-343,522	>25	3
A003	B01	BM	76,318	14.4%	16,174	F	104,568	1,144	14	3
A004	B01	TR	18,012	3.4%	3,676	F	14,463	10,511	8	3
A005	B01	LS	62,863	58.5%	27,232	F	101,105	57,185	9	3
A006	B01	LS	11,840	11.0%	5,129	F	26,170	3,643	12	3
A007	B02	OI	14,320	45.0%	9,963	E	62,495	-4,582	15	3
A008	B02	TE	3,413	10.7%	2,375	E	47,586	-33,779	>25	3
A009	B02	BM	6,852	21.5%	4,767	E	31,635	-3,942	16	3
A010	B02	LS	7,001	55.9%	3,033	E	10,980	6,643	9	3

A011	B02	LS	2,920	53.3%	1,265	E	7,694	-341	15	3
A012	B02	LS	2,054	16.4%	890	E	3,800	1,371	10	3

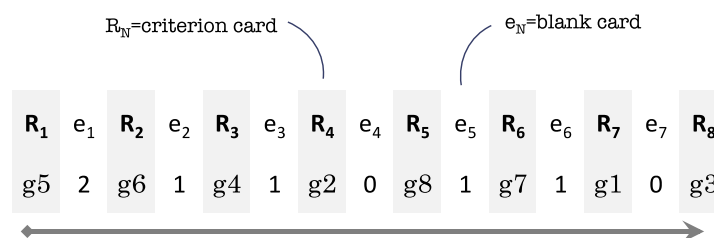
500 **4.4 Constructing the weights and reference profiles**

501 For the development of the evaluation, a series of interviews with an experts' panel has been
 502 carried out in order to:

- 503 - validate the structuring of the decision problem with reference to the family of
- 504 criteria and the proposed discriminating thresholds;
- 505 - define the weights of the evaluation criteria;
- 506 - define the limiting profiles for the classification of the alternative actions.

507 More in detail, in order to define the weights of the criteria of the evaluation model, the
 508 revised Simos procedure (SRF) (Figueira & Roy, 2002) has been applied within the experts'
 509 panel. The interviews were carried out through the set of cards methodology that allows
 510 setting the criteria weights and determining their priority, according to experts' preferences.

511 Figure 7 reports the arrangements of the cards defined by the panelists, whereas Table
 512 5 represents the set of weights resulting from the SRF application. It has to be noticed that
 513 two different sets of weights are considered (w^1 and w^2) according to the different values
 514 of z defined by the experts' panel ($z=7$ and $z=10$, respectively). According to the SRF
 515 procedure, z represents the ratio between the most important criterion and the least most
 516 important one in the ranking.



517
 518 Figure 7: Ranking of cards and number of blank cards defined by the experts' panel (from left to right, from the least to the
 519 most important)

520 Table 5 also reports the discriminating threshold defined with the help of the experts'
 521 panel. Following the ELECTRE TRI-nC method, the definitions of discriminating
 522 thresholds (indifference and preference threshold) which indicate the indifference

523 threshold q and the strict preference threshold p ($p \geq q$) have been provided. The value for
 524 the veto threshold, v , has been attributed to certain criteria to characterize discordance
 525 effects. The thresholds have been defined as variable or constant values.

526 Table 5: Criteria parameters defined by the experts' panel (w: weight; q: indifference threshold; p: preference threshold)

	g1	g2	g3	g4	g5	g6	g7	g8
	Reduction of primary energy	Energy impact	Environmental emissions	Energy class	Investment cost	NPV	PbP	Constraints
w ¹	18.80	12.20	20.10	9.5	2.90	6.80	16.2	13.5
w ²	19.30	12.10	20.80	9.3	2.10	6.40	16.4	13.6
q ^α	0.05	0.05	0.10		0.05	0.05		
q ^β							1	1
p ^α	0.10	0.15	0.20		0.15	0.15		
p ^β							3	2
v ^α	0.20		0.30		0.30	0.30		
v ^β								
Direction	max	max	max	max	min	max	min	max
Threshold	var	var	var	const	var	var	const	const

527
 528 Following the methodology, five categories have been defined together with the panel
 529 in order to sort the 210 actions to deliver recommendation about the interventions. In
 530 particular, the five categories are named excellent (C5), good (C4), moderate (C3), weak
 531 (C2) and bad (C1).

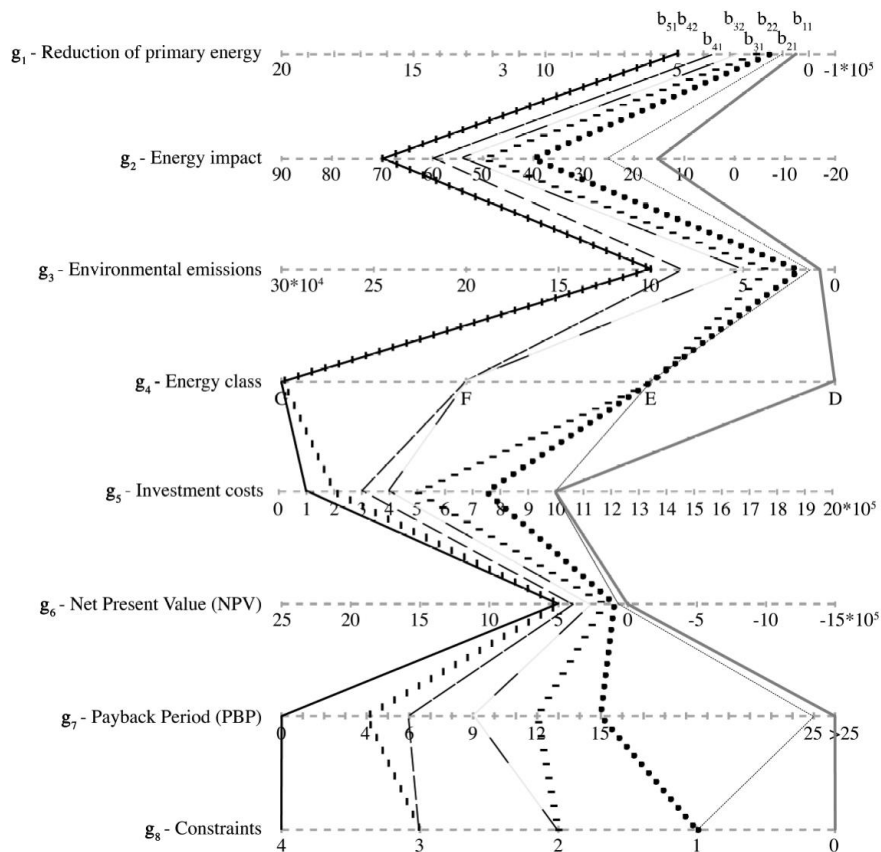
532 For each category some characteristic reference actions were defined by the experts'
 533 panel. In this sense, according to the basic idea of ELECTRE TRI-nC, by means of a co-
 534 construction process we demanded to the experts to define retrofitting measures
 535 representative of certain levels of performances related to the five identified categories
 536 (Table 6). Figure 8 shows a graphic representation of the lower and upper limits of the
 537 five categories defined by the experts, where b_5 is the the upper limit of category B_5 , b_{42}
 538 represents the lower limit of category B_5 and the upper limit of category B_4 , b_{41} is the
 539 lower limit of category B_4 and the upper limit of B_3 , so on to b_{11} that represent the lower
 540 bound of category B_1 .

541 Table 6: Reference measures of characteristics

B _h	b _{rh}	g1	g2	g3	g4	g5	g6	g7	g8
----------------	-----------------	----	----	----	----	----	----	----	----

<i>B1</i>	<i>b11</i>	50,000	15	10,000	1	1,100,000	0	26	0
<i>B2</i>	<i>b21</i>	100,000	25	20,000	2	1,000,000	50,000	25	1
	<i>b22</i>	150,000	40	30,000	2	750,000	100,000	15	1
<i>B3</i>	<i>b31</i>	200,000	50	40,000	2	500,000	200,000	12	2
	<i>b32</i>	250,000	55	50,000	3	400,000	300,000	9	2
<i>B4</i>	<i>b41</i>	375,000	60	75,000	3	300,000	400,000	6	3
	<i>b42</i>	500,000	70	100,000	4	200,000	500,000	4	3
<i>B5</i>	<i>b5</i>	510,000	71	110,000	4	100,000	510,000	0	4

542



543

544

Figure 8: Graphical representation of the reference retrofitting measures

545 **4.5 Classifying the alternatives**

546 The ELECTRE TRI-nC method is applied to the case under investigation, by means of the

547 MCDA-ULaval² software, using a credibility level $\lambda = 0.65$. Computational time in

548 arriving at a solution is instantly, allowing to operate real-time if the decision problem

549 changes. Table 6 and Figure 9 summarizes the results of the application considering the

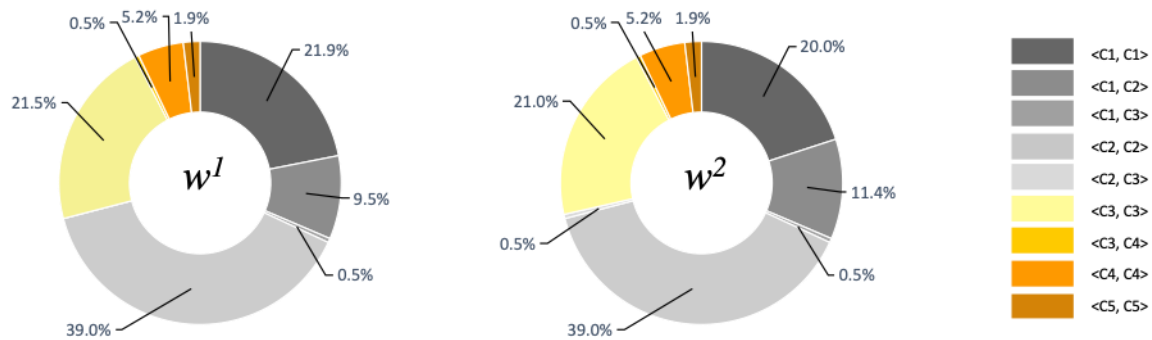
² MCDA-ULaval is a free tool programmed in Java that implements multiple criteria decision analysis algorithms. Supported decision methods are Electre II, III, Tri-B, Tri-C, Tri-rC and Tri-nC (available at: <https://cersvr1.fsa.ulaval.ca/mcda-ulaval/?q=en>).

550 two sets of weights w^1 and w^2 , whereas a sample of the category assignment to the
 551 measures is reported in Table 7.

552 Table 7: Statistics of the assignment results for the two considered sets of weights w^1 and w^2

Categories	Configuration w^1	Configuration w^2
<min, max>	#	#
<C1,C1>	46	42
<C1,C2>	20	24
<C1,C3>	1	1
<C2,C2>	82	82
<C2,C3>	-	1
<C3,C3>	45	44
<C3,C4>	1	1
<C4,C4>	11	11
<C5,C5>	4	4

553



554

555 Figure 9: Statistics of the assignment results for the two considered sets of weights w^1 and w^2

556 Table 8: Assignment of the categories for the set of the weights w^1 and w^2

Category	Minimum	Maximum	w^1	w^2
C5 excellent, C5 excellent	67, 96, 132, 136	67, 96, 132, 136		
C4 good, C4 good	13, 54, 60, 98, 119, 128, 133, 134, 150, 168, 170	13, 54, 60, 98, 119, 128, 133, 134, 150, 168, 170		
C3 moderate, C4 good	122	122		
C3 moderate, C3 moderate	1, 5, 18, 25, 30, 38, 39, 55, 56, 68, 70, 71, 74, 82, 86, 87, 94, 101, 102, 103, 105, 107, 113, 114, 120, 124, 125, 129, 130, 137, 138, 140, 142, 143, 158, 188, 189, 191, 198, 199, 201, 207, 208, 209, 210	1, 5, 18, 25, 30, 38, 55, 56, 68, 70, 71, 74, 82, 86, 87, 94, 101, 102, 103, 105, 107, 113, 114, 120, 124, 125, 129, 130, 137, 138, 140, 142, 143, 158, 188, 189, 191, 198, 199, 201, 207, 208, 209, 210		
C2 weak, C3 moderate	-	78		
C2 weak, C2 weak	3, 7, 14, 15, 19, 20, 21, 26, 28, 31, 32, 33, 40, 41, 46, 47, 49, 50, 53, 57, 58, 59, 61, 62, 63, 66, 69, 72, 73, 75, 76, 77, 78, 80, 81, 84, 85, 88, 89, 91, 92, 97, 99, 106, 108, 109, 110, 111, 116, 121, 123, 131, 135, 139, 145, 146, 147, 148, 151, 152, 153, 156, 157, 159, 162, 165, 166, 167, 169, 171, 172, 177, 178, 179, 184,	3, 7, 14, 15, 19, 20, 21, 26, 28, 31, 32, 33, 39, 40, 41, 46, 47, 49, 50, 53, 57, 58, 59, 61, 62, 63, 66, 69, 72, 73, 75, 76, 77, 80, 81, 84, 85, 88, 89, 91, 92, 97, 99, 106, 108, 109, 110, 111, 116, 121, 123, 131, 135, 139, 145, 146, 147, 148, 151, 152, 153, 156, 157, 159, 162, 165, 166, 167, 169, 171, 172, 177, 178, 179, 184,		

	194, 196, 197, 200, 202, 203, 205	194, 196, 197, 200, 202, 203, 205
C1 bad, C3 moderate	154	154
C1 bad, C2 weak	4, 6, 10, 11, 16, 17, 34, 35, 36, 37, 51, 52, 64, 65, 79, 163, 174, 181, 182, 204	4, 6, 9, 10, 11, 16, 17, 24, 34, 35, 36, 37, 51, 52, 64, 65, 79, 118, 163, 174, 175, 181, 182, 204
C1 bad, C1 bad	2, 8, 9, 12, 22, 23, 24, 27, 29, 42, 43, 44, 45, 48, 83, 90, 93, 95, 100, 104, 112, 115, 117, 118, 126, 127, 141, 144, 149, 155, 160, 161, 164, 173, 175, 176, 180, 183, 185, 186, 187, 190, 192, 193, 195, 206	2, 8, 12, 22, 23, 27, 29, 42, 43, 44, 45, 48, 83, 90, 93, 95, 104, 112, 115, 117, 126, 127, 141, 144, 149, 155, 160, 161, 164, 173, 176, 180, 183, 185, 186, 187, 190, 192, 193, 195, 206

557

558 **5. Discussion of the results**

559 This section discusses the obtained results on the performances described above. The
560 classification of the alternative measures is examined paying particular attention to the
561 type of retrofitting measures. This section also summarizes the implications of the results
562 on the real decision-making process.

563 **5.1 Assignments Results**

564 As it is possible to see from Table 8 only 4 retrofitting actions are classified as excellent
565 (category <C5,C5>) and 11 as good (category <C4,C4>), representing nearly the 7.15% of
566 the total set of solutions. On the contrary, the majority of the solutions are classified as
567 bad or weak, representing more than the 71% of the set.

568 It is interesting to notice that the resulting assignments are almost stable. In order to
569 know how the weights may have affected the results, the comparison of the assignments
570 w^1 against w^2 shows that the number of actions remains unchanged for the categories
571 <C1,C3>, <C2,C2>, <C3,C4>, <C4,C4>, and <C5,C5>, whereas just 4 actions are shifted
572 from the <C1,C1> towards the <C1,C2> category.

573 Paying particular attention to the typology of the retrofitting actions, the analysis of the
574 alternatives classification gives us further points of discussion. The actions related to the
575 opaque envelope insulation (OI) provide the best performances as a very high percentage of
576 37 measures are classified as excellent (33%) or as good (43%), given the fact that most of
577 them show high values on NPV, a great reduction of energy consumption and CO₂

578 emissions and are often referred to buildings with presence of landscape or architectural
579 constraints. The upgrade of the HVAC system (HS) also provides satisfactory
580 performances as a relevant percentage (75%) of the actions is classified as moderate
581 category mostly due to a not very **significant** reduction of energy consumption. It is
582 interesting to notice that the actions related to three typologies, namely BM - Upgrade of
583 building management and automation systems, TE - Upgrade of transparent envelope
584 performances, and TR - Upgrade of thermoregulation system, show almost the same
585 classification as the category assigned to a significant number of them (from 28% to 36%)
586 is good-excellent or moderate, whereas other actions are classified as bad-weak due to
587 both a low reduction of primary energy and a low landscape or architectural quality.
588 Moreover, it is worth to mention that the measures classified as bad mostly pertain to the
589 upgrade of lighting system (LS), installation of PV system (PS), and installation of solar thermal
590 system (SS), because, although they mostly require a moderate investment cost, the
591 corresponding reduction in energy consumption is quite low.

592 ***5.2 Analyzing the implications of the evaluation***

593 Once the final results were obtained, an interview was organized with the experts' panel
594 involved in this study. The purpose of the interview is to understand if the results obtained
595 were consistent with the panel opinion and background.

596 Firstly, the alternatives in C4 and C5 categories, good and excellent, were presented to the
597 **experts' panel** who were convinced about their good classification (Bertolini, D'Alpaos, &
598 Moretto, 2018). We thus showed **them** the specific typologies of buildings classified as good
599 or excellent under the opaque insulation intervention, that were mostly related to school
600 buildings and swimming pools. The experts' panel agreed with classification and they stated
601 that school buildings are characterized by high consumption of thermal energy, so an
602 improvement in insulation allows achieving excellent results in terms of energy savings. **A**

603 **high thermal set point characterizes the gyms** compared to other uses, so a reduction in heat
604 loss reduces energy expenditure. We then moved to the analysis of the interventions falling
605 into the worst categories (i.e. C1-bad and C2-weak). In particular, the experts' panel agreed
606 that most of the interventions related to the lighting systems were classified as bad or weak.

607 As far as the evaluation model is considered, the experts' panel found it very simple to
608 interpret the results obtained from the analysis. The subdivision of alternatives into categories
609 is, in their opinion, very effective and clear in the communication of results. Weighing criteria
610 using the deck of cards method has proved to be very easy for the experts' panel to do.
611 Moreover, the experts' panel did not find significant difficulties in defining the profiles of the
612 categories for the different criteria taken into consideration in the analysis. The definition of
613 the profiles of the most technical criteria was **straightforward**, while some difficulties arose in
614 the definition of the profiles of the qualitative criteria.

615 **6. Conclusions**

616 Following the new perspectives of the United Nations, SDG 11 provides that all countries
617 must contribute to **achieving** environmental sustainability in urban areas based on their
618 capacity by 2030. In this perspective, the requalification of existing building stock represents
619 a great potential to reach the goal set. **However**, to stimulate the buildings' retrofit, new local
620 policies supported by decision-aid tools that address the problem considering the economic,
621 social and environmental dimensions are needed (Monfared, Ghasemi, Loni, & Marzband,
622 2019; Pourakbari-Kasmaei, Lehtonen, Fotuhi-Firuzabad, Marzband, & Mantovani, 2019).

623 In response to the public administrations requirement of allocating public financial
624 resources on the basis of principles of environmental sustainability, energy-saving, and
625 protection of historical-architectural and landscape assets, we proposed a Multiple Criteria
626 Decision Aiding model to select the best energy retrofitting measures of public buildings
627 located in contexts with high architectural, landscape and environmental quality.

628 The multiple criteria model considered the development of the ELECTRE TRI-nC method
629 and included three types of criteria, namely energy efficiency, financial feasibility, and
630 architectural/landscape constraints. The evaluation was applied to a real case study in
631 Southern Italy, consisting of a set of 210 energy retrofitting actions that were proposed from
632 the results of the energy audit of 36 public buildings, and besides an experts' panel was
633 consulted for the structuring and application of the model. The use of ELECTRE TRI-nC
634 method allowed sorting the retrofitting measures into categories and selecting those that are
635 worthy of being financed as a priority, also taking into account the constraints to protect the
636 architectural, landscape and environmental assets.

637 The results that have been obtained so far show that a large part of the retrofitting
638 measures was classified as bad or weak, whereas the best ones belonged to the opaque
639 envelope insulation. On the contrary, the worst ones were of the upgrade of lighting
640 system type as well as installation of a solar thermal or photovoltaic system. The
641 interaction between the analysts and the panel made it possible to understand that the results
642 obtained were consistent with the opinion of the experts who also agreed on the importance of
643 considering the profiles of the categories and suggested that further research should be carried
644 out in order to develop user-friendly protocols for the definition of the profiles. More
645 generally, the application proved that ELECTRE TRI-nC models have different appealing
646 features in the area of investment decisions.

647 Firstly, these models allow the DMs to describe the evaluation classes using multiple
648 reference profiles instead of only one, thus allowing major freedom in the development of the
649 approach.

650 Secondly, the method provides the final results in an interval form, according to the
651 uncertainty and ambiguity that usually describes real-world problems in the context of
652 projects. Previous research (Doumpos & Figueira, 2019) also proved that ELECTRE TRI-nC

653 method provides more accuracy in the classification in comparison with other multi-criteria
654 classification methods, such as UTADIS which uses mathematical programming approach to
655 infer an additive value function optimally. Another study (Costa, Govindan, & Figueira,
656 2018) compares ELECTRE TRI-nC with the probabilistic CPP-TRI method (Sant'Anna,
657 Costa, & Pereira, 2015) and concludes that the possibility of ELECTRE TRI-nC method to
658 consider the imperfect knowledge of the performance leads to better results.

659 Apart from the specific need of appliance of the present stud, the results have also
660 important implications for other domains, thus contributing to the decision of ELECTRE-type
661 methods for the decision of effective procedures of decision aiding.

662 It has to be noticed that the application illustrated in the present paper refers to preliminary
663 experimentation of the ELECTRE TRI-nC method for the decision problem under
664 investigation and thus, several areas of future research can be outlined. Future work will thus
665 be developed for the implementation of the method, considering other configurations of the
666 experts' panel (Bottero, Ferretti, Figueira, Greco, & Roy, 2015, 2018) and different levels for
667 the discrimination thresholds (Figueira & Roy, 2002).

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